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ΜΕΤΑΠΤΥΧΙΑΚΗ ΔΙΠΛΩΜΑΤΙΚΗ ΕΡΓΑΣΙΑ

Ασύρματα Δίκτυα Αισθητήρων (Wireless Sensor Networks–WSNs) με έμφαση στην ανάπτυξη αλγορίθμων ελέγχου για τη βελτίωση της δυναμικής συμπεριφοράς τους



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Αθήνα, Ιούλιος 2021

ΠΑΝΕΠΙΣΤΗΜΙΟ ΔΥΤΙΚΗΣ ΑΤΤΙΚΗΣ ΣΧΟΛΗ ΜΗΧΑΝΙΚΩΝ ΤμήμαΗλεκτρολόγων & Ηλεκτρονικών Μηχανικών

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MSc Thesis

eless Sensor Networks (WSNs) with emphasis on the development of control

Wireless Sensor Networks (WSNs) with emphasis on the development of control algorithms for improvement of their dynamic behavior



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Παράβαση της ανωτέρω ακαδημαϊκής μου ευθύνης αποτελεί ουσιώδη λόγο για την ανάκληση του διπλώματός μου.»

Ο Δηλών Χρήστος Νάκας

ABSTRACT

Wireless Sensor Networks (WSNs), due to their extraordinary abilities and their countless number of applications, are considered to be among the most emerging technologies. However, the lifetime of WSNs is tremendously delimited due to the restricted energy capacity of their nodes. For this reason, energy preservation is thought as the most important research concern for WSNs, as the radio communication of a sensor node is its most consuming operation in terms of energy resources. This thesis aims to provide a thorough study on WSNs with emphasis on the protocols and algorithms that pursuit the optimization of their operation in terms of energy efficiency. In this context, the thesis consists of four chapters.

In Chapter 1, the reader is introduced into the domain of WSNs. Specifically, the structure of WSNs is described, and the operation of the wireless sensor nodes is explained. Additionally, the thesis provides the state-of-the-art of applications of WSNs so as to both empower the understanding of this scientific region and aid the development of novel applications. In order to accomplish this objective, the key classes of applications of WSNs are considered, and representative samples of them are presented. Their specific features are clarified, while their advantages and disadvantages are indicated. Furthermore, specific concerns regarding these particular classes are discussed. Next, the advantages that utilization of WSNs provides are discussed. Finally, the problems that WSNs are associated with are highlighted.

In Chapter 2, the thesis focuses on how the operation of WSNs can be improved by increasing the energy efficiency in data routing in order to lessen the main problem that obstructs the utilization of WSNs i.e. the energy inadequacy of their sensor nodes. Specifically, an exhaustive survey of energy efficient routing protocols that have been proposed in order to be used in WSNs is conducted. In this survey, both traditional and modern protocols presented are classified, into four main categories, according to: i) the network structure, ii) the way used to are exchange data, iii) whether information of location is used and iv) whether multiple paths or Quality of Service (QoS)are sustained. For each of the protocols analyzed, its main features are explained and its corresponding advantages and disadvantages are emphasized.

Chapter 3, relates with the use of a powerful software environment to develop simulation tests for energy efficient routing protocols. Specifically, Network Simulator 3 (NS-3) is the platform that has been utilized in order to develop appropriate program codes that represent the operation of two hierarchical routing protocols that namely are LEACH and TEEN. These protocols are simulated in multiple operation scenarios and their performance within every scenario has been evaluated.

Finally, in Chapter 4, concluding remarks are drawn and suggestions for future research are provided.

ACKNOWLEDGEMENTS

My deep gratitude goes first to Professor Dionisis Kandris, who expertly guided and supported me through my postgraduate course. His enthusiasm and passion for the research field encouraged me, to constantly improve and moving towards our goal.

My appreciation also extends to my colleagues who encouraged me to keep pursuing my goal and supported me with their best efforts when needed.

Above ground, I am indebted to my family, whose love along with their ever-ending support to me, only grow with age.

Finally, I acknowledge my future wife Penny, who supported me through the whole process of my postgraduate studies and helped overcome all burdens encountered.

ABBREVIATIONS

- Access Control List (ACL): A list that contains the devices that can access a system.
- Acknowledgement (ACK): Packet or transmission got through OK.
- Amplitude Modulation (AM): Method of electronic communication, most usually for the transmission of messages using radio carrier waveforms.
- Access Point (AP): A device that creates a wireless local area network.
- Amplitude Shift Keying (ASK): A method used for Amplitude Modulation.
- Automatic Repeat request (ARQ): is an error control technique for transmission of data using acknowledgements.
- Base Station (BS) / Sink Node: The Base Station or sink node, sometimes with enhanced computational power or less energy constraints, collects network's data enabling access to the user.
- Bit Error Rate (BER): The ratio of bit errors to the overall amount of bits transferred during a studied period.
- Broadcast: Transmission of data.
- Carrier Sense Multiple Access (CSMA): A media access control protocol in which a node, confirms that there is not any other traffic before it starts transmitting on a shared communication medium.
- Code Division Multiple Access (CDMA): A method used to enable multiple transmitters to send data simultaneously over a single channel.
- Cluster: Group of sensor nodes in close proximity often performing as a union to monitor a network area.
- Cluster Head (CH): A node that elected within a cluster, to perform various tasks such as collection, aggregation and transmission of data representing this cluster.
- Cluster Member (CM): A sensor node that is a member of a cluster.
- Congestion: The case that a communication channel carries more data than it can handle.
- Data Aggregation: An operation where unnecessary and redundant data are taken away.
- Data Redundancy: Storing of data in multiple locations such as multiple sensor nodes.
- Forward Error Correction (FEC): A method used to prevent data transmission, by detecting and correcting a limited number of errors that exist in data transmissions.
- Frame Error Rate (FER): A method used to control errors in data transmissions that take place over noisy or unreliable channels.
- Frequency Modulation (FM): An encoding method that varies the instantaneous frequency of the carrier waveforms.
- Frequency Shift Keying (FSK): A method used for FM communication, in which discrete frequency alterations of a carrier waveform are used to transmit digital data.
- Medium Access Control (MAC): The layer in IEEE 802 LAN/MAN standards, that aims to regulate the interaction with wired, wireless, or optical transmission media.
- Negative ACK (NACK): The sender of the packet or transmission, did not received an OK acknowledgement message.

- Network Lifetime: Although this definition varies, it is used to define the time until the first node of the network die or after a certain percentage of network's nodes are out of power or the time until the nodes of the shortest path dry their batteries.
- Node: An independent sensor node able to sense data, process data and communicate with nearby sensor nodes.
- Operating System (OS): A system that manages hardware and software resources of an electronic device.
- Packet Delivery Rate (or Ratio) (PDR): The proportion of packets that are successfully sent to their destination.
- Packet Error Rate (PER): The ratio of incorrectly received data packets to the total number of packets that have been received.
- Phase Modulation (PM): It encodes a message signal as variations in the instantaneous phase of a carrier wave.
- Phase Shift Keying (PSK): A type of RF modulation, in which the data are sent by changing the phase of the carrier wave.
- Physical layer Service Data Unit (PSDU): is the bit or, more generally, symbol in the Physical Layer in OSI model.
- Protocol: A communication algorithm that defines the operation of nodes, finds optimum paths for data packets to reach their destination and controls the network's performance.
- Quadrature PSK (QPSK): QPSK uses four points on the constellation diagram, equispaced around a circle.
- Query: A message sent from a sensor node or the base station to request information.
- Received Signal Strength Indicator (RSSI): RSSI is a measure of the power of a signal that is received.
- Routing: The operation of conveying data from a starting point to their destination via a specific path.
- Sensor node / Node (SN): An electronic device able to sense, process and communicate.
- Time Division Multiple Access (TDMA): A method used to enable multiple users to share the same frequency channel, by applying a corresponding number of time slots.

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CHAPTER 1: FUNDAMENTALS OF WIRELESS SENSOR NETWORKS

1.1. Introduction

A Wireless Sensor Network (WSN) is a group of hundreds or thousands of wirelessly linked and spatially distributed devices, called sensor nodes (or just nodes). The main objective of these multifunctional devices is to monitor and gather data existing in an area of interest and exchange data with each other, as well as with a central Sink Node, called Base Station (BS) [1].

Therefore, there are two types of operational units within a WSN, the BS, and the sensor nodes. The BS acts as the master node that can aggregate information and transmit corresponding data to the end user of the network, while the sensor nodes are able to monitor the network environment and exchange data with their adjacent nodes along with the BS [1].

The communication between the nodes and the BS is performed according to specific algorithms, called routing protocols. The sensor nodes' main problem is their battery energy constraints. Energy efficient routing protocols considerably decrease the expenditure of energy due to radio communications of nodes in a WSN, effectively preserving the battery life of sensor nodes [1].

The scientific area of WSNs is a constantly developing and of great interest domain, as well as a promising and advanced technology.

1.2 Architecture of WSNs

Every node contains four main modules and up to two optional modules as illustrated in Figure 1.1.

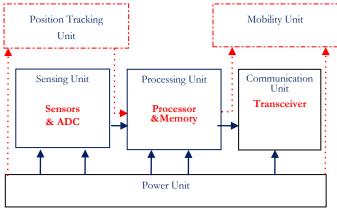


Figure 1.1. The typical architecture of a sensor node used in WSNs.

The sensor node utilizes its sensing module in order to measure the fluctuation of current conditions in its adjacent environment. These measurements are converted, via the ADC unit, into relative electric signals, which are processed via the node's processing module. Furthermore, the

sensor node, via its transceiver module, can wirelessly transmit the data produced by its processor to other nodes or/and to a selected Sink Node / BS. Furthermore, the power module is typically a battery. The position tracking module, which monitors the current location of the sensor node, and the mobility module that provides transportability, are pieces of optional equipment [1-2]. The BS, can both apply centralized control over the network it belongs to, and transmit its data to the network end user(s) or/and other wireless or wired networks, as illustrated in Figure 1.2 [2]. Furthermore, in some cases the BS, which usually is not energy constrained, is able to perform data aggregation, broadcast of transmission scheduling, as well as many other functionalities described extensively in Chapter 2.

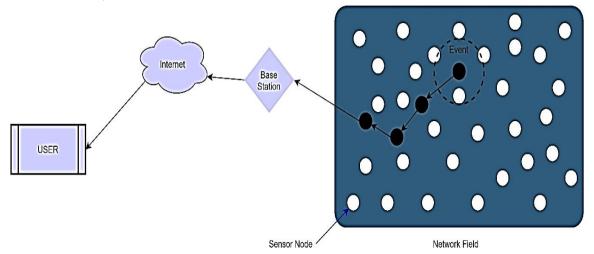


Figure 1.2. The typical architecture of a WSN.

1.3 Historical Evolution of WSNs

Although WSNs are innovative and new technology, their conception started a long time ago during the decade of the 1950's, when the army were trying to monitor areas of interest and battlefields in order to detect intruders, although at that time the Sensors were not connected to a network, but each Sensor was independent [3].

The next innovation that contributed to the evolution of WSNs was the predecessor of the Internet, designed and implemented in 1969 by US DARPA, named Advanced Research Project Agency (ARPANET) and functioned as an experimental basis for new networking technologies, connecting various academic and research institutions [4]. It is also important to mention that in the time of the Cold War, in order to detect soviet quiet submarines by using acoustic sensors, the SOund SUrveillance System (SOSUS) was utilized. This system was later implemented in the National Oceanographic and Atmospheric Administration (NOAA) for the retrieval of acoustic data from the oceans [3]. Furthermore, the army utilized aerostat sensors in order to build air defense radar networks [5]. These systems were an example of the first applied sensor networks, utilized by the army.

In the following years the closest technology and a direct predecessor of the WSNs was the Distributed Sensor Networks (DSN) system that started by Defense Advanced Research Projects Agency (DARPA) in 1980. The DSN was a sensor network with multiple autonomous, spatially

distributed sensor nodes, able to collect sensing data and route it to a node that can utilize them properly $[\underline{4}]$.

Recent advances in micro fabrication technologies have made it possible to produce tiny nodes, which can house multiple sensors and have reasonable processing and communication capabilities. In addition to this, development of wireless networking standards such as security, stability and minimum end-to-end delays have led WSNs into the fields of human activity, which was unheard before.

1.4 Wireless Sensor Networks' Applications

Recent unprecedented advances in fabrication and computing technologies have made it possible to produce sensor nodes of very small sizes having sufficient computing power at affordable costs. These developments have made the use of these devices very popular.

Although individual competences of the sensor nodes may be insignificant, their combined use in a network triggers numerous application possibilities. As such, applications of WSNs where sensor nodes are the basic constituent, have affected an ever-growing range of human activities and in the future they are going to modernize the way of human life [<u>6</u>].

One of the main purposes of this thesis is to highlight the benefits of WSNs' applications and present distinguishing samples of current applications of them, both well-known and innovative ones. So, in this Section, WSN applications are classified according to the nature of their use, into six main categories which, as illustrated in Figure 1.3, namely are: military, health, environmental, flora and fauna, industrial and urban.

In each category of them, various subcategories are considered. In what follows in this section, the nature of each one of these categories and subcategories are explained. Additionally, through the indicative examination of characteristic examples of them, their particular features are explained, while their benefits and problems are denoted.

Moreover, various methodologies and technical means that are used in these applications either for sensing or for processing purposes are discussed, while similarities and dissimilarities existing among them are identified.



Figure 1.3: Overview of the most popular categories of applications of WSNs.

Finally, although the thesis is focused on Terrestrial WSNs, they can be applied in an enormous variety of sectors and there are many variations, depending on their field of use. Some examples are;

- Terrestrial WSNs, used for applications in an area of interest,
- Underground WSNs, used for applications under the surface of the earth,
- Underwater WSNs, used for applications submerged under lakes or the sea,
- Multimedia called WMSN, used for multimedia applications and
- Mobile WSNs, which are able to move through space.

1.4.1 Military Applications

Military domain not only is the first field of human activity that used WSNs but it is also considered to have motivated the initiation of sensor network research. Smart Dust [7] is a typical example of these initial research efforts, which were performed in late 90s in order to develop sensor nodes which despite their very small size would be capable of accomplishing spying activities.

The technological advances achieved since then, made WSNs be capable to support various operations [8]. In Figure 1.4, the main subcategories of the military applications of WSNs, which namely are battlefield surveillance, combat monitoring and intruder detection, are illustrated along with the types of sensors that are most commonly used in them.

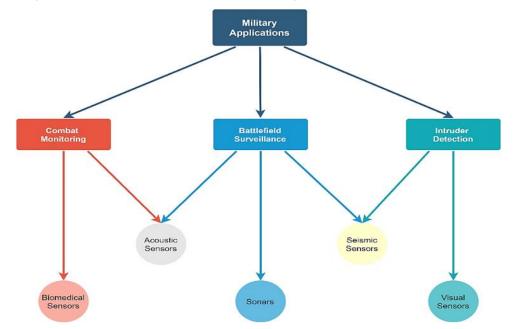


Figure 1.4. The subcategories of the military applications of WSNs and the types of sensors they use.

Specifically, Chemical, Biological, Radiological, Nuclear and Explosive (CBRNE) and Toxic Industrial Material (TIM) sensors may be used to detect the presence of such substances. To detect intrusion, WSNs may use infrared, photoelectric, laser, acoustic, and vibration sensors. Similarly, RAdio Detection And Ranging (RADAR), LIght Detection And Ranging (LIDAR), LAser

Detection And Ranging (LADAR) and ultrasonic sensors are used by nodes in WSNs in order to detect the distance from objects of interest. Likewise, LADAR and infrared sensors are used for imaging purposes.

Additionally, the flexibility that WSNs have in their structure enables them to adapt to various requirements. For instance, in battlefield operations large-scale WSNs consisting of many thousands of nodes, which are non-manually deployed, are used. In urban warfare and force protection operations, WSNs used consist of hundreds of manually deployed nodes. In other-than-war operations, all scales of WSNs and deployment methods are used [8].

In what follows in this subsection, WSNs that have been developed for battlefield surveillance, combat monitoring and intruder detection are examined.

1.4.1.1 Battlefield surveillance

In applications of this type, the sensor nodes of the WSN may be deployed on a battlefield nearby of the paths that enemy forces may use. The main advantage provided is that the WSN not only can be spontaneously positioned but also can function, with no need for continuous attendance and maintenance. The terrain of the battlefield in most cases is absolutely variable. This plays an important role for both the coverage and the energy consumption of the sensor nodes. Some of these applications are presented in Figure 1.5.

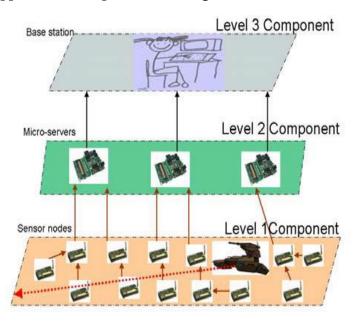
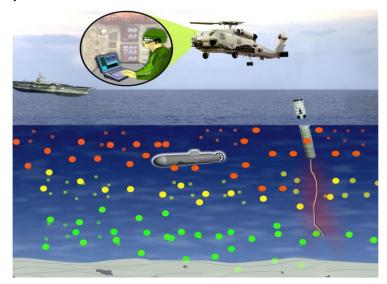


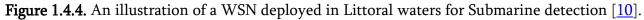
Figure 1.5. An illustration of the HSN architecture (The data flow between the components is shown by the arrows) [9]

In [9], the use of WSN technology for ground surveillance is studied. Specifically, the authors propose the combined use of nodes, capable of sensing magnetic and acoustic signals produced by various moving target objects.

In [10] a submarine detection system for Anti-Submarine Warfare (ASW) is presented. The system consists of inexpensive multi-sensing units that combine both active and passive sonars. The system can be scaled in large number of sensors, which are deployed in littoral waters according to a specific pattern and ocean depth. These sensing units utilize their passive sonar to

detect a diesel-electric submarine and their active sonar to confirm their target. The unit that has confirmed a target, notifies its neighbor sensing units by using an alarm signal that contains its ID code. Whenever the units send multiple alarm signals within a predefined period, an alert is triggered. Furthermore, the system can acquire low False Alarm Rate (FAR) due to the very low range of the active sonar (50 meters) that solves the acoustic multipath problem of the conventional sonobuoys.





1.4.1.2 Combat monitoring

Within a battlefield the firing of guns, mortars artillery and other weaponry create sound, heat and vibrations. This information can be recorded with the use of WSNs and provide an expectation of the location of the enemy. This type of application is described below.

In [11] the use of acoustic sensor arrays suspended below tethered aerostats to detect and localize moving vehicles, transient signals from mortars, artillery, small arms fire and locate their source is presented.

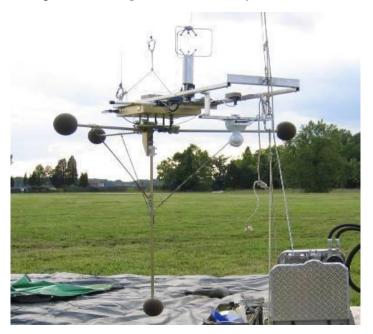


Figure 1.6 The complete acoustic payload of an aerostat [11].

The specific detection system can be used in conjunction with an acoustic vector sensor to amplify the possibility of locating the threat using the shockwave created by the supersonic bullet and the muzzle blast created by the gun [12].



Figure 1.7. A depiction of an Acoustic Vector Sensor [12]

The authors of [13] describe a system consisting of interconnected Body Sensor Networks (BSNs), a sub-family of WSNs, for real-time health monitoring of soldiers. A key component of this system is a BSN that integrates various physiological and biomedical sensors. These sensors are an accelerometer, an EEG simulator, and a SpO₂ sensor, which can be embedded within an advanced combat helmet worn by each soldier. They monitor various information of the health status in real time, such as blood pressure, oxygen saturation and heart rate. Utilizing the data collected, various methods can be applied in order to train soldiers more efficiently and prepare them more adequately for future engagements.

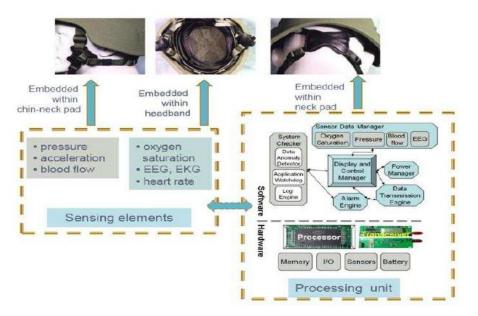


Figure 1.8. A representation of a health monitoring advanced helmet [13]

1.4.1.3 Intruder detection

The knowledge of the location of the enemy is considered to be one of the most critical pieces of information during military operations. Whoever side in a conflict has this knowledge is one step ahead closer to the victory. With the utilization of WSNs within a battlefield, intruders can be detected soon enough to prevent loss of supplies or territory.

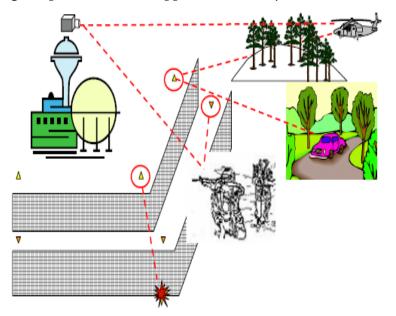


Figure 1.9. An illustration of a combined scenario of counter snipping system, intruder and approaching threat detection [<u>14</u>]

An intruder can be detected with various ways. The authors of $[\underline{14}]$ developed a system that can recognize intruders by utilizing Unattended Acoustic and Seismic Sensors to record vibrations and sounds of typical soldier actions. To detect an intruder, with the aid of these sensors, typical military activities, such as equipment handling, walking, rifle loading, etc., have been measured and recorded in a controlled environment and in the field. Although the distance of detection may

be small, the information provided by the network can be used to locate an intruder in difficult terrains with large vegetation where visibility is limited.



Figure 1.10. A camouflaged acoustic sensor [15]

Pearls of Wisdom has developed another application of WSNs to detect intruders [15]. The authors described a system with dense deployment of a large number of miniaturized wireless sensors, which are small, low cost and consume low energy. This system utilizes acoustic and seismic sensors to provide detection information to the user and tracking and visual sensors in order to lower the false alarm rate.

1.4.2 Health Applications

In the health domain, WSNs utilize advanced medical sensors to monitor patients within a Healthcare facility, as a hospital or within their home, as well as to provide real time monitoring of patient's vitals by utilizing wearable hardware. In Figure 1.11, the main subcategories of health applications of WSNs which namely patient wearable monitoring, home assisting systems and, hospital patient monitoring are illustrated along with the types of sensors that are most commonly used in them.

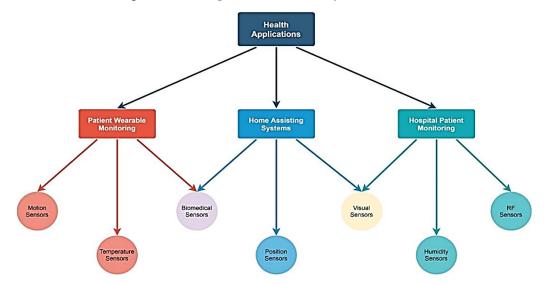


Figure 1.11. The subcategories of the health applications of WSNs and the sensors used in them.

In what follows in this subsection, WSNs that have been developed for these types of health applications are examined.

1.4.2.1 Patient wearable monitoring

Health monitoring applications can be combined with wearable hardware with embedded biomedical sensors that provide the patient's health status in a remote environment or within a healthcare facility. These systems often have limitations but can be applied to patients without restricting their mobility.

A healthcare solution of this type is investigated in [16], which incorporates the real-time sensors on smartphones and made them more user friendly in the health care system. They integrated the utilization of a barcode system to provide personalized medicine care assistance. The mechanism they delineated is only able for the Electrocardiogram (ECG) monitoring in real time, while for the future scope of work they have suggested that the monitoring of the blood glucose level, blood pressure, and several kinds of diagnostics could be possible via the application of real-time sensors.



Figure 1.12. An illustration of the real time ECG monitoring environment [16]

An alert portable tele-medical monitor system (AMON) is described in [17] aiming to provide continuous monitoring for high-risk cardiac/respiratory patients. The system collects continuously

multiple vital signs, detects multi-parameter medical emergencies and is connected to a cellular telemedicine center (TMC). This system utilizes a wrist worn device in order to enable the patients to have normal everyday activities and monitors their vital sings to provide to the physicians a better picture of their condition.



Figure 1.13. A depiction of the AMON prototype. [17]

1.4.2.2 Home assisting systems

The authors of [18] presented a platform with internet remote connection to assisted people and their environment. This platform can monitor and diagnose patients remotely, in real time, in their home environment by the utilization of wearable or even surgically inserted bio-sensors. A hybrid model of the platform is proposed, with various levels in terms of functionality, combining fixed and mobile nodes. These levels range from simple data acquisition of the assisted person, to primary care and emergency nodes, up to the communication and coordination with an appointed help center as a hospital.

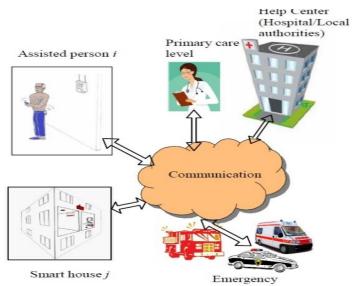
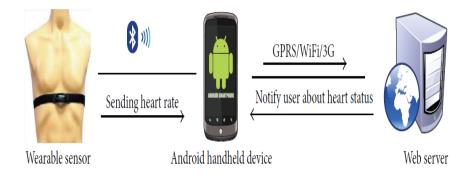
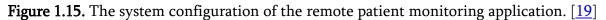


Figure 1.14 An illustration of the WSN homecare monitoring system [18]

During their research, the authors of [19] investigated real-time sensors for the diagnosis of cardiac patients by using smartphone and wearable sensors. The authors introduced this application to cater a remote real time monitoring for severe cardiac patients who are unable to attend a routine checkup. One of the main reasons behind this technology is to commercialize it for the benefit of those patients who are not financially sound. Furthermore, the system is developed in such a manner that any kind of crisis phase will be generated in terms of alerting messages that will be automatically sent to the doctor.





1.4.2.3 Hospital patient monitoring (or Hospitalized)

Within healthcare facilities, such as a hospital, WSNs systems can be integrated to provide real time patient monitoring and emergency alerting for a more precise and quick response. A typical example of an application of this kind is presented in [20]. Specifically, the authors described a WSN application where wireless sensors are placed within the emergency rooms of John Hopkins hospital to monitor in real time the blood oxygen and heart rate of the patients. The researchers collected the performance statistics of the network and despite the difficulty of the hospital environment due to interference and radio noise, the application of WSNs can improve the operation of a healthcare facility.

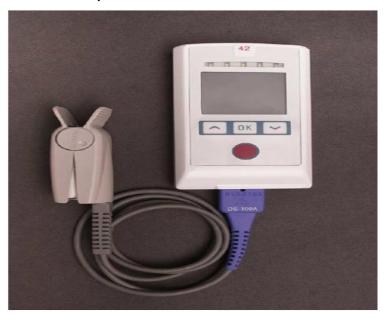


Figure 1.16. A physical monitor that can display vital signs on the LCD screen and transmit them via the MEDiSEN wireless backbone [20]

1.4.3 Environmental Applications

Environmental applications that demand continuous monitoring of ambient conditions at hostile and remote areas can be improved with the utilization of WSNs. In Figure 1.17, the main subcategories of environmental applications of WSNs, which namely are water monitoring, air monitoring and emergency alerting, are depicted along with the types of sensors that are typically used in them.

In what follows in this subsection, WSNs that have been developed for these types of environmental applications are studied.

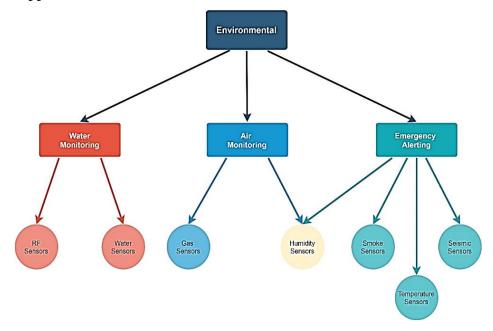


Figure 1.17. The subcategories of the environmental applications of WSNs and the types of the sensors used in them.

1.4.3.1 Water monitoring

Water either drinking or oceanic is an important factor of the human lives. Therefore, the monitoring of water has a great academic interest for the researchers as described below.

The researchers in [21] designed a WSN application to evaluate the quality of fresh drinkable water. They designed a Cyber physical system (CPS) called PipeSense, which is an in-pipe system for water monitoring that utilizes RFID based WSN. The network can provide information about water demand or water quality and various repair information such as weak spots or pipe leakage. The in-pipe RFID sensors collect information from the system and send them to the data servers, where algorithms provide decision support.

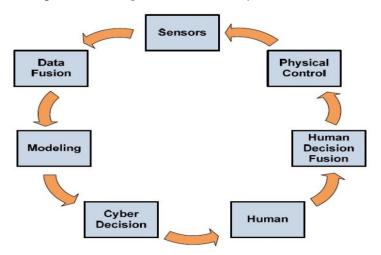


Figure 1.18. The Pipesense system flow diagram [21]

A WSN application for marine environment monitoring is presented in [22]. In order to prevent damage to the flora and fauna of a fish farm from feed and fecal waste, the authors designed an Underwater WSN (UWSN) with ground based wireless sensor nodes capable to monitor the pollution of the farm. The sensor nodes are mobile in a limited space in order to measure a greater area.

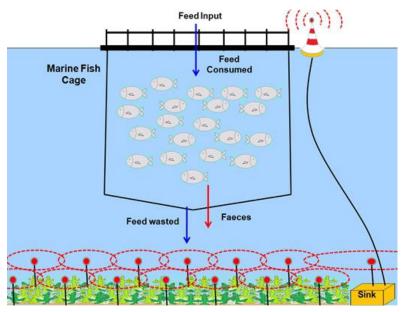


Figure 1.19. A diagram of the underwater WSN applied to a fish farm [22]

1.4.3.2 Air monitoring

Although air is a vital element for the human lives, nowadays the air pollution of the atmosphere is a result of many modern human activities. WSNs can be utilized for air quality monitoring in occupied regions in order to prevent dangerous diseases and contaminations or risk the health of the people. A typical example of an application of this kind is presented in [23], where an air quality monitoring application assisted by WSNs called WSN-AQMS is described. This system combines gas sensors along with Libelium waspmotes [24] to measure air quality parameters gases such as ozone, CO and NO2. The waspmotes monitor in real time the air quality

and utilize the Zigbee protocol for data communication. The authors further introduced the clustering Protocol for Air Sensor network or CPAS for the above system.

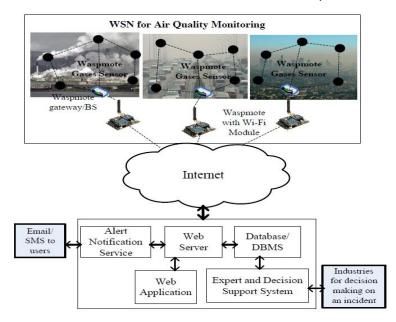


Figure 1.20. A depiction of the Air Quality WSN Monitoring System [23]

1.4.3.3 Emergency alerting

Natural disasters can be averted or lower their cost by proactive monitoring of the causes of these disasters. WSNs can be utilized for monitoring common disastrous causes in real time to provide proactive alerts in order to lower damage or even prevent disaster. Typical examples described below are related with the monitoring of seismic activity, volcanic activity, forest fires and tsunamis.

1.4.3.3.1 Seismic Activity Monitoring

Earthquakes can cause enormous damage to an occupied region where they take place. WSNs can be utilized to monitor seismic activity in real time in order to take precaution measures and enable the authorities to act in advance. A real time seismic activity monitoring system is presented.

In [25] the authors designed a warning system for earthquakes in order to increase the time before an earthquake to take precaution measures. The authors deployed a WSN in the island of Mauritius, which has high seismic activity. The system monitors seismic activity by utilizing primary waves (P-waves) and estimates local velocity and hypocenter's location according to time delays in the arrival of the P-waves at the sensors.

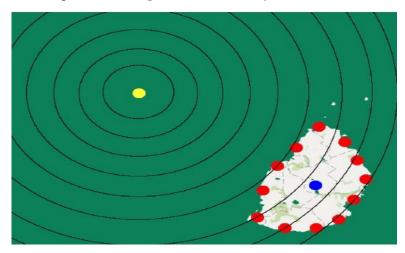


Figure 1.21. A WSN applied in Mauritius for Seismic Monitoring. The red dots represent the sensor nodes, the blue dot represents the BS, the yellow dot is the seismic epicenter, while the propagation of the seismic wave is shown by the black lines [25].

1.4.3.3.2 Volcanic activity monitoring

Volcanoes can cause enormous damage to nearby towns or cities when they are activated. Before a volcano erupts, there are many signs that a WSN system can measure, proactively, in order to inform nearby citizens about the eruption. When such a system is applied, citizens can protect their families and belongings by transporting outside of the area of the eruption, preventing further damage. Below is an example of such a system.

The authors of [26] designed a WSN application to monitor volcanic activity with the utilization of common COTS components. The system is low cost, flexible and easy to deploy and to maintain for remote locations. The users of the system can choose GPS data synchronization when the sensor nodes have signal reception or the CLOWDE algorithm when they have not, in order to collect accurate timestamps of each sample.



Figure 1.22. A depiction of a geophone sensor with a wireless antenna deployed in the field [26].

1.4.3.3.3 Forest fire prevention

Forest fires can destroy wild animal and vegetation resulting in huge ecological disasters. In order to prevent such disastrous events WSNs can be deployed within forests and monitor in real time related parameters in order to assist in forest fire prevention. One example of this type of WSNs application is presented below.

With the rapid climate change, we are facing nowadays the necessity for proper forest fire prevention means is confoundedly pressing. Such an example is described in [27], which compares traditional methods (patrols, watchtowers, satellite imaging) to a WSN array monitoring system using the ZigBee protocol [28]. Related parameters as temperature, humidity, etc. are monitored in real time and being send at a monitoring center in order to be analyzed. Then the system can make quick estimations of fire danger and inform the relevant department to take action.

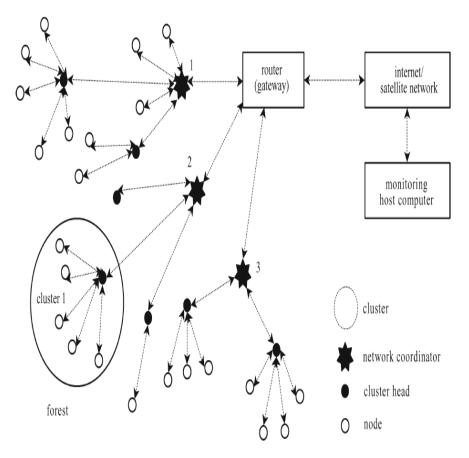


Figure 1.23. A ZigBee based WSN application for forest fire detection.

However, their efforts at the time faced many difficulties, namely the complexity and peculiarity of any given forest. Such a problem requires tailor made solution for each application and at the time, it could only be implemented as supplementary to conventional means of forest supervision.

1.4.3.3.4 Tsunami detection

Another natural disaster is the tsunami waves. It is crucial for coastal regions to be informed early of such a catastrophe. WSNs can be utilized for real time monitoring enabling the authorities to act proactively. In Figure 1.24, a Tsunami detection WSN application is illustrated.

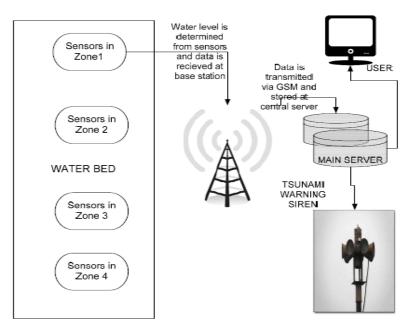


Figure 1.24. A diagram of a WSN system for Tsunami Detection. [29]

The authors of [29] developed a tsunami detection system which utilizes a WSN with underwater sensor nodes deployed in coastal regions. In order to inform proactively, the authorities the system utilizes a lexical resource messaging system, called SentiWordNet, which is able to provide information extracted from the sensor messages.

1.4.4 Flora and Fauna Applications

Both flora and fauna domains are vital for every country. In Figure 1.25, the main subcategories of flora and fauna applications of WSNs, which namely are greenhouse monitoring, crop monitoring and livestock farming, are illustrated along with the types of sensors that are most commonly used in them.

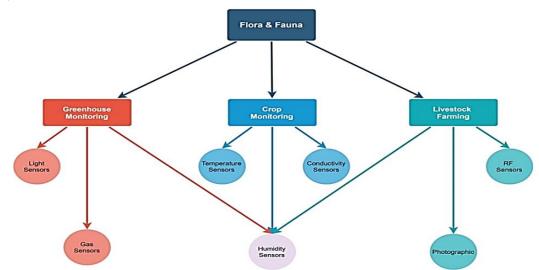


Figure 1.25. The subcategories of Flora & Fauna applications of WSNs and the types of the sensors used in them.

In what follows in this subsection, WSNs that have been developed for these types of flora and fauna applications are examined.

1.4.4.1 Greenhouse monitoring

A great sector of the agriculture domain is the Greenhouses. Within them, many crops can be grown to provide sustainable food for the people. Despite the climate changes, crops can be harvested all year round if certain conditions are applied within the greenhouse. Therefore, WSNs can be applied in greenhouse monitoring and control to improve their operation. Below are some examples of these applications

In [30] the authors developed an inexpensive and easy to apply system, called Agricultural Environment Monitoring System (AEMS) that can collect and monitor data related to crop growth, inside or outside a greenhouse via WSN sensors and CCTV cameras. The system gathers vital environmental parameters such as temperature, light intensity, humidity, air pressure, rainfall level, pH and electrical conductivity (EC).



Figure 1.1.26. A depiction of a Greenhouse for Capsicum Annum cultivation [30]

A system for greenhouses has been developed in [31], which has energy management and indoor climate control capabilities. The system monitors vital greenhouse parameters such as indoor luminance, temperature and relative humidity. The indoor climate control is possible by the utilization of two fuzzy logic controllers, P (Proportional) and PD (Proportional-Derivative) that use desired indoor climatic set points. Furthermore, the system utilizes output actuations of heating units, motor-controlled windows and shading curtains, artificial lighting, etc. to achieve a more precise greenhouse control.



Figure 1.27. A deployed Nose3 sensor at the MAICh greenhouse [31]

1.4.4.2 Crop monitoring

Within the agriculture domain, the preservation of the crops plays a vital role. In order to provide a better environment for the crops, various WSNs applications can be implemented. Crop irrigation and fertilization are some examples of the applications that have been designed as described below.

Hidro Bus is a system described in [32]. It is a remote controlled, automatic irrigation system for large areas of land applied at Jumilla (Murcia, Spain). The system divides the deployment area into seven sub-regions, where each has a control sector monitored and contended The control sectors are communicating with each other and the central controller via WLAN network.

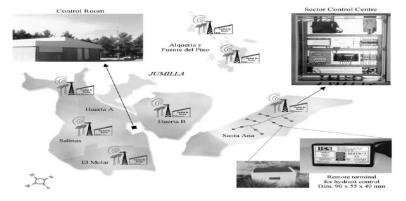


Figure 1.28. An illustration of Jumilla's WSN based irrigation system [32]

An automated fertilizer applicator system is built in [33], which consists of three modules, the input, the decision support and the output modules. The input module can provide to the Decision Support System (DSS) module, GPS and real time sensor data by utilizing Bluetooth technology. With these data, the DSS is able to calculate quantity, application rate and the spread pattern of the fertilizer that the output module will provide to the crops.

The authors of [<u>34</u>] developed and integrated optimal fertilization decision support system using wireless sensors LAN using IEEE 802.11 protocol (Wi-Fi) and GIS analysis server. Sensors were used to acquire vital data in real time such as soil moisture, conductivity, temperature, etc. The system was designed using B/S (browser/Server) structure mode to provide high interactivity. GIS analysis server was used to interpolate the data from small experiment plots for larger plots to exploit data reduction for energy conservation.

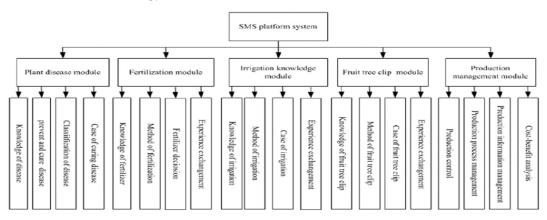


Figure 1.29. A structure diagram of the SMS (Short Message Service) platform system [34]

Similarly, in [35] a scheme based on the collaboration of an integrated system for automated irrigation management with an advanced novel routing protocol for WSNs, was proposed. This system aims at efficiently managing water supply in cultivated fields in an automated way. The system takes into consideration the historical data and the change on the climate values to calculate the quantity of water that is needed for irrigation.

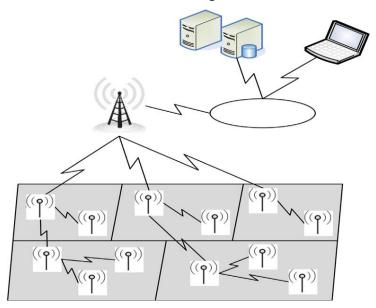
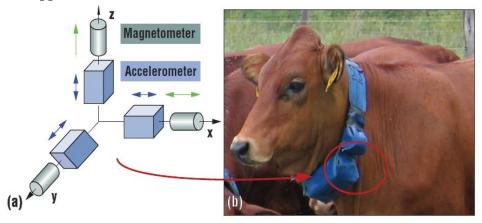
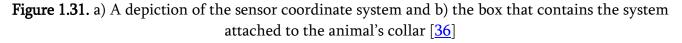


Figure 1.30. The complete setup of the WSN based automated irrigation system [35]

1.4.4.3 Livestock Farming

Livestock farming is as a great sector of the fauna domain that plays a vital role. The WSNs can be applied in various tasks such as livestock monitoring. Below we have collected some examples of these applications.





The authors of the study [<u>36</u>], created a system sensor based solution to analyze the behavior of animals and their control as well as the pastures assessment. Cattle for grazing use green pastures. Therefore, grass growth was analyzed through photographic sensors, so that animals can be moved towards them. Their major focus of work was to design rugged hardware that could be used outdoor for modeling animal's individual and herd behavior. Although they used specially

designed sensors to monitor animal behavior like sleeping, grazing, ruminating etc., Cattle monitoring poses several challenges like radio attenuation caused by animal body, mobility etc.

The authors of [<u>37</u>] designed a low cost and low power collar having solar power relay router and two antennas placed at carefully selected location that optimizes the collar radio coverage. They also proposed Implicit Routing Protocol (IRP) to cope up with high number of packet loss due to animal mobility.



Figure 1.32. a) An antenna diversity collar, b) deployed BS and c) a solar powered antenna diversity router. [<u>37</u>]

In [<u>38</u>] the authors describe a system integration based on RFID and WSN technologies, which can be applied in modern farm, large-scale, management systems. The proposed system can identify and track any individual animal within the farm, can monitor their health as well as the environment conditions. According to the authors, this system by utilizing the aforementioned parameters can be used to prevent diseases, to find out environment pollution and inform about food safety issues.

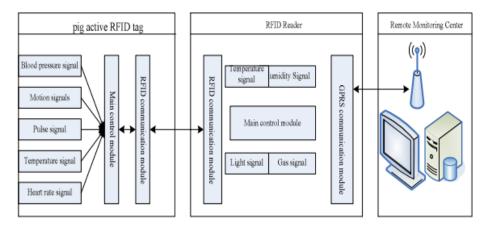


Figure 1.33. A depiction of data collection and transmission of the system [38]

1.4.5 Industrial Applications

WSNs can be applied in various industrial applications to solve many related problems. In Figure 1.4.33, the main subcategories of industrial applications of WSNs, which namely are logistics, robotics and machinery health monitoring are illustrated. These specific categories of applications are studied in the rest of this subsection.

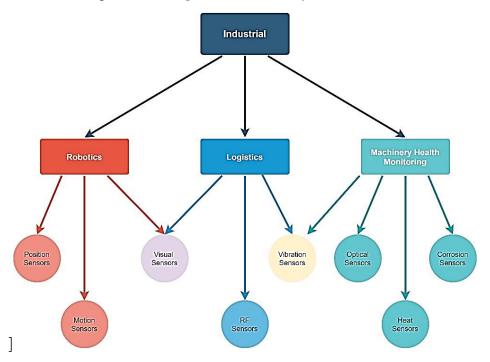


Figure 1.34. The subcategories of the industrial applications of WSNs and the types of the sensors used in them.

1.4.5.1 Logistics

The domain of Logistics is an area of interest where WSNs can be applied. Many logistics systems need real time monitoring of various environmental parameters and better handling of packages. These requirements can be fulfilled by combing these logistic systems with WSNs. Some of these type of applications are described below.

The transport logistics sector is an area of big interest for WSN applications as described in [39]. This sector requires low cost and high quality during deliveries. WSNs can increase quality by providing better supervision and lower the cost by better handling reduced losses during transportation.

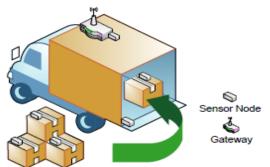


Figure 1.35. A WSN based for Logistics [39]

The application of WSNs in Cold Chain Logistics (an uninterrupted temperature controlled supply chain) can improve the monitoring and management of these chains at a big rate [40]. The WSNs are suitable for real time monitoring of many environmental parameters and more accurate collected data that meets the demand of Cold Chain Logistics. The researchers used a Zigbee ad hoc network model to build the system's framework. With the utilization of fuzzy control

decision, the environmental parameters are maintained in a stable range and with Maximum Similarities Multiple Characteristic Recognition (MSMCR), the safety of cold-chain food is ensured.

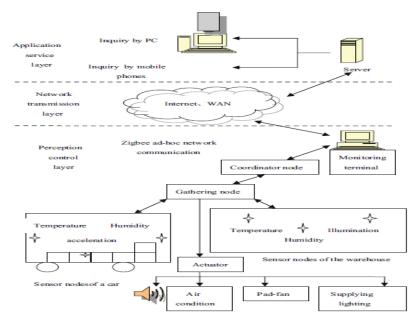


Figure 1.36. The architecture of the overall system [<u>40</u>]

Another application of WSNs in Cold Chain Logistics is developed in [41]. This system is focused only on aquatic products and their transportation. In order to develop such a system, the authors proposed a WSN integrated with Compressed Sending (CS), in order to combat heavy data traffic from the real time sensor data transmission. In addition, CS provides a low complexity approximation, which benefits storage, transmission, processing and meets the recourse constraints of WSNs.

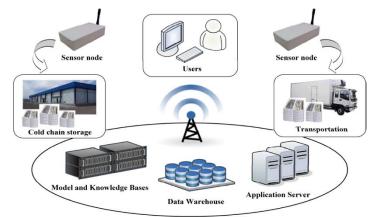


Figure 1.37. A representation of the MS-FCAP system [41]

A WSNs application in logistics that utilize GPS technology is described in $[\underline{42}]$. This system monitors in real-time the status of the goods and has embedded a terminal, which is used to locate the goods and a cloud services platform, which is used to identify the recipient.

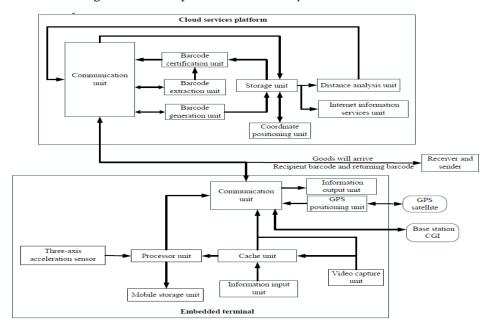


Figure 1.38. A diagram of the system model [42]

1.4.5.2 Robotics

Nowadays many applications combine WSNs and robots. Robots can cooperate and assist in dealing with some of the major problems of the WSNs, such as sensor node mobility, node redeployment, travelling salesman, etc. A few WSNs applications are presented below.

A robotic navigation method proposed in [43] that used a WSN with sophisticated sensors designed to provide an intricate map of its sensing area, which would provide "road maps" for the robot to traverse. Each sensor would construct a map based on traversable area sensed. All sensors' maps can then be combined to create one larger map, in which possible paths for the robot to follow are displayed. Once the "road maps" are generated, the sensors can then be used to sense areas of interest for the robot to travel to. The robot would then consider all possible roads to take, and select the most efficient path available in the network. The network is also able to reconfigure the road map if an area becomes hazardous for the robot, and removing the hazardous area from the list of available paths.

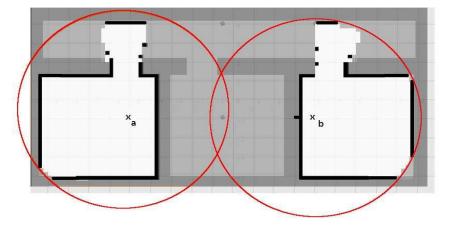


Figure 1.39. A representation of the sensors' (a) and (b) environment where the color of the square represents a state; white squares are free, gray are unknown and black are blocked, while the red circles are their sensing range [43]

One of the most difficult tasks concerning WSNs is the maintenance of a projected network. A combination of WSNs and robotics has been researched in [44], where a robotic network servicing system, named Randomized Robot assisted Relocation of Static Sensors (R3S2) was developed. In R3S2, robots move around a network, which is contained by a virtual grid. The robot moves to the least recently visited grid point, searching for sensing holes in the network. When an area that is not being covered by a sensor is detected, the robot will find a node, which has overlapping coverage with other nodes, and move it to the uncovered area. In addition, if the robot discovers redundant sensors, it will move nodes to cover more area.

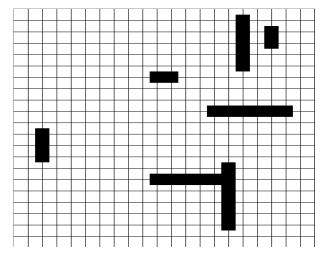


Figure 1.40. A grid of the environment that the robot utilizes in order to move [44]

A different approach is proposed in [45], where a mobile robot is used to transfer data from a widespread network between nodes that are out of reach from one another for various reasons. The so-called travelling salesman problem-within a WSN. The traveling salesman problem is a problem in which a salesman is required to visit a list of cities by using the path, which will minimize the travel time. By having a robot travel between nodes which are out of each other's wireless communication range, it allows the network to be wide spread or monitor specific regions of interest, while also saving power by keeping wireless communications related to network activity to communicating with the robot, introducing the concept of data mulling.

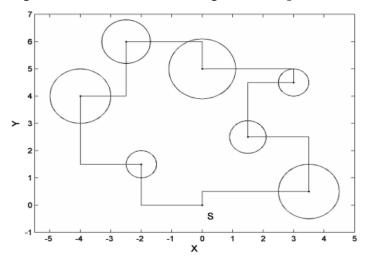


Figure 1.41. The optimal TSP route in the Manhattan plane with seven sensors [45]

1.4.5.2 Machinery Health Monitoring

The objective of machinery health monitoring is to examine the performance of various types of technical equipment and to either detect or predict the occurrence of faults that are obstructive or even catastrophic for their operation.

In [46], a WSN is developed in order to perform energy usage evaluation and condition monitoring for electric machines. The motor efficiency and health condition are estimated non-intrusively by using wireless nodes that monitor the motor terminal quantities (i.e., line voltages, line currents, and temperature with no interference with the operation of the electric machines.

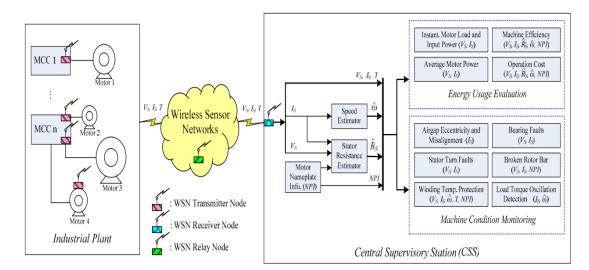


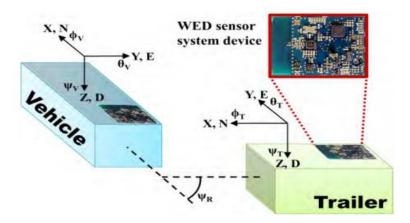
Figure 1.42. A WSN based application to evaluate energy consumption and monitor the condition of the system. [<u>46</u>]

In [47] a WSN based monitoring system oil and gas pipelines is proposed. In order to detect the existence of leakages, the system uses wireless sensor nodes, which monitor pressure and temperature of the pipeline fluid at several points of interest on the pipelines, which are stretched over large geographical areas.



Figure 1.43. A test setup for the REMONG board and the monitoring application [47]

In [48] a WSN designed to enhance safety in industrial machinery consisting of a main vehicle and an attached trailer is proposed. A 3D accelerometer and a 3D magnetometer monitor the trailer operating conditions and the corresponding data are wirelessly transmitted to a processing unit, which executes a stability control algorithm. A vibrational energy harvesting system developed, converts kinetic energy from trailer natural vibrations to electrical energy for the system power supply.





1.4.6 Urban Applications

The variety of sensing capabilities offered by WSN also provides an opportunity to gain an unprecedented level of information about a target area, be it a room, building or outdoor. WSNs are fundamentally a tool to measure the spatial and temporal characteristics of any phenomena within an urban environment enabling to provide a limitless number of applications. As depicted in Figure 1.45 and further described in the rest of this subsection, the most popular applications of WSNs in urban domain are related with smart homes, smart cities, transportation systems, and structural health monitoring,.

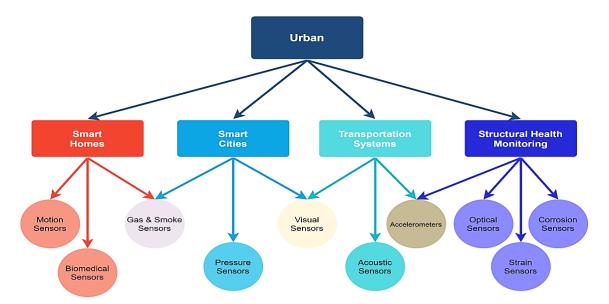


Figure 1.45. The subcategories of the urban applications of WSNs and the types of the sensors used in them.

1.4.6.1 Smart Cities

In large cities, monitoring of various parameters is crucial for the optimal life of the citizens. WSN have a huge amount of applications in order to provide real time data to the authorities for the optimal function of a city. Specifically, increased transportation of people creates problems and time wastage, when large number of vehicles is heading towards common destinations. WSNs can be utilized for monitoring in order to reduce traffic, indicate car parking spots, etc. [49]. Below are described two common applications of WSNs, traffic monitoring and a car park management system.

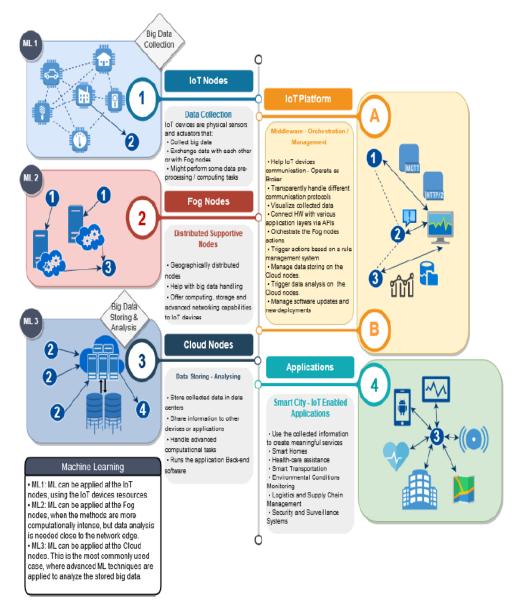


Figure 1.46. An illustration of the main elements of the Internet of Things (IoT) system [49]

The authors of [50] developed a system to solve the road traffic problem of modern industrialized countries. They proposed a new traffic control system based on WSNs, which has huge capacities of managing and getting acknowledge of traffic. The sensor nodes of this system are deployed along the road in order to provide real time traffic monitoring by using magnetic sensors.



Figure 1.47. The test setup of the prototype of the system [50]

An intelligent car parking system based on a WSN is described in [51]. This system utilizes low-cost wireless sensors deployed into a car parking lot. Every parking spot is assigned with a sensor able to sense and monitor its occupation. A BS collects periodically data from the sensors and provides them to a database, which can be used to find open parking spots, security issues or statistics report.

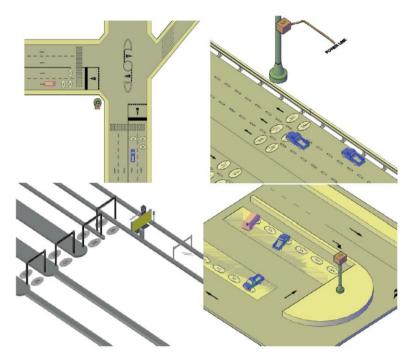
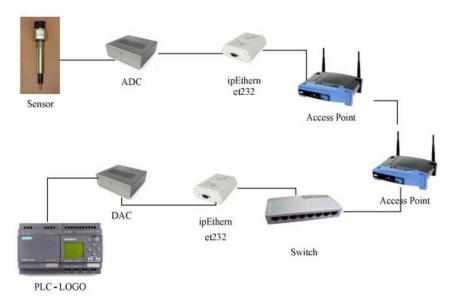
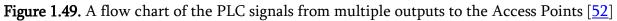


Figure 1.48. A depiction of the various setups where WSNs can be deployed [51]

In [52], an experimental system for road traffic control nearby flooded tunnels is proposed. The system, consisting of a WSN and a centralized control system, monitors the level of water in the interior of tunnels and not only regulates the water drainage of flooded tunnels by using pumps, but also performs traffic control of vehicles approaching the entrance of these tunnels.





A WSN based street lighting monitoring and control system is presented in [53]. This system enables the remote control of street lighting lamps, by using integrated Doppler sensors that allows for vehicle detection. Specifically, the light intensity of the lamps is increased, to a preset level, in the presence of approaching vehicles and reduced in the absence of them.



Figure 1.50. The street light monitoring and control WSN based system [53]

In [54] a smart system that aims at tracing assets that are either lost or stolen within urban areas. Specifically, a Bluetooth Low Energy (BLE) tag is attached on or within the assets that have to be supervised. Once an asset of this kind is considered to be missing, his/her owner informs the operational center (OC) of the public authorities. that in turn broadcasts an alert signal that activates the BLE tag in order to send beacon signals, that are detectable to all smartphone holders that are located nearby. In Figure 1.51 the operation of the overall system is graphically demonstrated.

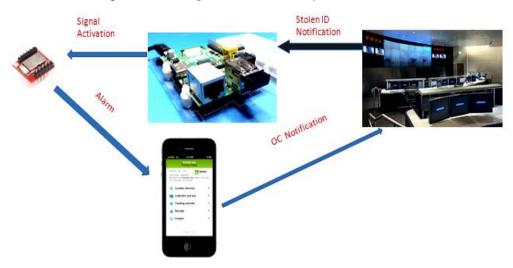


Figure 1.51. Graphical overview of the antitheft system operation [54]

1.4.6.2 Smart Homes

In the era of informatics, various systems can improve human lives. The wireless sensor networks can be applied in indoor environment as smart homes where machine-to-machine communications take place. Two typical examples are the indoor localization and motion monitoring and the monitoring of the indoor air quality.

Specifically, the WSN based IAQ monitoring system, which is described in [55], uses sensor nodes that measure temperature, relative humidity and concentration of carbon dioxide in classrooms in order to correlate the level of indoor air quality with the students' level of performance in their study.

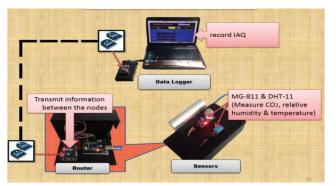
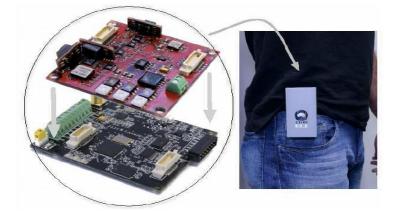
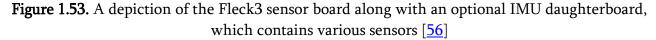


Figure 1.52. A complete representation of the monitoring system [55]

Another popular application of indoor localization and motion monitoring that uses WSNs, is described in [56]. The authors developed a system, which is used to estimate the position of a person within an indoor environment. The system utilizes mobile sensor nodes worn by the moving persons and a network of static sensor nodes at known locations. To calculate the person's position, the system gathers data from the nodes in a central PC and applies Monte Carlo based estimation algorithms to track the moving persons in real time.

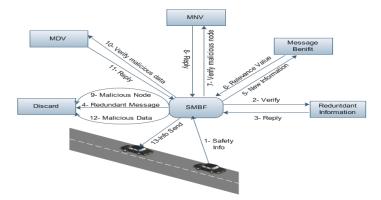




1.4.6.3 Transportation Systems

The transportation of vehicles within the urban environment is an important factor for the safety and proper function of the public roads. In the modern era, various researchers have proposed many systems in the transportation domain, which by utilizing WSNs can improve the safety of the roads and provide information during the use of them by the drivers. Below we present some relative WSNs applications applied in the transportation domain.

In [57] the authors describe a WSN application for VANETs. The main purpose of such an application is to monitor a persons' way of driving by utilizing a processing unit on the vehicle, which analyzes data, collected from the sensors in order to calculate the cost of the driver's insurance. The described system is utilized in order to determine how much the driver detects nearby vehicles, drives with caution, follows the traffic law or uses a mobile device. The sensing system includes a static sensor board that provides the sensed data via cellular network to the control center and a GPS / UMTS module in order to connect to the Internet.





The authors of [58] proposed a system, which analyzes the performance of a vehicle on the road by utilizing a telematics WSN. The system utilizes deployed sensor nodes on the road and a sink node on the roadside. The sensors nodes detect the passing vehicles and transmit data to the sink node. Furthermore, when an event happens a packet is created, the sink node implements a timestamp to it and forwards it to the com node, which utilizes a level-based static routing protocol. Following that, the com node forwards this packet to the user, which analyzes the

provided information in order to determine various metrics of the road such as a vehicle's speed, lane vehicle density etc.

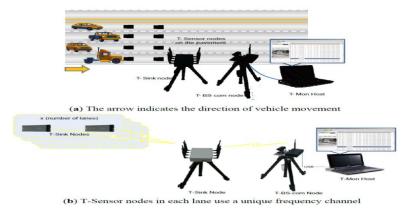


Figure 1.55. A complete representation of the WSN based Transportation monitoring system [58]

In the latest years, various WSN are deployed within cities resulting in the formation of small WSN based islands. In [59] the authors describe an integration of these existing islands deployed along the roadside, in order to be utilized for post-accident management and to prevent accidents. Furthermore, the authors utilize two wireless standards i.e. IEEE 802.15.4 and IEEE 802.11p due to the need for separate roadside sensor units. In order to prevent accidents, the WSN islands are constantly detecting road conditions and transmit information to the vehicles passing by the area, which aggregate these data. Then the vehicle that has these information, transmit warning messages to nearby vehicles in order for the data to be disseminated along the road. The post-accident gathered data are restricted, by the authors, to be used only by authorized personnel such as insurance companies, forensic teams, etc. Moreover, according to the authors the post-accident management can function properly by communicating with the WSN islands on the roadside, without the use of VANETs.

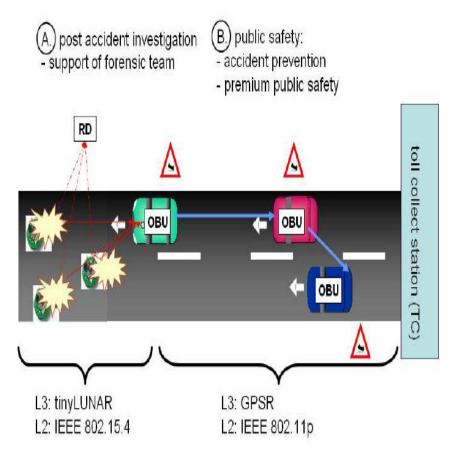


Figure 1.56. An illustration of the WSN deployed for an Intelligent Transport system [59]

The researchers of [60] have presented a mechanism that controls the speed of a vehicle by utilizing an infrastructure-to-vehicle communication via WSNs. In the described system, the traffic lights utilize long-range RFID tags, which are able to measure a vehicle's speed and communicate with the vehicle's onboard RFID tags, to avoid collisions. The onboard RFID tags are able to provide feedback to actuators, which can change the longitudinal speed by controlling various parameters of the vehicle such as braking and throttling. Furthermore, the authors created a fuzzy logic algorithm enabling the automatic control of the vehicle.

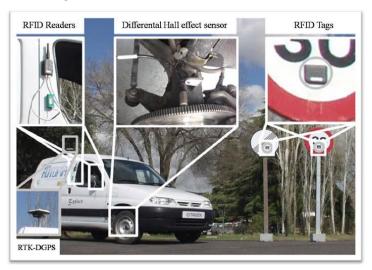


Figure 1.57. A depiction of the various sensors deployed on a car for experimenting [60]

1.4.6.4 Structural Health Monitoring

The aim of Structural Health Monitoring (SHM) in buildings and other types of civil engineering substructures, is to monitor their integrity and to detect the existence and the extent of damages in the materials or/and the structure of their bodies. The use of wireless sensors facilitates the accomplishment of tasks of this kind both in a periodic basis and after critical events (e.g. earthquakes).

A WSN application of this kind is presented in [61]. Specifically, a WSN incorporating nodes with appropriate sensors, such as accelerometers and strain gauges, was developed to monitor the restoration works carried out on an old church building because of the damages suffered after an earthquake. The system monitored the on the fly response of the structure to vibrations and enabled the transmission of alert signals when needed.



Figure 1.58. The deployment field of the WSN [61]

In [62] the design, development, and deployment of a, WSN based, structural health monitoring platform for a stadium is described. By using vibration sensing, the system collects real time, data during athletic and other major events to verify the structural behavior of this stadium.

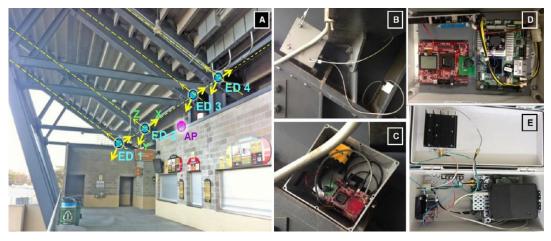


Figure 1.59. A) A representation of deployed WSN at Bobby Dodd Stadium, B) An enclosed sensor node, C) A node with its battery pack, D) An access point and a cluster head, E) Software defined radio [62]

Another structural health monitoring system, which makes use of a WSN, is presented in [63]. This system is designed, implemented, deployed and tested to monitor the structural performance of a bridge, by sensing vibration signals that are produced under various conditions in specific points of interest located at the body of the bridge.

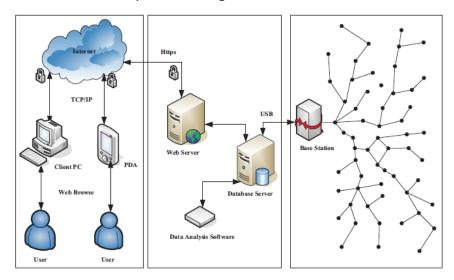


Figure 1.60. A diagram of the BHSM-WSN system architecture [63]

1.5 Advantages of WSNs

The inexpensive production of sensor nodes of this kind, which despite their relatively small size, have exceptionally advanced sensing, processing and communication abilities has become feasible due to continuous technological advances.

A WSN may contain one or more BSs along with some hundreds or even thousands of sensor nodes. The combined use of such a quantity of nodes enables the concurrent acquisition of data related with the ambient conditions at wide areas of interest. Furthermore, this collaborative use of such a sufficient quantity of sensor nodes enables a WSN to collect more representative and reliable data about a specific area.

Compared to Wired Sensor Networks, WSNs are more flexible, because they do not require a wire installation to function and they are able to operate in environments without access to power, due to the fact that they rely exclusively on their battery For this reason, WSNs attract academic and industrial interest [8,52,64-68].

1.6 Problems of WSNs

On the other hand, despite the numerous benefits that WSNs offer as stated above, there are serious problems that obstruct their operation, such as the presence of congestion, connectivity loss, vulnerable security, deteriorated QoS, and insufficient coverage [$\underline{68}$ – $\underline{73}$]. Yet, it is commonly accepted that, the most important, by far, weakness of WSNs is the extremely limited lifetime of their sensor nodes, due to their strict energy constraints.

This is because the batteries that typically supply the energy to the sensor nodes are usually impractical to be either replaced or recharged because sensor nodes are usually located at difficult to reach places. The restricted energy sufficiency of sensor nodes is the main cause for the termination of their operation and thus the gradual elimination of the overall lifetime of WSNs.

Therefore, the accomplishment of energy saving is an issue of crucial importance for the effective operation of WSNs [74]. This is why energy inefficiencies that exist at each one of the five layers of the protocol stack of sensor nodes have to be eliminated. Actually, a sensor node consumes its energy primarily during its wireless communications and only partially during sensing and data processing [75].

For this reason, numerous research efforts pursue energy conservation at the network layer of the protocol stack through the attainment of energy efficient route setup and consistent data communication among the sensor nodes themselves and the BS. Furthermore, to magnify the importance of this limitation, the thesis includes a whole chapter on the energy efficient routing protocols.

CHAPTER 2: ENERGY EFFICIENT ROUTING PROTOCOLS FOR WSNs

2.1. Introduction

The main operation of a WSN, as stated in the previous chapters, is to collect data from an area of interest and transfer them to the end user. During this operation, the data that are collected by the sensor nodes, are send through them and the BS, to the network's user. This process is of critical importance, because these data can be critical. During the broadcast, the energy consumed reaches high levels and should be limited before the network nodes exhaust their reservoirs. Furthermore, the described – data routing - procedure needs to be executed in a centralized matter, since a single node is not able to control the whole network. This procedure is ruled by the routing protocol, which determines how the network's data will be routed and additionally is responsible for the preservation of its integrity and energy reservoirs.

2.2. Network's Architecture

In a WSN, the so-called protocol stack regulates the functionality of both the sensor nodes and BS. The protocol stack depicted in Figure 2.1, consists of five operation layers: the application layer, the transport layer, the network layer, the data link layer and the physical layer [<u>1</u>].

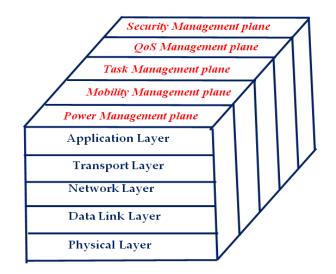


Figure 2.1. WSN's protocol stack.

Respectively, the physical layer is responsible to perform reception tasks, as to select the communication frequency, modulation, transmission, data encryption, generation of carrier frequency and the detection and modulation of signals. The second layer called data link, handles data stream multiplexing, medium access and error control and data frame detection in order to achieve consistent point-to-multipoint and point-to-point connections. The third layer, which is called the network layer, routes the data, delivered by the transport layer, to their destination. The retainment of the data flow is a responsibility of the fourth layer called transport layer. The final

layer, called application layer is adapting its content in order to suit the characteristics of each application's specification.

Furthermore, in order to optimize the operation in terms of corresponding performance metrics, five management planes of a WSN, are included in the protocol stack. They namely are the power, the mobility, the task, the quality of service (QoS), and the security management planes [76].

Moreover, the main responsibility of the power management plane is to diminishing energy consumption. The mobility management plane is responsible for maintaining data routes even when there are movements of nodes. The task management plane is responsible for the assignment of various tasks, such as sensing, routing, and data aggregation, to the sensor nodes. The QoS management plane deals with fault tolerance, error control, and performance optimization according to particular QoS metrics. The Security management plane records and regulates the network performance in terms of security [77].

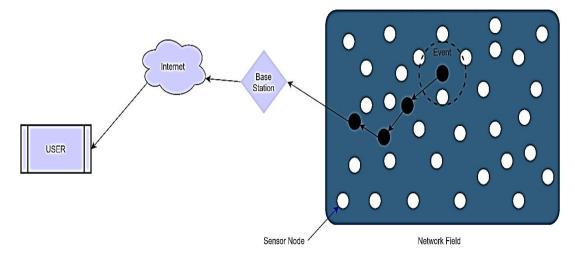


Figure 2.2. WSN's typical architecture.

2.3 Routing Protocols

The backbone of the network are the routing protocols of the WSNs. These protocols are in charge of the execution of all the necessary functions of a WSN, in order to operate properly. Furthermore, they define the operations that a sensor node executes, such as communication and sensing processes, as well as the frequency of these functionalities.

In the past, researchers along with the industry designed and developed many different protocols, each oriented to different parameters and features, such as Quality of Service, Credibility, Security, Network Stability, Energy Efficiency and others.

As stated earlier the main limitation of such networks is their limited energy source and in order to improve their usage, the only solution was advances in battery technology so that they could provide more energy capacity. Furthermore, it is clear that the most energy consuming function of a WSN is the data transmission due to the huge numbers of wireless communications that happen during the operation of this type of networks, a function controlled by the routing protocol of the WSN. Therefore, instead of a difficult hardware upgrade, there is an alternative fast and cost effective software method to counter such a great problem as the energy constraints. This alternative is to design new routing algorithms focused on energy efficiency. Consequently, the main goal of these routing protocols is to preserve the energy of sensor nodes, in order to keep them active for as much time as possible, and thus prolong the network lifetime and retain network connectivity.

For all aforementioned reasons, numerous protocols for energy efficient routing especially in WSNs have been proposed during the last two decades. Many of them are collectively presented in relevant surveys [78–85]. For taxonomy purposes, the use of specific categories has been suggested in order to classify protocols. This work both adopts and extends the classification proposed in [80, 81], as shown in Figure 4. According to this, protocols are classified into four categories depending on i) how the network is structured, ii) how data are exchanged, iii) whether location information is used or not, and iv) whether Quality of Service (QoS) or multiple paths are supported or not.

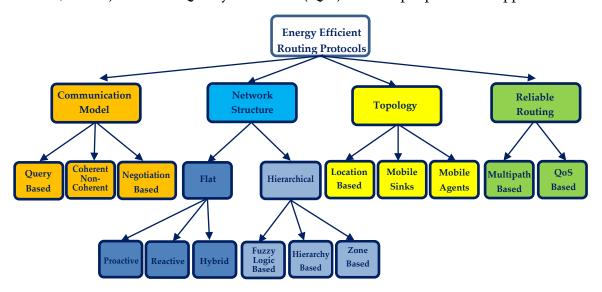


Figure 2.3. The classification of Energy Efficient Routing Protocols as adopted in this research work.

The intention of the thesis is to provide a collective presentation of characteristic protocols that belong to each one of these categories, both classic and modern ones, highlight their main features, make a comparative study on their advantages and disadvantages and provide a discussion on general and specific considerations raised.

2.3.1 Communication Model

In the protocols of this category, communication takes place from neighbor to neighbor, usually via single-hop routing. These data-centric protocols can convey more data for a certain quantity of energy. However, data delivery is not guaranteed. Protocols of this kind are classified

into three subcategories depending on the method used in order to exchange data, which namely are: Query based, Coherent/Non-coherent, and Negotiation based.

2.3.1.1 Query Based

Protocols of this subcategory use queries in order to route data. Whenever a node needs new data, it propagates a message (query) to ask for these data from the node that has them. Next, the node, which owns the data requested, sends them to the node that has applied the query. In what follows in this section, six typical examples of query based energy efficient routing protocols are described.

Directed Diffusion (DD) [86] is a protocol that uses a naming scheme for data packets. It saves energy by diffusing data through the nodes and preventing unnecessary operations to run.DD uses a list of attribute-value pairs, with which it defines interests as object name, transmission, or geographic location. Interests are broadcasted from the BS to its neighbors and can be cached for later use. Interest caching includes gradients. Gradient is a reply link, from the neighbor sent the interest, which is described by data flow, duration, and expiring time generated from received interests. The nodes can do in-network data aggregation that is modeled as a minimum Steiner tree. Combining interests and gradients, multiple paths are generated between the BS and nodes, with one path been chosen using reinforcement. To achieve this, the BS resends the initial interest from the selected path, in smaller intervals, resulting in reinforcement of the source node to send data more frequently. When a route failure occurs, DD tries to create a new or an alternative path by reinitiating reinforcement to search for new paths with lower casting ratios. The main advantages of DD are that node-addressing mechanisms are not needed and there is no need for global knowledge of network topology. In addition, high-energy efficiency is achieved. On the other hand, its query-driven model is not applicable to all WSNs. In addition, DD is not suitable for environment monitoring. Moreover, depending on the application, the naming scheme should be defined beforehand.

COUGAR [87] is a protocol that perceives the network as a distributed database system. It uses declarative queries to replace the network layer functions of query processing, as the selection of relevant nodes and utilizes in network data aggregation to save energy. To replace the network layer functions, it imports an additional layer, called query layer, between network and application layer. In COUGAR's architecture, nodes select a leader node for data aggregation and transmission to the BS. Then, the BS generates a query plan, which also describes leader selection for the query, with information about in network computation and data flow for incoming queries and sends them to relevant nodes. The main advantage of COUGAR is that it provides energy efficiency even with huge number of active nodes. However, more overhead is added by the extra layer usage. Moreover, data computation in the network needs synchronization.

ACtive QUery forwardIng in sensoR nEtworks (ACQUIRE) [88] uses a data centric mechanism for query sending and perceives the network as a distributed database, as COUGAR, which can divide complex queries in many sub-queries. The BS transmits a query, which is forwarded from every node that receives it. Upon query forwarding, nodes use their pre-cached information to reply to the query partially, updating pre-cached information from neighbor nodes, when needed, within a d hops distance. After the query is resolved, it can be sent back to the BS from either the reverse path or the shortest path. ACQUIRE provides efficient queries with proper

setting of look-ahead parameter d. The traffic behaves like flooding when look-ahead parameter d is equal to network size but when the parameter is significant small queries have to travel more. ACQUIRE provides efficient querying when responses are collected from many nodes. However, if the look-ahead parameter is too small, query travels more hops.

Energy aware routing [89] is a data centric routing protocol that constantly uses non-optimal paths to maximize network lifetime. To pick one of these paths, it uses a probability function that depends on energy consumption of each path. This approach takes into consideration network lifetime as the only metric attribute. Instead of using the minimum energy path, it uses multiple routes with a certain probability to maximize network lifetime. The operation of the routing protocol has three phases:

- i) Setup phase: Localized flooding is performed to find all paths from source to destination calculate corresponding energy costs and create routing tables.
- ii) Data Communication phase: Based on the energy costs calculated, routing paths are chosen probabilistically and data are sent from source to destination.
- iii) Routing maintenance phase: With the intermittent use of localized flooding, routing paths are kept alive.

Energy aware routing protocol compared to DD provides greater energy efficiency. However, a single path use removes path failure tolerance. In addition, compared to DD, more complex routing paths are formed due to the storage of location information and the addressing mechanism.

Gradient Based Routing (GBR) [90] is a variant of Directed Diffusion. It combines the number of hops with interests and creates link heights and gradients to improve data communication. When an interest is diffused through the network, the number of hops is stored. Every node can find out the minimum number of hops to the BS, called node's height. A link's gradient is the difference of height between two neighbor nodes. A packet is transmitted through a link with a high gradient. The algorithm uniformly balances traffic over the network, which helps to balance nodes' load and prolong network lifetime, using techniques as data aggregation and traffic spread, as nodes act as relays for multiple paths. It uses three data spreading techniques:

- i) Stochastic design: the sender node picks one link in random in case there are two or more hops with the same gradient.
- ii) Energy based design: when a node has energy below a specified threshold, it increases its height to discourage other neighbors to transmit data.
- iii) Flow based design: flows from nodes that are part of other flows are prevented.

Compared to DD, GBR has lower communication energy consumption. In addition, GBR provides great load balancing. However, increased overhead is produced.

In [91], two schemes called *Constrained Anisotropic Diffusion Routing* (CADR) and *Information Driven Sensor Querying* (IDSQ) are introduced. In order to maximize information gain while maintaining latency and bandwidth at minimum, nodes are queried and data are routed by activating sensor nodes close to a specific event and data routes are dynamically adjusted. In this way, compared to Directed Diffusion, greater energy efficiency is provided. In addition, queries reach neighbors first. On the other hand, the combination of the processes creates overhead. In CADR, nodes evaluate objectives of information/cost and route packets based on

local information/cost gradient and requirements of the end user. To model the information utility measure, standard estimation theory is used. In IDSQ, there is no defined routing of queries and information between nodes and the BS, but the way to select the optimal order of nodes for maximum incremental information gain is provided. Thus, IDSQ acts as an auxiliary optimization process.

A synopsis of the characteristics of Query based routing protocols is shown in Table 2.1.

Protocol	Advantages	Disadvantages	Scalability	Mobility	Routing	Robustness
Directed Diffusion [<u>86</u>]		It is not suitable for applications that need delivery of continuous data.	Good	Limited	The best route is used.	Low
COUGAR [<u>87]</u>	It provides energy efficiency during huge data generation.	It has overhead in nodes with the extra layer while data computation in the network needs synchronization.	Limited	None	The best route is used.	Low
ACQUIRE [<u>88</u>]	It provides efficient querying when responses are collected from many nodes.	A query travels more hops if the look-ahead parameter is too small and it has similar behavior as Flooding if the look-ahead parameter is equal to the network size.	Limited	Limited	The shortest route is used.	Low
Energy Aware Routing [<u>89</u>]	Compared to DD, it is more energy efficient with better network lifetime.	It is more complex than Directed Diffusion and the single path usage removes path failure tolerance.	Low	Low	Probabilistic forwarding through random routes is used.	Low
GBR [<u>90</u>]	It has great load balancing and reduced energy cost than DD.	Increased overhead is produced.	Low	None	The route with the largest gradient is used.	Good
CADR-IDSQ [<u>91</u>]	The queries reach neighbors first and it is more energy efficient than DD.	The combination of the processes creates overhead.	Good	None	The optimal routing path is used.	Good

 Table 2.1. Synopsis of communication model protocols belonging to query based subcategory.

2.3.1.2 Coherent/Non-Coherent

In this subcategory, nodes process collected data in the node level before they route them. In Coherent protocols, a node applies minimum processing only on the data it captures. On the other hand, in non-coherent routing protocols, nodes preprocess data they capture and send them to nodes, called aggregators, which further process them. In what follows in this section, two typical examples of this subcategory are described. A synopsis of their features is shown in Table 2.2.

Single Winner Algorithm (SWE) [92] elects an aggregator node, called Central Node (CN), to perform complex computations, depending on energy reservoirs and computational power. There are various message broadcasts before a CN can be elected. The first message is an announcement of nomination of each node and, when another node receives the message, it compares those candidates with itself. This comparison creates a second message broadcast, with the result of the comparison being sent again for another comparison, until a CN is elected. During the message broadcasts, better candidates create a minimum hop-spanning tree, routed at the winning

candidates, covering eventually the entire network. In SWE, great scalability is achieved. In addition, a minimum hop-spanning tree is used. On the other hand, high energy consumption takes place when electing a CN. In addition, there is high overhead in CN.

Multiple Winner Algorithm (MWE) [92] is an extension of SWE to prevent extra energy and computational overhead when multiple sources send data to CN. In MWE, each node keeps records of best candidate nodes and a set of minimum energy paths to each source. Thus, both energy and overhead are saved. Then, SWE is used to elect the best candidate for CN to aggregate data. Thus, energy consumption is reduced and a set of minimum energy routes to each source is found. However, long delays are caused and the scalability achieved is limited.

Table 2.2 Synopsis of communication model protocols of the Coherent/Non-Coherent subcategory.

Protocol	Advantages	Disadvantages	Scalability	Mobility	Routing	Robustness
SWE [<u>92]</u>	A minimum hop spanning tree is utilized during operation.	It has large energy consumption when electing CN and large overhead within the CN.	Good	None	The shortest route is used.	Low
MWE [<u>92</u>]	It has lower energy consumption and utilizes a set of minimum energy routes to each source.	It has high delay.	Low	None	The shortest route is used.	Low

2.3.1.3 Negotiation Based

In this subcategory routing protocols, a source node exchanges data with their destination after negotiating. These protocols name data based on a naming scheme and use these names to advertise, negotiate and eventually reduce redundant data at destination. In what follows in this section, SPIN family protocols, which are typical examples of this negotiation based energy efficient routing protocols, are described.

Sensor Protocols for Information via Negotiation (SPIN) [93] is a protocol that names the data using high level descriptors or meta-data. Before data transmission takes place, meta-data are exchanged among nodes via an advertisement mechanism. The meta-data format is no standard, but it depends on the application. Every node upon receiving new data advertises packets to its neighbors with advertisement messages (ADV). Interested neighbors, who do not have the data, send a request message (REQ). Then, sending nodes send the actual data (DATA) to interested nodes. This negotiation of meta-data not only solves the classic problems of Flooding, but also is more energy efficient. In addition, metadata negotiation reduces in half redundant data and changes in topology are localized. However, data delivery to destination is not guaranteed. This is because sensor nodes located between source and destination may not be interested. Furthermore, SPIN protocol is not appropriate for applications that require constant data delivery, such as intruder detection.

SPIN Point to Point (SPIN-PP) [94] is a variant of SPIN for communication between only two nodes that use the same 3-way handshake SPIN algorithm. When new data are available at a node, it sends ADV messages. Interested nodes send an REQ message back to the source, in order to show their interest for these new data. Then, the source replies with a DATA message containing the data. This algorithm is executed between two nodes, without any interference. In addition, as

it happens in SPIN too, SPIN-PP does not take into consideration energy constrains. In SPINN-PP protocol, set-up simplicity is offered, and implosion is avoided. However, needless energy consumption takes place while data delivery is not guaranteed.

SPIN-Energy Conservation (SPIN-EC) [94] protocol uses the same algorithm of SPIN-PP but adds a heuristic of energy conservation. To take into consideration energy constraints, SPIN-EC uses an energy threshold. Nodes, whose residual energy is below this threshold, can receive ADV or REQ messages, but they will not send REQ messages if they are interested or will not handle DATA messages. SPIN-EC considers energy constraints and properly adapts its operation. However, nodes even below the low energy threshold keep consuming energy because they are still able to receive ADV and REQ messages.

SPIN for Broadcast Networks (SPIN-BC) [94] is another variation of SPIN protocol that uses one to many communications. The source sends the ADV message to all nodes in its range and interested nodes wait for a predetermined time before they send an REQ message. In case they receive an REQ message from another interested node, they cancel their REQ message to limit unnecessary requests. The source, in the case that it receives the REQ message, broadcasts the DATA message once, regardless of the number of REQ messages. SPIN-BC uses cheap one to many communications. It also achieves good scalability and generally performs better than SPIN-PP. However, there is a waiting time before sending the REQ message.

SPIN with ReLiability (SPIN-RL) [94] utilizes the SPIN-BC algorithm with an ADV message tracking mechanism and a limit of data transmission at sources. The ADV messages contain information of the source while receiving nodes store them for later use. An interested node sends an REQ message and, in the case that it does not receive the DATA, it resends the REQ message. The source node then waits for a specific time before it broadcasts the DATA again to the interested nodes. Generally, SPIN-RL is a more reliable protocol than SPIN-BC. Furthermore, SPIN-RL provides remarkable loss tolerance and good scalability. Yet, processing tasks performed increase overhead.

A synoptic overview of the characteristics of the aforementioned negotiation based routing protocols belonging to the Communication Model category is presented in Table 2.3.

Protocol	Advantages	Disadvantages	Scalability	Mobility	Routing	Robustness
SPIN [<u>93</u>	It is approved attracate and	It is not suitable for constant data delivery applications.	Good	Supported	Single hop routing to neighbor nodes.	Good
SPIN-PP [<u>94]</u>	P It is simple, avoids implosion and has small set-up cost.	The data delivery is not guaranteed.	Good	Supported	Single hop routing to neighbor nodes.	Good
SPIN-EC [<u>94]</u>	It considers energy constrain and adapts its operation.	The nodes below the energy threshold can receive ADV or REQ messages thus consuming energy.	Good	Supported	Single hop routing to neighbor nodes.	Good
SPIN-BC [<u>94]</u>	2 It utilizes cheap one to many communications.	There is a waiting time before sending the REQ messages.	Good	Supported	Single hop routing to neighbor nodes.	Good
SPIN-RL [<u>94</u>]	¹ It has path loss tolerance.	It is time consuming.	Good	Supported	Single hop routing to neighbor nodes.	Good

Table 2.3. Synopsis of Communication Model protocols of the Negotiation based subcategory.

2.3.2 Network Structure

Protocols belonging to the network structure scheme are classified in two subcategories according to whether the nodes are treated as equals or whether they are members of a hierarchy. These subcategories are: Flat and Hierarchical protocols as described below.

2.3.2.1 Flat

In Flat subcategory, nodes are considered equal entities with unique global addresses. Protocols of this type can be further classified into three different operational categories, which namely are: proactive protocols, reactive protocols, and hybrid protocols.

2.3.2.1.1 Proactive

The proactive protocols are constantly active waiting to sense anything with the result of a quicker response and greater energy consumption. In what follows in this section, two typical examples of proactive flat energy efficient routing protocols are described.

Wireless Routing Protocol (WRP) [95] is a table-based protocol, which uses the distributed Bellman–Ford algorithm. WRP maintains information that is more accurate and an up-to-date view of the network with the use of a set of tables. These tables are:

- Distance Table (DT),
- Routing Table (RT),
- Link Cost Table (LCT),
- Message Retransmission List (MRL).

The DT contains the distance of neighbor nodes while the RT contains the routes to them. Furthermore, LCT contains the transmission energy cost of the links and MRL contains a sequence number of the update messages, a retransmission counter, an acknowledgement-required flag vector, with every neighbor being one entry and a list of updates sent in the update message. Mobile nodes to inform each other of link changes use update messages. An update message, which contains the updates in a list, is transmitted among neighboring nodes. Another list that contains responses indicating which mobile nodes should acknowledge the updates. When a loss of a link occurs between two nodes, they transmit to their neighbors, update messages. Then, the neighbors change the entries of their DT and check for new paths among other nodes. These new paths are transmitted back to the original nodes, which in turn update their tables.

In WRP, faster route convergence during a link failure event is achieved. In addition, few table updates are needed. Additionally, minimized looping situations are caused. On the other hand, large memory and great processing power are required. At the same time, scalability provided is limited.

Topology Dissemination Based on Reverse-Path Forwarding Protocol (TBRPF) [96] compares previous and current network states and broadcasts the difference between them, which is a smaller routing message that can be sent more frequently. Similarly, TBRPF protocol creates spanning trees from source to destination, by calculating minimum-hop paths used to broadcast, in the reverse direction, link-state updates. After minimum-hop path calculation, every source creates a broadcast tree. Every node has a topology table that includes all link states, a list of neighboring nodes and a parent, a list of children, and a sequence number of most recent link state

updates. New topology information is used to modify the spanning tree. The broadcast of a linkstate update that originates at a source is accepted by another node, if it is received from the parent of the source and has a larger sequence number than the corresponding link-state entry in the topology table of the parent. Then, the topology table is updated and forwarded to all children of the node.

In TBRPF protocol, multiple paths to destination are obtainable. Another advantage of TBRPF is that less frequent updates are needed. On the other hand, the waste of both bandwidth and packet is produced because of loop-freedom.

A synoptic overview of the characteristics of the aforementioned proactive flat routing protocols belonging to Network Structure category is presented in Table 2.4.

Protocol	Advantages	Disadvantages	Scalability	Mobility	Routing	Robustness
WRP [<u>95</u>]	It provides faster route convergence during a link failure event, few table updates and minimized looping situations.	memory and great	Limited	Limited	The shortest route is used.	Low
TBRPF [<u>96</u>]	It requests less frequent updates and creates multiple paths to destination.	It has bandwidth and packet waste of loop-freedom	Limited	Good	The shortest route is used.	Good

Table 2.4. Synopsis of Network Structure Protocols belonging to the Flat Proactive subcategory.

2.3.2.1.2 Reactive

The reactive flat protocols are actuated after an event has occurred leading in energy conservation, but also a slower response. In this subsection, five typical examples of protocols of this type are described.

Temporarily Ordered Routing Algorithm (TORA) [97] implements link reversal concept. Every node has an attribute called height, which is its location from the BS, with tall nodes being distant nodes and short being closer to the destination. When a link established the destination node compares its own height i with its neighbor height j, then the link is marked either upstream or downstream if *j* is greater than *i* or vice versa, respectively. The data packets flow through the downstream direction from distant nodes to closer to the BS nodes. In order for this algorithm to be energy efficient, control messages should be limited in a small area of nodes close to the event. The use of TORA reduces both energy consumption and communication overhead. Nevertheless, no incorporation of multicast in basic operation is achieved.

In *Flooding* [98], nodes broadcast data packets to all of their neighbors except the sender of the message, until the packet is received by its destination or the maximum number of hops is reached. Although Flooding does not require a routing algorithm to exist, in most cases, the destination will get its packet. In addition, it is resource blind because it generates network overhead with similar sensed data and it does not consider the energy reserves of nodes. It is easy to implement and requires no knowledge of network topology. On the other hand, neighbor nodes sensing the same region send similar data packets to the same neighbor node and high energy consumption without energy awareness is caused.

Gossiping [99] is another simple networking technique that solves the implosion problem of flooding and routes data without the need of a routing algorithm. During its operation, a source node picks a random neighbor node to send a data packet. Then, the receiver node picks another random neighbor to forward the packet and so on, until the destination receives the data. In Gossiping, implosion is avoided. On the other hand, delays in propagation of data are caused.

Rumor Routing (RR) [100] is an alternative of Directed Diffusion, operating between event flooding and query flooding. RR prevents flooding by creating agents whenever a node observes an event. These agents are broadcasted through the network creating paths to the event. When a query is created, it travels on random routes until it meets an agent, discovering the route to the event. If a query is unable to find any agent, the algorithm can either resubmit it or flood it.

RR is tunable to different application requirements and is able to maintain only one route from source to destination. In addition, less energy is consumed during event flooding and tolerance of node failures is achieved. However, RR performs worse as events get more. In addition, overhead is caused by adjusting used parameters.

E-TORA [101] is a variation of TORA with energy awareness. Instead of using only the shorter path nodes, it considers their energy level and prevents frequent use of low energy nodes, resulting in better network lifetime than TORA. Whenever a node needs new data, it broadcasts a query message and sets its route-required flag; receiving nodes operate as follows:

- i)In case the receiving node has unset route-required flag and not any downstream links, the query packets are re-broadcasted and its route-required flag is set.
- ii) In case the receiving node has set route-required flag and not any downstream links, the query packets are discarded.

In E-TORA, network lifetime is extended and awareness of node power level is offered. On the other hand, multicast communication is not considered.

The main features of reactive flat energy efficient routing protocols are synoptically presented in Table 2.5.

Protocol	Advantages	Disadvantages	Scalability	Mobility	Routing	Robustness
TORA [<u>97</u>]	It has less energy consumption and minimized communication overhead	It has no incorporation of multicast in basic operation	Good	Good	The shortest route is used.	Low
Flooding [<u>98]</u>	implement and it does not require	Large energy consumption without energy awareness, implosion: duplicated data packets received from the same node	Limited	Low	The shortest route is used.	Good
Gossiping [<u>99]</u>	It avoids implosion	It has delays in propagation of data	Good	Good	A random path is used.	Good
Rumor Routing [<u>100]</u>	different application requirements and	It has decent performance when number of events is low and the overhead can be tuned by adjusting used parameters	Good	Low	The shortest route is used.	Good

Table 2.5. Synopsis of Network Structure Protocols belonging to the Flat Reactive subcategory.

E-TORA	1 ,	vel In the basic operation it		Best route is	Τ
[<u>101</u>]	awareness, bet	does not include multicast	ood Good	used.	Low
[101]	network lifetime	does not menude municast		useu.	

2.3.2.1.3 Hybrid

These protocols combine the benefits of proactive and reactive routing protocols. They use a proactive routing scheme locally to respond quickly and inter-locally, reactive routing scheme to respond more efficiently with lower energy consumption. In what follows in this section, two typical examples of hybrid flat energy efficient routing protocols are described.

Zone Routing Protocol (ZRP) [<u>102</u>] combines the advantages of proactive and reactive protocols. ZRP divides the network into zones and uses two schemes for routing, one for in-zone nodes, and one for nodes outside of it. These two schemes are:

- i) Inter-zone routing: nodes inform their neighbors periodically, broadcasting notices when link-state changes, resulting in nodes knowing a path to any other inter-zone node.
- ii) Outside zone routing: nodes send route request (RREQ) to zone border nodes. Border nodes check in the zone node table, if they find a match to the request, they send a route reply (RREP), else they send a request to another border node until they find a route. Multiple routing paths are discovered with minimum number of query messages. On the other hand, simultaneous querying of nodes is not possible. In ZRP, only a small amount of routing information is required and less routing traffic is caused. However, excessive delays are caused.

Adaptive Threshold Energy Efficient cross layer based Routing (ATEER) [103] is a clustering protocol for heterogeneous WSNs that combines the properties of reactive and proactive network subcategories. ATEER operation consists of two models:

- i) Network model: a model that focuses on cluster head selection and cluster formation.
- ii) Radio energy model: a model used to calculate transmission energy consumption, reception, and data accumulation.

ATEER classifies sensor nodes into three modes, super-advanced, advanced and normal, depending on their residual energy level. Utilizing the energy classification, a cluster head can be elected by using a probability derived from the division of the network's mean energy to the residual energy of candidate nodes. If the result is less than 1, then this node has a higher probability to be elected as a cluster head. In addition, cluster head and cluster nodes are connected via single-hop communication. In ATEER, increased network lifetime is achieved by utilizing node's energy classification. In addition, redundant data are reduced. On the other hand, energy consumption is caused due to the periodic data sending of node's residual energy information. Moreover, there is a lack of GPS navigation.

The main features of the aforementioned hybrid flat energy efficient routing protocols are synoptically presented in Table 2.6.

Table 2.6. Synopsis of Network Structure Protocols belonging to the Flat Hybrid subcategory.

Protocol Advantages Disadvantages Scalability Mobility Routing Robustness	Protocol	Advantages	Disadvantages	Scalability	Mobility	Routing	Robustness
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ZRP [<u>102</u>]	It has low traffic via routing.	Great delays are caused.	Limited	Good	The best route is used.	Good
ATEER [<u>103]</u>	It has increased network lifetime and reduces redundant data.	navigation and	Good	None	It uses single hop from cluster nodes to cluster head.	Good

2.3.2.2 Hierarchical

In Hierarchical subcategory, a WSN is divided in clusters with an elected cluster head for each one. Cluster heads are used for high level communication with the BS, while cluster nodes monitor the environment and exchange data between neighboring nodes and cluster head. They are further divided into three subcategories depending on how they route data or how they divide the network area. These subcategories are Hierarchy based, Zone based, and Fuzzy logic based.

2.3.2.2.1 Hierarchy Based

Routing protocols of this kind create clusters with cluster heads and cluster nodes routing data through two levels of communication, a low level one for cluster nodes to cluster heads and a high level for cluster heads to BS. A core design attribute of hierarchical protocols is scalability. Within a single tier network when node number increases, the BS overloads resulting in communication latency and inadequate event tracking. The single BS design has scalability limitations for a greater set of nodes that cover a wider area of interest because nodes are not capable of long distance transmission. Cluster's formation criteria usually are nodes' energy reservoir and proximity to cluster head. Actually, hierarchy based hierarchical protocols constitute the most popular subcategory of energy efficient routing protocols. In what follows in this section, 59 typical examples of this type are described.

Low Energy Adaptive clustering Hierarchy (LEACH) [104] is one of the first hierarchical clustering protocols. It creates clusters of nodes based on signal strength and use cluster heads to aggregate, compress, and transmit packets to the BS. The optimal cluster head number is estimated around 5% of total nodes, while all of the processes as data fusion and data aggregation are performed locally in the clusters. During LEACH operation, cluster heads change randomly to balance remaining energy and network lifetime. In the cluster formation process, nodes compare signal strength of their neighbor cluster heads and join the one with the strongest signal. When a node becomes a cluster head, it cannot become once again for P rounds. In order for a node n to become a cluster head, this node picks a random number between 0 and 1 and calculates the threshold T by using (1):

$$T(n) = \begin{cases} \frac{p}{1 - p \cdot \left(r \cdot mod \cdot \left(\frac{1}{p}\right)\right)} & \text{for } n \in G, \end{cases}$$
(1)

where P is the desired cluster head percentage, G stands for the set of nodes, and r represents the number of the round selected by the cluster head. Then, the specific node becomes cluster head for this round if the number is below this threshold.

In LEACH, transmissions are reduced, resulting in reduced energy loss. In addition, global network knowledge is not required. However, as it uses single hop routing, it is not suggested for sensor networks deployed in large areas. In addition, dynamic clustering creates overhead that may shrink gain in energy consumption.

Low-Energy adaptive clustering Hierarchy-Centralized (LEACH-C) [105] is a variation of LEACH that forms clusters using the BS. During clustering, nodes transmit to the BS energy level and location information. The BS divides the network into a fixed number of clusters and their cluster heads, based on the energy needed during data transmission from cluster nodes to their cluster heads. In LEACH-C, more energy efficient clusters for data transmission are produced. In addition, the optimal number of cluster heads is predetermined. On the other hand, overhead is produced to the BS.

Quadrature-LEACH (Q-LEACH) [106] is a clustering protocol for homogeneous WSNs, based on LEACH, which divides the network into four equal, parts based on location information and uses an innovative method for cluster head election. For cluster head election in Q-LEACH, every sensor node chooses a random number between 0 and 1, while in every sector there is a threshold between 0 and 1. In the case that the random number of a sensor node is less than the threshold of the network part, which has been set, then the node becomes the cluster head, and broadcasts it to the network. Normal nodes select their cluster head within their own sector based on the Received Signal Strength Indicator (RSSI) of the broadcasting and communicate with it using their assigned TDMA slot. In Q-LEACH, cluster head election does not consume energy and improved network lifetime is achieved. However, the energy holes of the network may be increased.

Universal-LEACH (U-LEACH) [107] is a clustering routing protocol that combines Improved LEACH operation and the chain formation of PEGASIS. U-LEACH elects cluster heads by taking into consideration node residual energy and forms clusters based on their position on an *x*-axis. After clustering, it uses the chain formation process of PEGASIS to construct a chain starting from the distant cluster heads within every cluster and ending at the BS. Cluster heads transmit their data to the master cluster head, which aggregates data and forwards them to the BS. In U-LEACH, greater network lifetime is achieved due to the reduction of the energy consumption. On the other hand, the distance between master cluster head and the BS are not taken into consideration.

Hybrid-LEACH (H-LEACH) [108] is a protocol used to face the problems of LEACH and HEED protocols, such as energy holes and the inability to elect cluster heads based on different energy levels. To deal with these issues, it blocks low energy nodes to be elected as cluster heads. H-LEACH takes into account the maximum energy of a node, its remaining energy, the energy required for data transmission, and the probability of energy usage, and implements a threshold value. The nodes below the threshold are considered dead. Furthermore, to evaluate the routing paths, it uses the distance between transmitter and receiver. Finally, cluster heads transmit data to the BS by utilizing TDMA. By using H-LEACH, the network lifetime is extended. On the other hand, the number of unused nodes, which are below the threshold, is high.

Three-Layer LEACH protocol (TL-LEACH) [<u>109</u>] is another clustering protocol for WSNs, based on LEACH, which reduces the number of nodes that communicate directly to the BS with a similar cluster head election as in LEACH.

The network is divided into three layers as follows:

i) Layer 0 sensor nodes.

- ii) Layer 1 cluster heads elected from Layer 0 nodes.
- iii) Layer 2 cluster heads elected from Layer 1 cluster heads.

Layer 0 nodes sense the environment and send their packets to layer 1 cluster heads; data packets are forwarded there to layer 2 cluster heads and eventually to the BS. In every round, nodes elect themselves randomly as layer 1 cluster heads, while layer 2 cluster heads are elected based on the residual energy of layer 1 cluster heads. Furthermore, in the next rounds, cluster heads are changed randomly, while information from layer 1 cluster heads are fused in layer 2 cluster heads to be sent to the BS later. With the use of TL-LEACH, the number of sensor nodes communicating with the BS is low and the network lifetime is prolonged. On the other hand, the three-layer scheme used creates overhead.

Enhanced Heterogeneous LEACH (EHE-LEACH) [110] is an enhanced version of LEACH for heterogeneous WSNs. EHE-LEACH combines the BS to node direct communication and the BS to cluster communication, based on a fixed distance threshold value. In EHE-LEACH nodes located close to the BS, i.e., those whose distance from the BS is below the distance threshold value, do not form clusters and communicate directly with the BS while the others operate within a cluster with an elected cluster head each. In order to reduce energy consumption, there are nodes with two energy levels, normal and advanced, with advanced nodes having higher energy reservoir than normal ones. Furthermore, for improved energy efficiency and network stability, EHE-LEACH gives higher probability during cluster head election to advanced nodes rather than normal ones. On the other hand, non-clustered nodes do not implement a sleeping schedule, thus consuming more energy.

Improved-LEACH (I-LEACH) [111] is another modification of the LEACH protocol that improves the processes of cluster head selection and cluster formation. I-LEACH, during cluster head election, implements a threshold and takes into account three parameters, which namely are:

- i) Number of Neighbors.
- ii) Residual Energy.
- iii) Ratio of the average distance of nodes from the BS and the distance between each individual node.

In order for a node to be elected as a cluster head, it needs to have a high number of neighbors, greater residual energy than the average nodes of the network, and be close to the BS. The result of the above three parameters is a number ranging from 0 to 1. In case the number is under the threshold, the node can be elected as cluster head. During cluster formation, a selection priority is used, based on the distance between cluster head and BS, to reduce energy consumption during packet transmission of remote cluster heads. Moreover, to improve the network's performance, the BS is located in a remote point of the sensor node covered area. The use of I-LEACH assures network stability and reduces energy consumption. However, there is lack of a data aggregation mechanism.

LEACH-Expected Residual Energy (LEACH-ERE) [112] is another clustering protocol for homogeneous WSNs, based on LEACH. It introduces the Expected Residual Energy (ERE) value and can be suitable for WSNs having the BS far away from the node deployment field. The ERE value is the predicted remaining energy in case the node is elected as a cluster head. In LEACH-ERE, cluster head election happens at the end of each round using a random number generation function between 0 and 1 and a predefined threshold, which is the percentage of the tentative

nodes. cluster nodes with bigger generated numbers of the threshold become cluster heads and calculate the chance, utilizing a fuzzy inference system, with inputs the residual energy and the ERE value. A bigger chance results in a higher possibility to be elected as a cluster head.

LEACH-ERE achieves stable performance. On the other hand, it provides no consideration of distance to the BS, resulting in early death of faraway nodes.

The Three Level Hierarchical clustering LEACH Protocol (TLHCLP) [<u>113</u>] is another clustering protocol based on LEACH, for homogeneous WSNs that sets a radius around BS, to include some nodes within it and exclude the others. TLHCLP tries to distribute cluster heads evenly and divides the network into three layers:

i) Layer 1, which includes nodes collecting data and sends them to layer 2.

ii) Layer 2, which includes the cluster heads that gather data from layer's 1 nodes.

iii)Layer 3, which includes nodes and cluster heads inside the radius of the BS.

During data transmission, only cluster heads within the radius of the BS are allowed to send data to it directly, the cluster heads outside the radius forward data to the cluster heads within the radius. The use of TLHCLP extends network lifetime. However, data redundancy is high close to the BS.

Dominating Set based modified LEACH using Ant Colony Optimization for data gathering (LEACH-DS-ACO) [114] is an improvement of THLCLP used to overcome the problem of redundancy of TLHCLP. It combines a Dominating Set algorithm (DS) for cluster formation and Ant Colony Optimization (ACO) to shorten transmission distance within a cluster, in order to reduce the chain lengths of it. LEACH-DS-ACO extends network lifetime. On the other hand, mobility is not supported.

Power Efficient Gathering Sensor Information System (PEGASIS) [115] is an improvement of LEACH protocol, which, instead of creating clusters, it creates chains of nodes. The main characteristic of a chain is that every node transmits data only to the two nearby neighbor nodes and only one sensor node can be selected to communicate with BS. Collected data are transferred from node to node being aggregated and finally transmitted to the BS. PEGASIS provides higher energy efficiency than LEACH. In addition, less broadcasts use data aggregation. On the other hand, large delay is caused for distant nodes. In addition, redundant data are transmitted. Moreover, single leader mechanism may cause congestion.

Hierarchical PEGASIS [116] is an extension of PEGASIS that tries to reduce the delay that occurs from packets during transmission to the BS and offers a solution to data collection problem taking into account the metric Energy x Delay. Simultaneous packet transmission used to reduce delay and suggests two approaches to prevent collisions and signal interference among sensor nodes. The first approach is called CDMA. It incorporates signal coding while the second approach spatially separated nodes only can transmit packets simultaneous. The protocol with CDMA nodes can construct chains with a tree like hierarchy, in which a selected node in a specific level transmits data to a node in the higher level of the hierarchy. This method ensures parallel data transmission and reduces delay. On the other hand, the protocol without CDMA creates a three-level node hierarchy that reduces interference problems with carefully scheduled simultaneous broadcasts. However, significant overhead is caused.

Multi-Hop PEGASIS (MH-PEGASIS) [117] is an improved version of PEGASIS, which utilizes inter-cluster communication and multi-hop routing to reduce energy consumption during data

transmission of distant cluster heads to the BS. In MH PEGASIS, every round consists of two phases the initialization and the data transmission phases. During the initialization phase, an inter cluster communication happens where every node communicates with its neighbors. Receiving nodes aggregate data with their own and transmit them until the cluster head receives them. During the transmission phase, cluster heads forward their data to upper level cluster heads until they reach the BS. In MH PEGASIS, the energy consumption of distant cluster heads is reduced and the network lifetime is extended. On the other hand, load-balancing issues are caused.

Enhanced PEGASIS [118] is an improved version of PEGASIS protocol, which reduces redundant data during transmission. To achieve this, the BS divides the network into levels depending on how strong the receiving signal of a node is. To determine optimum number of levels, enhanced PEGASIS takes into account various parameters such as network's density, the BS location, number of nodes, and application requirements. As performed in the original PEGASIS, within the level area, chain construction begins from the farthest nodes utilizing a greedy algorithm. Cluster heads collect data from nodes within the same level and forward them to the lower level until they reach the BS while higher level nodes are the most distant ones. In Enhanced PEGASIS, redundant data are reduced. However, delay is high in distant nodes.

Threshold sensitive Energy Efficient sensor Network (TEEN) [119] is one of the most popular hierarchical protocols. It has been designed for time critical applications, where the network operates in reactive mode, responsiveness is very important. TEEN's architecture is based on hierarchical clustering with a use of a Data-Centric mechanism. Distant nodes form first level clusters, while nearby nodes form second level clusters until a route to the BS is formed. After cluster formation process, two thresholds for sensed characteristics, which namely are Soft Threshold (ST) and Hard Threshold (HT), are broadcasted to cluster nodes from cluster heads. HT is the minimum value of a sensed parameter that a node needs to sense in order to activate its antenna and transmit it to a cluster head. In addition, it allows nodes to transmit only when the characteristic is in the range of the interest reducing significantly the number of broadcasts. Furthermore, it transmits data only when the value of this characteristic changes by an amount equal or greater than ST from the previous sensed value. Consequently, the use of ST reduces further the number of transmissions in the case that there is little or no change in the value of the sensed parameter. Both hard and soft thresholds can be adjusted in order to control the number of packet transmissions. TEEN provides higher energy efficiency than LEACH and LEACH-C and reduces the number of broadcasts. However, both overhead and complexity are high during multiple level cluster creation. Furthermore, periodic communication is not possible due to the reactive nature of the protocol.

AdaPtive TEEN (APTEEN) [120] is the extension of TEEN that aims both in capturing data and reacting in time critical events. When the BS has formed clusters, cluster heads broadcast, to every cluster node within their cluster, attributes, threshold values, and transmission schedule. Then, cluster heads perform data aggregation, before they forward data to the BS, to save energy. APTEEN follows the same architecture of TEEN but supports three different query types:

- i) Historical query, used to analyze past data values.
- ii) One-time query, used to take snapshot view of network.
- iii) Persistent query, used to monitor an event for a period.

In APTEEN, energy dissemination is less than that in TEEN. However, APTEEN is more complex than TEEN and has longer delay times.

Virtual Grid Architecture (VGA) [121] is a protocol that utilizes a multi-level data aggregation and procession during data routing, in order to improve network lifetime and energy efficiency. VGA's routing consists of two phases:

- i) Clustering aggregated data phase: divided in stationary clusters, the cluster head nodes, called Local Aggregators perform data aggregation. Master Aggregators, a part of Local Aggregator nodes, perform global or inter-cluster aggregation.
- ii) Routing aggregated data phase: in order to achieve an optimal and efficient solution, it uses heuristics, such as correlation of sensing information, in overlapping groups of Local Aggregator nodes.

In VGA, energy efficiency is high, but the non-deterministic polynomial time problem of optimal selection of local aggregators as master aggregators exists.

In *Two-Tier Data Dissemination* (TTDD) [122], protocol sinks are able to move dynamically, while sensor nodes are static and location aware. Event data are created from a source node, which is the node closer to the event. The source builds a virtual grid, with itself as the first crossing point and transmits a message, with greedy geographical forwarding, at four different adjacent crossing points. The message stops when it reaches a node close to the crossing point and transmission ends when the boundaries of the network are reached. TTDD can be used for multiple mobile sinks in an area with static nodes. On the other hand, a virtual grid structure has to be built by each source node.

Base-Station Controlled Dynamic clustering Protocol (BCDCP) [123] forms balanced clusters in terms of energy level and number of cluster nodes. Nodes transmit energy level information to the BS, which is a high energy node that calculates the average energy level. The BS selects nodes with energy level above the average level as cluster heads and forms clusters with similar number of cluster nodes. Furthermore, data transmission to the BS is achieved through a cluster head to cluster head routing. In BCDCP, cluster head overload is avoided and uniform placement of cluster heads is achieved. However, there is decreased performance gain for a small field area.

Equalized cluster head Election Routing Protocol (ECHERP) [124] is a hierarchical routing protocol that uses the Gaussian elimination algorithm for the cluster head selection. In the initialization phase of ECHERP, the BS uses an advertisement TDMA schedule in order to get information regarding the location and the energy conservation of each individual network node. Next, the BS uses the Gaussian Elimination algorithm to compute, by considering all possible node combinations, the energy outflow that every single node will have if it becomes a cluster head at the next round. In this way, the combination of cluster heads that minimizes the overall energy consumption is both discovered and selected. Gaussian elimination is executed in two phases. During the first phase, the rank of the linear system built, which represents the energy spent, is reduced by using the forward elimination technique. In the second phase, the solution of this system built is found by using the back-substitution technique. Next, the BS notifies the IDs of the newly elected cluster heads and it transmits them to the network for the cluster nodes to join the clusters. Finally, each cluster head uses a TDMA schedule in order to collect data from the nodes that belong to its cluster and then sends aggregated information to the BS either directly (if

possible) or via upper level cluster heads. ECHERP achieves high energy efficiency, good scalability, and better overall performance compared to LEACH, PEGASIS, and BCDCP. On the other hand, metrics related to QoS and time constraints are not considered.

Multi-hop virtual Multiple Input Multiple Output (MIMO) [125] is a protocol that uses multiple nodes to collect and transmit event data with multiple hops to a remote BS. The network is divided in clusters where cluster heads communicate only with in-cluster nodes, which use Space-Time Block Code (STBC) for data encoding and transmission. Due to the short range of the intra-cluster communication, it assumes the use of an Additive White Gaussian Noise Channel with squared power path loss. MIMO achieves good energy saving, but may perform below optimal performance.

Hierarchical Power Aware Routing (HPAR) [126] protocol takes into consideration both the transmission power and the minimum battery power of nodes in routing paths. Specifically, first neighboring nodes are grouped in formations called zones and then it applies a maximum battery life policy. This policy uses an approximation algorithm called max-min ZPmin. At first, this algorithm discovers the least power consumption path. Then, it finds another path that maximizes the minimum battery energy. Finally, by taking into consideration both outcomes, the optimal routing path is discovered. In HPAR, both the transmission power and the minimum battery power are considered. In addition, the use of zones supports scalability. On the other hand, high overhead is caused.

Sleep / Wake Scheduling [127] is a hierarchical protocol that divides the network into clusters that consist of a cluster head and cluster nodes and implements two radio modes, sleep, and wake. During Sleep/Wake Scheduling operation, a node can put its radio module into sleep mode, when there is no traffic in the network and into wake mode, when a node transmits or receives a packet. The key point is that it synchronizes sender and receiver, so that they can be put to sleep or wake mode at the same time with accurate synchronization, while random errors can occur due to system's non-deterministic factors. In addition, a cluster head can be also a cluster node resulting in a complex multi-level structure that supports multiple paths. With Sleep/Wake Scheduling, energy saving is achieved with sleep mode and congestion awareness is accomplished. However, the algorithm complexity causes overhead.

Grid Based Data Dissemination (GBDD) [128] is a protocol in which the BS expresses an interest in data communication, and constructs a grid of squared cells. The sides of the cell have of a size a, containing a node. The size a is determined by two radio ranges that every node is capable of high power range (RH) and low power range (RL). The BS uses its geographical coordinates (x, y) as the starting point of grid cell formation and sets itself as the crossing point of the grid. In GBDD, continuous data delivery from source to the BS is achieved. Another advantage of GBDD is that only the BS constructs the grid. A disadvantage of GBDD is that, at high speeds, more energy is consumed.

Extending Lifetime of cluster head (ELCH) [129] protocol uses an election scheme, where cluster nodes vote their cluster heads and multi-hop routing between cluster head and the BS communication.

ELCH operation consists of two phases:

i) Election phase. The neighboring nodes form clusters and elect a cluster head using a voting scheme.

ii) Network preparation phase. The cluster consists of neighboring nodes and one cluster head. Afterwards, cluster head uses the TDMA mechanism to transmit the time slot of every cluster node and maintains a maximum power table each round for every node. Then, data communication begins, data flow from cluster nodes directly to cluster head while each cluster head communicates with each other and the BS using multi-hop routing.

In ELCH, energy consumption is kept low and thus the network life is prolonged. However, in the case that the number of cluster nodes exceeds a specific amount, network operation faces a negative effect.

Novel Hierarchical Routing Protocol Algorithm (NHRPA) [130] performs only one initialization node process during sensor node deployment. NHRPA mainly uses loop, judgment, and assignment operations to deal with nodes and considers node distribution density, nodes residual energy, and node to the BS distance to adopt a suitable routing technology. The use of NHRPA achieves balanced energy consumption and can adopt suitable routing technology. However, packet latency is caused.

Scaling Hierarchical Power Efficient Routing (SHPER) [131] is a hierarchical energy efficient protocol, which aims to extend the network lifetime as much as possible by both using the optimal routing paths and keeping alive the weakest network nodes. The operation of SHPER consists of two phases:

- i) Initialization phase: In this phase, the BS transmits a TDMA schedule and requests of the nodes to advertise themselves. Then, the nodes transmit their advertisements and their inbetween distances are identified. After that, the BS elects a predefined number of high and low level cluster heads, based on their residual energy, then it broadcasts new cluster head IDs and threshold values. High level cluster head is closer to the BS and communicates with it via single-hop routing, while low level cluster heads are the distant ones and need to route data to the BS via the high level cluster heads.
- ii) Steady state phase: Each cluster head defines the most energy efficient path to route its messages to the BS by taking into account both the residual energy of sensor nodes and the energy cost of data transmission. Thus, weak nodes are preserved. Nodes transmit sensed data, whenever their sensed value is above both the hard threshold, and changes from their previous value by the soft threshold.

SHPER attains high energy efficiency because it both achieves even energy depletion of nodes and performs routing via the most energy efficient paths. In addition, due to its hierarchical architecture, it attains high scalability. When the energy reserves of nodes are unequal and the BS is far away from the network field, the use of SHPER protocol becomes even more beneficial. However, mobility is not considered.

Power Efficient Multimedia Routing (PEMuR) [132] is based on SHPER protocol and combines hierarchical energy efficient routing and video packet scheduling models. It is ideal for video communication over Wireless Multimedia Sensor Networks aiming at both energy savings and high QoS. The operation of PEMuR consists of two phases, which namely are the initialization and the steady state phase. During the initialization phase, the nodes become members of the upper and lower level clusters which the BS creates. The cluster heads inform the BS the energy status of all of their cluster members. During the steady state, the nodes having the

highest residual energy in each cluster are elected to be the new cluster heads. Additionally, the soft, hard, and energy thresholds are defined. Nodes are supposed to transmit whenever their residual energy is below the energy threshold or the values of sensed parameters meet conditions related with hard and soft thresholds. Messages are routed from the cluster heads to the BS via direct communication in case they are upper level cluster heads or via intermediate upper level cluster heads if they are lower level. In both cases, energy efficient routing is achieved, by taking into consideration both the energy conserves of the nodes and the energy cost of data transmission.

Moreover, PEMuR protocol proposes an analytical model that can accurately predict for every packet the effect that its loss has on the resulting distortion of decoded video. Thus, PEMuR can successfully cope with limited available channel bandwidth by selectively dropping less significant packets prior to their transmission. PEMuR achieves great energy efficiency and good scalability along with high preservation of QoS in multimedia content transmission. However, there is lack of mobility considerations.

Novel Energy Aware Hierarchical cluster based (NEAHC) protocol [133] is a hierarchical protocol designed to limit the unbalanced energy consumption of the sensor nodes of multi-hop protocols. In a multi-hop network, data travel from the cluster head to the BS, while energy consumption depends on the distance and the number of hops, between the sending and the receiving node. Nodes close to the BS need to relay more data than the distant nodes and consume more energy. Before cluster head election, NEAHC chooses one cluster head, depending on its residual energy and a lower number of switches between sleep and active modes, in order to balance energy consumption. The great advantage of NEAHC is that energy holes are less likely to occur. On the other hand, cluster head selection increases the network energy consumption. In addition, nodes in sleep-mode may cause network disconnections.

Hierarchical Energy-Balancing Multipath (HEBM) [134] is a hierarchical routing protocol with an adaptive clustering scheme, for homogeneous WSNs and a static BS with unlimited energy reservoir and communication power. It calculates the best cluster size balance, resulting in a minimum energy network topology. HEBM operates in time intervals or rounds, which consist of six phases. Before it calculates cluster sizes and considering network's density and size, it separates the nodes into clusters. By utilizing cluster range and the minimum energy path to cluster head, the levels of the network are formed. HEBM algorithm ensures a fairly distributed network because cluster head election considers network residual energy and distance from neighbor nodes. In this way, load balancing is achieved. Moreover, less message delays occur. On the other hand, extra overhead from collecting network data and calculating cluster size is caused. In addition, mobility is not supported.

Energy Efficiency Semi-StatiC routing algorithm (EESSC) [<u>135</u>] is a hierarchical protocol based on an improved HAC (Hierarchical Agglomerative clustering) approach. EESSC operation consists of four steps:

- i) The BS sends a message to all nodes of the network to activate them,
- ii) Utilizing the HAC, method sensor nodes form clusters and the LNC (List of Nodes in cluster).
- iii) Sensor nodes begin to operate while the cluster head within a cluster would be rotated according to LNC.

iv) In case every node within a cluster has low energy, disabling it to operate as a cluster head, the network will perform a re-clustering.

The use of EESSC achieves energy saving and solves the problem of energy holes. On the other hand, the distance between a node and a cluster head is not considered.

Distributed Energy Efficient clustering (DEEC) [136] is a hierarchical routing protocol for heterogeneous WSNs following the operation principles of LEACH protocol. In DEEC, during the process of cluster head election, the nodes calculate an election probability, which derives from the ratio between their residual energy and the network's average energy. Furthermore, the number of rounds that the nodes will perform as a cluster head, defined as rotating epoch, is calculated from the initial and residual energy. Therefore, higher initial and residual energy nodes are more probable to be elected as cluster heads. By using DEEC, energy efficient performance is achieved in multi-level heterogeneous networks with more than two energy levels. In addition, the global knowledge of the network energy is not required. However, DEEC is not suitable for time critical applications.

Hybrid Energy Efficient Routing (HEER) [137] is reactive routing protocol that combines the operations of TEEN and DEEC protocols. The election process of cluster heads is based on DEEC along with the threshold mechanism of TEEN. During the election phase of HEER protocol, nodes elect themselves as cluster heads by using the node's initial and residual energies as in the DEEC protocol with higher energy nodes becoming more often cluster heads. After the process of cluster head election , the cluster head sends to its members the threshold values HT and ST, in a similar way as in TEEN protocol, resulting in a smaller number of data transmissions. In this way, the network lifetime is prolonged. Additionally, HEER can be applied in time critical applications, operating in both heterogeneous and homogeneous networks. Its disadvantage is that it lacks data aggregation at the sink node and causes data flooding.

Improved Inter-cluster Data Aggregation HEER (IICDAHEER) [138] is an improved version of HEER, which adds inter-cluster data aggregation and additive and divisible functions to minimize packet number. Cluster head election and the values of HT and ST are the same as in the HEER protocol. Additive function combines different data packets generated from the nodes while the divisible function is used when the generated data packets, of the inter-cluster nodes, are the same. In this way, IICDAHEER prolongs even higher energy efficiency. However, the protocol considers the BS to be located at the center of the area.

Hierarchical Energy Efficient Reliable Transport Protocol (HEERTP) [139] is a hierarchical cluster based protocol, which reduces energy consumption during redundant data transport over a WSN and cluster head election. To address these problems, HEERTP protocol divides the network in clusters and elect cluster heads, which are responsible for collecting cluster's data and handle redundancy by aggregating redundant data. Furthermore, receiver nodes utilize a data table, which is updated in case they receive useful data and timeouts, which, when they occur, receiver nodes check for redundant data. In order to further improve energy savings, a cluster head is elected according to its residual energy and position, without spending communication energy. HEERTP protocol can also elect a cluster head by electing a Root cluster head (RCH), which is a node close to the BS that analyzes data it receives from the other cluster heads. In HEERTP, both energy consumption during cluster head election and redundant data are reduced. However, useful data may not be sent to the BS.

Energy-Aware for cluster based sensor networks [140] protocol suggests a different hierarchical approach, a three-tier architecture, in which clusters are formed before network operation. This algorithm deploys less energy constrained cluster heads that are assumed to know nodes location. These cluster heads, also called gateways, set up multi hop routes and maintain node states. Nodes use a TDMA based MAC for data transfer to getaway nodes, which are only allowed to communicate with the BS. Every node can operate in active or low-power modes. Sensing and processing circuits can be turned on and off independently resulting in four operating modes:

- i) Sense only
- ii) Relay only
- iii) Sense-Relay
- iv) Inactive

In Sense only mode, the node searches the environment and generates data in a constant rate. In Relay only mode, sensing circuits are shut down and only relaying circuits operate and transmit data to and from other nodes. In Sense-Relay mode, a node senses and transmits message from other nodes. Finally, if a node is in Inactive mode, sense and relay circuits are turned off. Using a cost function to calculate link cost, a minimum cost path can be found. The gateway node will monitor available energy levels of every node that is active in one operating mode. The rerouting process is triggered by an application related event that requires a different set of nodes that monitor the environment or battery level of active nodes. The protocols achieve high energy efficiency. However, many gateways may be required to ensure high coverage.

The authors of *Self-organizing* protocol [141] developed a taxonomy of sensor applications and proposed an architecture to build sensor applications. This protocol supports heterogeneous sensor nodes that can be either mobile or stationary, can sense the environment, and relay data to a set of nodes, called routers, which are static and the backbone of communication. Router nodes relay data from sensor nodes to more powerful sink nodes. In order to tolerate faults, self-organizing protocol uses a Local Markov Loop (LML) algorithm in data transmission, which picks a random path in the spanning tree of a graph. There are four phases in the self-organizing algorithm:

- i) Discovery phase: Every node discovers neighbor nodes.
- ii) Organization phase: Groups that are formed and merged forming a hierarchy, where every node has an address based on its hierarchy position. Then, size O (logN) routing tables are created and, after that, broadcast trees that span all nodes are constructed.
- Maintenance phase: In this phase, routing tables and node energy levels are being created.
 LML is used to maintain broadcast trees.
- iv) Self-organization phase: If an error of partial or whole node groups, re-organizations are performed.

In Self-organizing protocol, low maintenance of routing table is required. In addition, hierarchical routing maintenance is strictly balanced. Moreover, less energy consumption than SPIN occurs when broadcasting messages. Furthermore, the use of LML in relaying trees can tolerate faults. On the other hand, not on demand organization phase results in extra overhead. In

addition, organization phase is performed again if many network disruptions take place during hierarchy forming, thus resulting in extra overhead.

Distributed Hierarchical Agglomerative clustering (DHAC) [142] is a hierarchical protocol, which suggests that a node needs only to know its next hop neighbor to build clusters. During cluster formation in DHAC, nodes initially obtain input data set and build a resemblance matrix. During this process, nodes elect themselves as cluster heads and exchange information via HELLO messages with their neighbors. Then, the DHAC algorithm is executed and each cluster head establishes its local resemblance matrix to find the minimum coefficient, then every cluster determines its minimum cluster head. After that, it cuts the hierarchical tree if the predefined upper limit size of clusters is reached. Next, DHAC controls the minimum cluster size, which can be used as a lower limit size by using the procedure Merge clusters. Finally, cluster heads with lower id between two nodes that join the cluster on the first step are chosen. This procedure does not require additional processing. DHAC and then uses the sequence of nodes merging into clusters following the schedule while every cluster node gets an assigned role and starts to communicate in turns with cluster head. By using DHAC, network lifetime is prolonged. However, the performance worsens when network traffic gets high.

Hybrid Energy Efficient Distributed (HEED) protocol [143] is a clustering protocol in which cluster head election is based mostly on residual energy and other parameters as distance from neighbors or number of neighbors. In HEED, cluster formation function is triggered in given intervals for cluster head election and uncovered nodes, those without a cluster head, which can elect themselves as one. Furthermore, HEED parameters such as minimum selection probability, which is a probability for a node to be elected as a cluster head and network operation interval, which informs the user about how frequent cluster head election process happens can be tuned easily for better optimization in case of the requirements of an application such as network's density. The low communication cost and the good scalability are the main advantages of HEED. On the other hand, different energy levels are not considered.

Stable Election Protocol (SEP) [<u>144</u>] is a hierarchical routing protocol for heterogeneous WSNs with two energy-leveled nodes, normal and advanced, which increases the stable period during the clustering hierarchy process. SEP is a dynamic protocol in terms that there is a random deployment of the two energy leveled nodes.

In addition, during the cluster head election, the nodes elect themselves as cluster heads, depending on their initial energy in respect to the energy of other nodes without any requirement of global knowledge of residual energy during each cluster head election round. SEP achieves network lifetime prolongation. In addition, global knowledge of residual energy during the round of cluster head election is not required. Its disadvantage is that the cluster heads are elected based only on their initial energy level.

Enhanced-Stable Election Protocol (E-SEP) [145] is an improved version of the SEP algorithm for heterogeneous WSNs. To maintain a more uniform energy consumption, among the nodes, it uses a clustering algorithmic approach with a three-tier node configuration. E-SEP uses Constant Bit Rate (CBR), a common traffic pattern used in clustering for heterogeneous networks. During the clustering process, the three-tier heterogeneous nodes elect themselves as cluster heads depending on their residual energy, resulting in uniform energy distribution between the nodes. E-SEP attains both increased network lifetime and improved resource sharing. Its disadvantage is

the uncontrolled number of associated cluster nodes within each cluster results in load imbalances.

Energy Aware Distributed clustering (EADC) [146] is a cluster based routing protocol for nonuniform node distribution WSNs, which combines an energy-aware clustering algorithm and a cluster, based routing algorithm and can operate with heterogeneous nodes. During the setup phase, the nodes are divided into equal clusters. EADC balances the energy consumption of the cluster heads by modifying the intra-cluster and inter-cluster consumption with the use of a cluster based inter-cluster routing algorithm. Each cluster head picks another cluster head as its next hop, based on its residual energy and the number of its cluster nodes. The non-uniform node distribution causes imbalanced energy consumption, which EADC implements an increased forwarding task of cluster heads in sporadic areas to solve it. EADC elongates network lifetime. The Relay node is elected based on residual energy and number of cluster nodes only.

Improved Energy Aware Distributed clustering (I-EADC) [147] is an improved version of the EADC protocol that solves the energy imbalance in non-uniform node distribution. During clustering, the network area is divided into equal clusters and cluster heads are elected according to their residual energy. Relay nodes are cluster heads, elected based on their energy estimate, to transfer data from the other cluster heads to the BS. Cluster nodes transmit packets to their cluster heads using single-hop and cluster heads send their data to the BS via direct communication or via relay nodes. I-EADC extends network lifetime and is applicable in both uniform and non-uniform distributions. However, in this protocol, there is a lack of energy balancing in inter-cluster communication.

Chain Based cluster Cooperative Protocol (CBCCP) [<u>148</u>] is a clustering protocol suitable for real-time applications that divides the network into clusters, elects cluster heads as well as cluster COrdinators (CCOs), and performs a multi-hop packet transmission from the clusters to the BS through the CCOs. During the clustering process, the number of clusters is derived from the SEP algorithm. Initially, cluster heads, used to aggregate cluster's data, are elected randomly as in LEACH protocol, but, in the next rounds, cluster head election is based on a predefined energy level threshold. When the residual energy of a cluster head drops below the threshold value, the process of cluster head election begins again. During data transmission, CBCCP reduces energy consumption with the use of CCOs to transmit data packets to the next clusters until they reach the BS. Within every cluster, there are multiple CCOs depending on the number of the nearby cluster heads. In CBCCP protocol, not only is the clustering process simple, but also load balancing is achieved and long delays are avoided. However, energy balancing problems for inter-cluster communication are not taken into consideration.

Well Balanced TEEN (WB-TEEN) [149] is an improved version of TEEN that balances the size of the formed clusters. During the cluster formation process, every cluster head informs the other nodes with a packet including an identifier; then, every node sends a request packet with a strong signal to join the cluster. Cluster head picks those with the strongest one and, in case there are two nodes with the same signal strength, the cluster head picks one randomly. Furthermore, to limit the cluster size, every cluster head has a degree, to determine if the cluster head has reached its limit. In case the number of the cluster nodes is below the degree, then it can accept another node or, on the other hand, the node is rejected. The degree is calculated from (2):

$$EGREE = \frac{NN - CH_{nbr}}{CH_{nbr}} + 1,$$
 (2)

where *NN* is the total number of the network nodes and CH_{nbr} is the number of the cluster heads.

By using WB-TEEN, the network lifetime is extended. However, far away nodes die too early. In order to overcome the early death of faraway nodes, the authors in [149] also introduce *Well Balanced TEEN with Multi-hop intra cluster communication* (WBM-TEEN), which is WB-TEEN with multi hop routing within the clusters, overcoming path failures. Furthermore, WBM-TEEN is capable of data aggregation to further improve the overall performance.

In WBM-TEEN, energy consumption is reduced. Additionally, increased reliability is attained. On the other hand, single-hop routing is used in inter-cluster transmission.

Heuristic Algorithm for clustering Hierarchy (HACH) [150] is another clustering protocol that achieves energy efficient operation by electing evenly distributed cluster heads and utilizes sleep scheduling. HACH's operation focuses on two main ingredients: cluster head election and sleep scheduling. The SSIN (Stochastic Selection of Sleep Nodes) sleep scheduling that is used in HACH protocol deposits low energy nodes in sleep mode without affecting network's coverage. The distance from one another is calculated in order to evaluate network coverage ability and the nodes with more residual energy are chosen as cluster heads.

In HACH protocol, the procedure of sleep scheduling prolongs network lifetime, even when different levels of heterogeneity are present. On the other hand, cluster heads may have to transmit over long distances, thus increasing their energy consumption.

Threshold Sensitive Stable Election Protocol (TSEP) [151] is a protocol that combines TEEN and SEP protocols to provide an operation with three energy leveled nodes and adjusting between energy efficiency, accuracy, and low response time. When TSEP elects a cluster head, it uses three different probabilities assigned to each energy level of the nodes. In the start of every round, the cluster head broadcasts Hard and Soft Thresholds (HT, ST), as well as Report Time (RT) and Attributes (A). RT expresses the period, within which reports are sent from every node while A represents the physical parameters.

TSEP protocol is suitable for applications that require continuous data transmission and provides both good energy efficiency and network stability. On the other hand, there is no awareness in the cases that thresholds are not reached.

Double-phase cluster- head Election Scheme (DCE) [<u>152</u>] is a clustering protocol that implements an extra phase during the cluster head election:

- i) In the first phase, a tentative cluster head is chosen randomly with the use of a probability function that considers relative initial and residual energy levels.
- In the new second phase, the non-elected nodes determine their minimum communication tentative cluster and compare their own remaining energy with that of the tentative cluster nodes, and then the tentative cluster nodes are replaced accordingly in case the non-elected nodes have more residual energy.

This set of phases ensures a distributed energy consumption, resulting in longer stability periods than other clustering protocols. During the procedure of cluster head random election, the network is enabled instantly, immediately, resulting in a more stable network. Additionally, the network is always active, and does not waste time for cluster head election. However, in every

round, DCE may change up to two times cluster head selection, thus increasing the energy consumption of the network.

Best Selection Double cluster head (BSDCH) [153] is a clustering protocol that divides the network field in equal regions and form chains with the nodes. Cluster heads are divided into main and secondary ones. A main cluster head sends data to the BS while a secondary cluster head receives data from the main and forwards them to the BS. BSDCH elects as cluster head the node having the minimum value of the factor F that is found by using (3):

$$F_i = a \cdot \frac{E_{total}}{E_{remind-i}} + \beta \cdot d_{TO-CH}$$
(3)

where α is the weighting coefficient of energy, E_{total} is the initial energy of the node, $E_{remind-i}$ represents the current energy of node *i*, d_{TO-CH} denotes the distance of each node to the cluster center, and β is the weighting coefficient of distance to the center, where $\alpha + \beta \leq 1$. Similarly, the node with the most energy and the least distance to main cluster head and the best location to BS is selected as secondary cluster head. Secondary cluster head selection is based on the value of F_i factor:

$$F_i = a \cdot \frac{E_{total}}{E_{remind-i}} + \beta \cdot d_{TO-CH} + \chi \cdot d_{TO-BS}$$
(4)

where d_{TO-BS} is the distance of each node to the BS, where χ is the weighting coefficient of distance to the BS where $\alpha + \beta + \chi \leq 1$.

During initialization, the BS forms hierarchy clusters, configures the number of the levels, assigning each one with an ID, by taking into account distribution density, the BS location and number of nodes. Every node calculates its distance from the BS by the signal strength of the signal it receives from the BS. When clustering process is completed, the algorithm builds chains of nodes within each level; therefore, nodes of a chain have the same ID.

Although BSDCH is based on PEGASIS, it can send data to the BS more efficiently since it uses fewer hops. Thus, not only network lifetime is prolonged, but also high communication data rates are attained. On the other hand, extra overhead is added during cluster head election. Moreover, overlaps are observed.

Back-off based Distributed clustering Protocol (BDCP) [154] is a clustering protocol in which every node has a back off timer and consists of cluster head election phase and cluster formation phase. During cluster head election, each node starts its timer, which considers a maximum time allocation for the election process and a ratio of its residual energy divided by its initial maximum energy. When the timer countdown finishes, node *i* is elected as a cluster head for the next round and broadcasts an announcement to its neighbors. Then, the nodes that received the announcement switch off their timers and store the announcement with the information of the candidate cluster head. During cluster formation, a node joins a cluster according to its own distance from the cluster head and cluster head's residual energy, in the case that it receives more than one cluster head announcement messages, it.

In BDCP protocol, energy consumption is reduced, while increased throughput is achieved. On the other hand, single-hop routing restricts the network scalability. Furthermore, increased overhead is produced.

Multi hop-Back-off based Distributed clustering Protocol (M-BDCP) [154] is a variant of BDCP protocol, which uses multi-hop routing. M-BDCP differs from the initial BDCP in the use of multi-hop routing to transmit data to the BS, the rest of the protocol operation is the same. Furthermore, in order to find the optimum path, the BS broadcasts a route discovery message to every node within the network.

In M-BDCP, multi-hop routing improves the network scalability. Moreover, both energy efficiency and throughput are high. On the other hand, overhead produced is considerably high.

Instantaneous clustering Protocol (ICP) [155] is a parallel clustering protocol aiming to solve time and energy consumption during cluster head election. ICP forms clusters with the single-hop scheme in a parallel manner that results in reducing the duration time of clustering the whole network to a duration time of organizing one cluster. Instead of using the voting election scheme, cluster heads are determined locally with the use of a combination of a pre-assigned probability and node's current state. For as long as the sensor nodes are connected to each other, clustering duration time and transmission load are kept to a minimum, which results in less wasted time for cluster head selection and more energy conservation of nodes.

By using ICP, energy efficiency achieved is good. In addition, both time and energy are saved during cluster head selection. However, data reliability is not taken into account.

Multi-Level Route-aware clustering (MLRC) [156] is a routing protocol, which combines a multi-criterion clustering algorithm and a route establishment algorithm. It uses this combination in order to construct an optimal routing tree to reduce packet transmission cost and the number of control packets. The clustering algorithm sets the requirements for candidate cluster heads, such as residual energy and distance from the BS, reducing energy consumption across large distances and balances energy among cluster heads. The route establishment algorithm is used to gather information and specifications of the route to construct a routing tree, with the BS being its root. During this stage, first level cluster heads, those closer to the BS, specify their next hop cluster heads in the tree and so on, with cluster nodes being the leaves of this tree. The routing tree allows nodes to receive information about optimal routes for the destination reducing communication between them. By using MLRC, network lifetime is elongated and the transmission cost between sensor nodes is minimized. However, there is lack of flexibility.

Efficient Data GathEring (EDGE) [157] is a protocol with a tree based topology rooted at the BS. To initialize the tree construction procedure, the BS sends a Child ReQuest packet (CRQ). The nodes that receive CRQ are candidates to elect as parents while the others wait for a short period. After that, they collect a number of candidate parent nodes and pick one based on the best defined metric. When a new node is introduced in the network, it sends a Parent ReQuest (PRQ) packet to inform its own neighbors for its existence. Data packets flow from children nodes to parent nodes and eventually to the BS.

By using EDGE protocol, energy saving is achieved, flooding is avoided, and scalability is attained. On the other hand, the traffic overhead that is produced around the BS is particularly high.

Cluster Tree Power Aware (CTPA) [158] is a routing protocol that uses a splitting algorithm during clustering setup and the minimum spanning tree comparative analysis of energy efficient routing protocols for the WSN algorithm. During the process of cluster formation, the BS divides the network in two sub-clusters and further in smaller clusters until it meets the desired number

of them. In the first round, the BS elects a node as cluster head in each cluster, the one closer to the center of it, while nodes outside the cluster elect their own cluster heads taking into account the power of received broadcast messages. After the first round is over, the procedure of cluster head election is executed by the sensor nodes. Via the Minimum Spanning Tree, the BS collects data from the cluster heads, while all nodes are able to aggregate data when the packets are transmitted to the cluster heads. In addition, cluster heads use a buffering mechanism to store latest queries and results or to check if the queries exist. In the case they do not, the queries are then forwarded to the rest of the nodes.

CTPA protocol reduces energy consumption. On the other hand, the buffering mechanism used in CTPA protocol may cause overhead.

General Self Organized Tree Based (GSTEB) [159] is a protocol for periodic sensing of environment that aims to increase the network lifetime by using TDMA and Frequency Hopping Spread Spectrum (FHSS) mechanisms. In GSTEB, every node has an energy factor, for which is its residual energy is divided by an adjustable minimum energy value. After energy factor calculation, nodes transmit their factors to their neighbors via the TDMA mechanism and store these factors in a table. During every round, a root node, which is elected based on its residual energy, collects, aggregates, and transmits packets to the BS and broadcasts information, related with its energy and location, to the network. In addition, GSTEB uses a parent–children node scheme where nodes select their parent nodes, based on the energy factor and send them data packets to store them. Then, parent nodes change their roles to children nodes to send their data in the respective time slot. In the case that a node is about to die, it must broadcast a corresponding message to inform the other nodes by using TDMA scheduling. Nodes that receive the announcement update their table. Finally, GSTEB balances the energy consumption by using the self-organizing mechanism to change topology.

So, by using GSTEB, network lifetime is extended, collisions are avoided, and energy balance is attained. Yet, overhead is caused due to the large number of control packets, and scalability is limited.

Unequal Multi-hop Balanced Immune clustering (UMBIC) [160] is a protocol that is particularly suitable for large scale monitoring. It combines the Multi-Objective Immune Algorithm (MOIA) and the Unequal clustering Mechanism (UCM) in order to overcome the hot spot problem and improve network lifetime of WSNs with different densities. UMBIC uses an MOIA mechanism to elect cluster heads based on residual energy and build a routing tree among them to reduce the communication cost of data and control packets as well as to keep network connectivity. Moreover, the cluster head closer to the BS is elected as a Vice cluster head (VCH), which acts as backup in the case that another cluster head fails. Otherwise, it performs as a simple cluster node. To further reduce energy consumption, UMBIC protocol makes use of MOIA, whenever the energy reservoirs of the cluster heads are above an energy threshold. Likewise, during the clustering process, UMBIC protocol uses the UCM to create unequal clusters depending on their distance from the BS and their residual energy in order to configure the intra-cluster and inter-cluster energy consumption. To evaluate the results of UMBIC protocol, the Balanced Extent of Energy Dissipation (BEED) metric is used. Specifically, BEED measures the ability of the protocol to balance the energy dissipation.

By using UMBIC protocol, network lifetime is prolonged. Additionally, extended stability is achieved and great scalability is accomplished. On the other hand, there is lack of fast and simple convergence.

The main features of the aforementioned hierarchy based hierarchical energy efficient routing protocols are synoptically presented in Table 2.7.

Protocol	Advantages	ges Disadvantages		Mobility	Routing	Robustness
LEACH [<u>104</u>]	There is reduction of energy loss. Global network knowledge is not required.	As it uses single hop routing, no scalability is offered. Dynamic clustering generates overhead.	Low	Static BS	The shortest route is used.	Low
LEACH-C [<u>105]</u>	It provides more energy efficient clusters for data transmission and predetermined optimal number of cluster heads.	It produces overhead to the BS.	Low	Static BS	The best route is selected.	Low
Q-LEACH [<u>106]</u>	The cluster head election does not consume energy. Network lifetime is extended.	It may increase the energy holes of the network.	Low	None	The shortest route from each region to the BS is used.	Good
U-LEACH [<u>107</u>]	Energy consumption is reduced. Network lifetime is extended.	It does not consider distance between master cluster head and BS.	Low	Static BS	Data are sent from cluster nodes to the cluster head and via the master cluster head reach the BS.	Good
H-LEACH [<u>108]</u>	It extends network lifetime	It has high number of unused nodes below the threshold.	Low	None	The shortest route is used.	Good
TL-LEACH [<u>109]</u>	Few nodes communicate with the BS. Network lifetime is extended.	The three-layer scheme creates overhead.	Low	None	Cluster nodes send their data to the cluster head, which forwards them to the BS.	Good
EHE-LEACH [<u>110]</u>	It has great network lifetime and improved stability.	It does not support mobility.	Low	None	Nodes below the distance threshold use direct routing while those above it use their cluster head to communicate with the BS.	Good
I-LEACH [<u>111]</u>	It offers increased network stability and reduced energy consumption.	There is no data aggregation mechanism.	Low	None	The route with minimum energy cost is used.	Good
LEACH-ERE [<u>112</u>]	It provides stable performance.	There is no consideration of the distance to the BS resulting in early death of faraway nodes.	Low	None	The cluster nodes send their data to the cluster head, which forwards	Low

Table 2.7. Synopsis of Hierarchical Protocols belonging to Hierarchy based subcategory.

					them to the BS.	
TLHCLP [<u>113</u>]	It prolongs network lifetime.	It faces data redundancy close to the BS.	Good	None	Nodes send data to their cluster heads, which forward them to upper level cluster heads until the BS is reached.	Good
LEACH-DS- ACO [<u>114</u>]	It provides improved network lifetime.	It does not support mobility.	Good	None	The shortest route using ACO.	Good
PEGASIS [<u>115</u>]	It performs less broadcasts using data aggregation.	It has large delay for distant nodes and single leader mechanism can cause congestion.	Low	None	It uses greedy route selection	Good
Hierarchical PEGASIS [<u>116</u>]	Delays, collisions, and signal interference are reduced.	It has significant overhead.	Low	None	Via upper level nodes, data are routed from lower level nodes to the BS.	Good
MH-PEGASIS [<u>117</u>]	The energy consumption of distant cluster heads is reduced.	It faces load balancing issues.	Low	None	Data are transferred from lower level nodes to the BS via upper level nodes	Low
Enhanced PEGASIS [<u>118]</u>	Redundant data are decreased.	It faces delay from distant nodes.	Good	None	The cluster heads route data to the lower level until the BS is reached.	Low
TEEN [<u>119</u>]	It has better performance and less broadcasts.	Cluster formation causes overhead. Only reactive communication is possible.	Low	Static BS	The best route is selected.	Limited
APTEEN [<u>120</u>]	It saves more energy than TEEN.	It has more complexity and delay than TEEN.	Low	Static BS	The best route is selected.	Good
VGA [<u>121</u>]	It provides energy efficiency and network lifetime maximization.	It has the non-deterministic polynomial time problem of optimal selection of local aggregators as master aggregators.	Good	Static BS	It uses greedy route selection.	Good
TTDD [<u>122</u>]	It can be used for multiple mobile sinks in an area with static node.	Each source node builds a virtual grid structure.	Low	None	It uses greedy route selection.	Good
BCDCP [<u>123</u>]	It avoids cluster head overload and has uniform cluster head placement.	It faces decreased performance gain for small field area.	Limited	None	The best route is selected.	Limited
ECHERP [<u>124</u>]	It has high energy efficiency and very good scalability.	The metrics related to QoS and time constraints are not considered.	Good	Supporte d	Cluster heads route data to the BS either directly or via higher level cluster heads.	Good
MIMO [<u>125</u>]	It achieves energy saving.	It may perform below optimal performance.	Good	None	The data from multiple sources are sent to the BS	Limited

					via multi-hop routing.	
HPAR [<u>126</u>]	It supports scalability and considers both transmission power and minimum battery power.	It causes increased overhead.	Low	None	It finds the least power consumption path and the one that maximizes the minimum energy and optimizes both outcomes.	Good
Sleep/ Wake Scheduling Protocol [<u>127]</u>	It is saving energy with sleep mode and has congestion awareness.	Overhead is caused.	Good	None	The best route is selected.	Limited
GBDD [<u>128</u>]	Only the BS constructs the grid. Continuous data delivery from source to the BS is attained.	At high speeds, more energy is consumed.	Good	Limited	The BS chooses the closest corner node in case the valid grid is present.	Good
ELCH [<u>129</u>]	Low energy consumption, better network lifetime.	In case the number of cluster nodes exceeds a specific amount, network operation will face a negative effect.	Limited	Static BS	The maximum residual power route.	Good
NHRPA [<u>130</u>]	It attains security and balanced energy consumption.	It faces packet latency.	Good	Static BS	The best route is selected.	Good
SHPER [<u>131</u>]	Great energy efficiency and even energy depletion are achieved. Optimal routing paths are used.	Mobility is not considered.	Good	Static BS	The best route is selected.	Good
PeMuR [<u>132</u>]	Great energy efficiency, high preservation of QoS and enhanced performance during non- uniform node energy distribution are achieved.	It lacks mobility considerations.	Good	None	Cluster heads transmit data to the BS directly in case they are in upper level or via upper level, in case they are in lower level.	Good
NEACH [<u>133</u>]	The energy holes are less likely to occur.	The cluster head selection increases network's energy consumption and nodes in sleep-mode may disconnect the network.	Good	None	The cluster nodes send data to their cluster heads, which forward them to the BS via multi-hop routing.	Low
HEBM [<u>134</u>]	cluster head election considers residual energy and distance from neighbor nodes. Load balancing and less message delays are offered.	It has extra overhead from collecting network's data and calculating cluster size.	Low	None	It uses multi hop inter cluster an intra-cluster routing.	Low
EESSC [<u>135</u>]	It solves the problem of	It does not consider distance	High	None	The cluster nodes	Low

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	energy holes.	between a node and a cluster head.			send data to their cluster heads, which forward them to the BS either directly or via the other cluster heads.	
DEEC [<u>136</u>]	It has good performance in multi-level heterogeneous WSNs and does not require the global knowledge of the network's energy.	It is not suitable for time critical applications.	Low	None	The shortest route is used.	Low
HEER [<u>137</u>]	It prolongs the network lifetime and increases the stability period.	It lacks data aggregation at the sink node and causes data flooding.	Low	None	The best route is selected.	Low
ICDAHEER [<u>138]</u>	It has greater stability and improved network lifetime.	The BS is located in the center of the network area	Low	None	The best route with improved inter-cluster communication is used.	Good
HEERTP [<u>139</u>]	It reduces redundant data and energy consumption during cluster head election and improves network lifetime.	It may not send some useful data to the BS.	Low	None	The cluster nodes send data to their cluster heads, which forward them to the BS.	Low
Energy aware for cluster based sensor networks [<u>140</u>]	It has great performance in terms of energy and increased network lifetime.	It may require many gateways to ensure high coverage.	Low	None	The minimum cost path is used.	Low
Self- Organizing protocol [<u>141</u>]	The routing tables need low maintenance. Hierarchical routing is balanced. With the use of LML in relaying trees, faults are tolerated.	The not on demand organization phase resulting in extra overhead and re organization phase is performed again if during hierarchy forming many network disruptions happened resulting in extra overhead.	Low	Supporte d	The sensor nodes transmit data to the routers, which forward them to the sinks.	Good
DHAC [142]	It has longer network lifetime	The performance decreases when network traffic is high.	Good	None	The best route is selected.	Limited
HEED [<u>143</u>]	It minimizes communication cost.	It does not consider different energy levels.	Supported	Supporte d	The minimum communication cost route.	Good
SEP [<u>144]</u>	It does not require global knowledge of residual energy during the round of cluster head election and prolongs network lifetime.	The cluster heads are elected based only on their initial energy level.	Low	None	The cluster nodes send data to their cluster heads, which forward them to the BS.	Low
SEP-E [<u>145</u>]	It offers improved resource sharing and	The uncontrolled number of associated cluster nodes	Low	None	The nodes send data to their	Good

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	increased network lifetime.	within each cluster results in load imbalances.			cluster heads, which forward them to the BS.	
EADC [<u>146</u>]	It prolongs network lifetime.	The Relay node is elected based on residual energy and number of cluster nodes.	Low	None	Cluster nodes send data to cluster heads, which forward them to the BS via other cluster heads.	Low
I-EADC [<u>147</u>]	It has increased network lifetime and can be applied in both uniform and non - uniform distribution.	It lacks energy balancing in inter-cluster communication.	Low	None	Cluster nodes send data directly to the cluster heads, which forward them to the BS either directly or via the relay nodes.	Good
CBCCP [<u>148]</u>	It offers low delay, simple clustering process and load balancing.	The BS must be located in the center of the network area and it ignores the energy balancing problem for inter-cluster communication.	Good	None	The data are transmitted from cluster heads through the CCOs to the BS.	Good
WB-TEEN [<u>149]</u>	It has improved network lifetime and lower energy consumption.	The faraway nodes die earlier than those close to the BS do.	Low	None	The best route with balanced clusters is used.	Low
WBM-TEEN [<u>149]</u>	It has increased reliability and reduced energy consumption.	It uses single-hop routing in inter-cluster transmission.	Good	None	The best route with balanced clusters is chosen and multi-hop routing is used.	Good
HACH [<u>150</u>]	It performs well even under different heterogeneity levels. The sleep scheduling prolongs network lifetime.	A cluster head may have to cover long distances increasing the energy consumption.	Good	None	The best route along with sleep scheduling mechanism is used.	Low
TSEP [<u>151</u>]	It has great performance and increased stability period.	There is no awareness in case threshold is not reached in some cases.	Good	None	The cluster nodes send data to their cluster heads, which forward them to the BS.	Low
DCE [<u>152]</u>	The network is always active, and does not waste time to select cluster head, Network stability is assured.	In every round, it may change up to two times cluster head selection, thus increasing the energy consumption of the network.	Limited	None	The cluster nodes send data to the cluster head, which forwards them to the BS using single hop routing.	Limited
BSDCH [<u>153]</u>	It has high communication data rate and improved network lifetime.	It creates overhead during cluster head election and overlaps have been observed during data transmission.	Low	None	Data from nodes are routed, to main cluster heads, who	Low

	al	gorithms for improvement of th	neir dynamic	behavior		
					forward them via secondary cluster heads to the BS.	
BDCP [<u>154</u>]	It achieves reduced energy consumption and increased throughput.	Balancing improvements are needed. The BS is thought to be in the center of the network.	Low	None	The cluster nodes send data to the cluster heads, which forward them to the BS.	Limited
M-BDCP [<u>154</u>]	It has increased network lifetime.	It needs balancing improvements and the BS is in the center of the network.	Low	None	Nodes send data to their cluster heads, which forward them to the BS using multi-hop routing.	Low
ICP [<u>155</u>]	It saves time and energy during cluster head selection.	It does not take into account if the data of the sensor nodes are reliable.	Good	None	Nodes send data to their cluster heads, which forward them to the BS using single-hop routing.	Good
MLRC [<u>156</u>]	It minimizes transmission cost between sensor nodes and prolongs network lifetime.	It lacks flexibility.	Low	None	The data are forwarded to the BS according to a routing tree.	Limited
EDGE [<u>157</u>]	It avoids flooding.	It causes traffic overhead around the BS and fixed sensor nodes.	Limited	None	Via a routing tree, data are routed from children nodes to their parent nodes, and then to the BS.	Low
CTPA [<u>158</u>]	It has reduced energy consumption.	The clustering buffering mechanism may cause overhead.	Low	None	The data are forwarded from nodes to the BS via the cluster heads using minimum spanning tree.	Low
GSTEB [<u>159</u>]	It extends network lifetime and avoids collisions.	It faces increased energy consumption due to direct routing and energy overhead due to large number of control packets.	Good	None	The children nodes route data to their parent nodes who forward them reach the BS.	Low
UMBIC [<u>160</u>]	It extends both network lifetime and stability period.	It lacks fast and simple convergence.	Good	None	Intra cluster single-hop and inter cluster multi –hop routing is used.	Low

2.3.2.2.2 Zone Based

In this subcategory, the network area is divided into zones. A zone, depending on the operation of each protocol, can operate either as a cluster with cluster head election or as a direct communication zone without cluster heads. Furthermore, each zone could be assigned a particular energy level of nodes depending of the routing algorithm or include relay nodes that transmit data from sub cluster heads to the BS. In what follows in this section, four typical examples of zone based energy efficient routing protocols are described.

Distributed Regional Energy Efficient Multi-hop-Maximum Energy (DREEM-ME) [161] is a routing protocol for homogeneous WSNs that uses a fixed number of cluster heads in each round and ensures that these cluster heads have the maximum energy. DREEM-ME separates the network into concentric circles, forming four equal sectors that divide the area in regions, excluding the first, which is in the center of the area containing the BS. Each region elects cluster heads and utilizes multi-hop communication between the other regions with the exception of region one, which uses direct communication to communicate with the BS. Furthermore, DREEM-ME reduces packet loss, during bad wireless link status, with the implementation of the Random Uniformed Distribution Model mechanism.

Thus, by using DREEM-ME, not only is energy saving achieved, but also a lower number of packets are dropped. Additionally, extended stability period is attained. On the other hand, energy depletion is not balanced. In addition, scalability is limited.

Regional Energy Efficient cluster heads based on Maximum Energy (REECH-ME) [162] is a clustering protocol that divides the network in nine regions with the first positioned in the center of the network, being the largest one containing the BS. The regions have a predefined number of nodes distributed randomly, while the first one has twice the number of nodes. Every region acts as a cluster. The election process of cluster heads is based on maximum residual energy. Furthermore, packets are transmitted from each region's cluster head to the BS excluding region one, in which nodes use direct transmission to transmit their data. In order to reduce packet loss, REECH-ME utilizes the Uniform Random Distribution Model.

REECH-ME is considered to be suitable for monitoring applications. It provides improved stability period, prolonged network lifetime, and balanced energy consumption. On the other hand, its architecture is based on the use of strict settlements, which limit the scalability provided.

Zone-Stable Election Protocol (Z-SEP) [163] is a clustering routing protocol for heterogeneous WSNs that combines nodes with two energy levels and a double communication scheme, as some nodes can communicate directly to the BS while others form clusters and transmit their data to the BS using the SEP algorithm.

Z-SEP divides the network into three zones:

- i) Head Zone 0, which includes the BS and normal energy nodes.
- ii) Head Zone 1, which includes randomly, deployed advanced nodes.
- iii) Head Zone 2 includes randomly deployed advanced nodes as Head Zone 1.

During data transmission, nodes in Head zone 0 transmit packets directly to the BS while nodes in Head Zones 1 and 2, which are denser than Head zone 0, transmit their data through an elected cluster head using an SEP algorithm.

With ZSEP, energy consumption is decreased, high throughput is achieved, and stability period is increased. Yet, the suggested network infrastructure may not be realistic. In addition, overhead is added.

Zone based Stable cluster head Election Protocol -Enhanced (ZSEP-E) [164] is a clustering protocol created to face the problems of Z-SEP and SEP–E. It divides the network in three zones and utilizes three energy leveled nodes: normal, intermediate and advanced. ZSEP-E forms hierarchical clusters within the zones. Zones 1 and 3 include homogeneous advanced nodes distributed evenly, while zone 2 includes the BS, which is located in the center with the other two types of nodes normal and intermediate. During the process of cluster head election, in zone 2, intermediate nodes are only elected as cluster heads, while, in the other two zones, advanced nodes are elected.

ZSEP-E extends network lifetime and achieves even distribution of advanced nodes. On the other hand, non-random deployment of nodes is used and the structural considerations made may be unfeasible in real case scenarios.

A synopsis of the characteristics of the zone based subcategory hierarchical protocols is presented in Table 2.8.

Protocol	Advantages	Disadvantages	Scalability	Mobility	Routing	Robustness
DREEM- ME [<u>161</u>]	It has extended stability period and lower number of dropped packets.	It has unbalanced consumption.	Limited	None	The outer regions send their data to the inner regions and those send them to the BS while nodes nearby the BS use direct communication.	
REECH- ME [<u>162]</u>	It provides improved stability period, increased network lifetime and balanced energy consumption.	It has limited scalability.	Limited	None	In the first region, the closest one to the BS, nodes use direct communication while in the other regions, data are aggregated and sent to the BS via each region's cluster head.	Good
Z-SEP [<u>163]</u>	It provides increased stability period and great throughput.	It has increased consumption during direct transmission.	Supported	None	Nodes in Zone 0 use direct routing while nodes in Zones 1 and 2 transmit data to the BS via their cluster heads.	Good
ZSEP-E [<u>164]</u>	It provides improved network lifetime and evenly distribution of advanced nodes.	Random deployment of the nodes and the BS is not considered.	Supported	None	The cluster node send data to their cluster heads, which aggregate and forwarded them to the BS.	Good

Table 2.8. Synopsis of Hierarchical Protocols belonging to the Zone based subcategory.

2.3.2.2.3 Fuzzy Logic Based

During the operation of these protocols, the core functions of the network as data routing, cluster head election, the hotspot problem or network balancing, are executed with the assistance of fuzzy logic, which enables the network to combine and evaluate diverse parameters while operating efficiently. In what follows in this section, eight typical examples of fuzzy logic based energy efficient routing protocols are described.

Fuzzy Logic-Based Energy Efficient Packet Loss Preventive Routing Protocol (FEEPRP) [165] is an energy aware packet loss preventive routing protocol, which aims to save energy and, at the

same time, control congestion, avoid malicious nodes, and prevent data losses. FEEPRP uses a fuzzy control mechanism that monitors the past records of residual energy, packets dropped at each node, and hop count to decide which route to select for sending messages. Each time, a different route is selected according to the output given by the fuzzy logic mechanism. The residual energy of each route is considered as one of the inputs in the fuzzy control to assure energy conservation as well. Energy conservation is enhanced more by letting nodes sleep when idle. The throughput achieved is high. However, processing overhead is notable. In addition, mobility of nodes is not supported.

Distributed load balancing Unequal clustering in wireless sensor networks using Fuzzy approach (DUCF) [166] is a clustering protocol, which balances the energy consumed by nodes and reduces the energy consumed by cluster heads. One common problem DUCF faces, called hotspot, is that the cluster heads closer to the BS have to send their data and relay data from distant cluster heads, due to its multi-hop routing, resulting in increased consumption. To solve this, it utilizes a fuzzy approach achieving better network balance. In addition, to achieve energy balance, more consuming cluster heads, should meet the energy requirements within an area with a low number of nodes, closer to neighbor nodes and the BS. Therefore, during cluster head election, DUCF takes into account three parameters, which namely are: a) the residual energy, b) node degree, and c) distance to the BS. By using DUCF, not only is network lifetime prolonged, but also the hotspot problem is solved. However, Coverage redundancy is not supported.

Energy-Aware Unequal clustering with Fuzzy approach (EAUCF) [167] is a clustering energy balance protocol which utilizes Fuzzy logic in order to solve the hotspot problem, a common issue of cluster heads in close proximity to the BS. Before EAUCF elects a cluster head, it considers two Fuzzy input variables and uses a "competition radius". The first Fuzzy input is the residual energy of the candidate cluster head while the second one is the distance between cluster head and BS. Sensor nodes, which have more residual energy and are closer to the BS, are more probable to become the next cluster head. In EAUCF, high energy nodes are more probable to be elected as cluster heads and network lifetime is extended. On the other hand, there is a lack of parameters for selection of data packets to be broadcasted. In addition, mobility of nodes is not supported.

Energy-aware distributed dynamic clustering Protocol in wireless sensor networks using Fuzzy logic (ECPF) [<u>168</u>] is a dynamic clustering protocol that utilizes fuzzy logic to divide the network in unequal clusters.

ECPF operates in rounds with each one of them consisting of two phases:

- i) Setup phase, which forms network clusters and elects cluster heads and
- ii) Steady State phase that uses TDMA frames, where in each frame a node sends data to its cluster head and at the end of it the cluster head aggregates data collected and sends the outcome to the BS.

In addition, cluster heads store their residual energy information in their memory at the end of every round, to reduce communication between nodes. In the case that the residual energy of a cluster head is below a threshold, this cluster head informs the BS with a small data packet in the current TDMA and the BS in its turn, informs the nodes to perform from the beginning both clustering and cluster head election. With the use of ECPF, both network lifetime is extended and scalability is attained. On the other hand, coverage preservation causes high energy consumption.

Multi-Objective Fuzzy clustering Algorithm for wireless sensor networks (MOFKA) [169] is an energy-balancing clustering protocol that utilizes fuzzy logic to address the hotspot problem but is also an independent distribution method for the wireless sensor network.

MOFKA calculates cluster head competition radius and takes into account three parameters:

- i) Distance to the BS,
- ii) Node's residual energy, and
- iii) Density of the cluster.

In addition, fuzzy logic is used to overcome the uncertainties that may occur during WSN operation. With the use of MOFKA, higher energy efficiency is achieved comparatively with other fuzzy logic based protocols. In addition, scalability is attained. Moreover, mobility of nodes without increasing energy consumption is supported. On the other hand, performance declines in dense networks.

SEP based on Fuzzy Logic (SEPFL) [170] protocol is an improved version of the SEP protocol, which utilizes fuzzy logic control during cluster head election. During the setup time, the BS collects information about the nodes and then it utilizes fuzzy logic to operate further. It uses three fuzzy parameters, battery level, distance and density to assign a value to every node representing its probability to be elected as a cluster head. After that, the protocol calculates two threshold values, one for normal nodes and one for advanced and stores them. Then, SEPFL considers these two values for each node in order to elect cluster heads.

By using SEPFL, network lifetime is extended and great stability is attained. Nevertheless, overhead is caused due to the complexity of the algorithm.

Fuzzy Logic-TEEN (FL-TEEN) [171] is a version of TEEN that implements the fuzzy inference system during the process of cluster head election. The fuzzy inference system utilizes three parameters:

- i) Battery level, which is the residual energy of the nod.
- ii) Factor of node density, which shows the number of neighbor nodes or in case of a cluster head it is an indirect indicator of the amount of collected data.
- iii) Data frequency, which is the data satisfied by the two thresholds of the previous rounds and indicates the quantity of data collected from the corresponding area.

At the start of each round, nodes gather the values of these three parameters of themselves. Routing takes place by considering these values.

With the use of FL-TEEN, network lifetime is prolonged and, comparatively to TEEN, the election of cluster heads is more sophisticated. In addition, FL-TEEN improves network stability and uniformity of energy. However, FL-TEEN needs more variables to consider, in order to achieve better cluster head elections.

Hybrid Hierarchical clustering Approach (HHCA) [<u>172</u>] is a protocol that utilizes a threelayer approach and the centralized Fuzzy C-Mean (FCM) approach. HHCA forms grids that include clusters and separates the network into three layers:

- i) Layer three is the BS,
- ii) Layer two is the Grid Heads (GH),
- iii) Layer one is the cluster heads, and
- iv) Layer zero is the sensor nodes (SNs).

Data packets are transmitted from the SNs, in layer zero, to the upper layers until they reach the BS. In order to form grids and to elect GHs, in every round, nodes send their residual energy and position information to the BS, which performs the FCM algorithm. Then, a distributed LEACH approach with energy considerations is performed to form clusters and to elect cluster heads.

With HHCA, great energy balancing improved credibility is attained. On the other hand, reliability issues take place.

A synopsis of the characteristics of the aforementioned zone based hierarchical routing protocols is presented in **Table 2.9**.

Protocol	Advantages	Disadvantages	Scalability	Mobility	Routing	Robustness
FEEPRP [<u>165]</u>	Energy of routes is considered. Nodes sleep when idle. Data losses are avoided.	Overhead is notable.	Good	None	Routes are selected based on residual energy, packets dropped and hop count of each route.	Good
DUCF [<u>166]</u>	It solves the hotspot problem and extends network lifetime.	It does not support coverage redundancy.	Limited	None	Nodes send data to the cluster head, which forwards them to the BS via multi-hop transmission.	Good
EAUCF [<u>167</u>]	Network lifetime is extended. The high energy nodes are preferably elected as cluster heads.	It lacks parameters for selection of data packet to be broadcasted.	Low	None	The cluster nodes send their data to the cluster head, which forwards them to the BS via direct transmission.	Low
ECPF [<u>168]</u>	It has great network lifetime.	Energy depletion is high during coverage preservation.	Good	None	The nodes send data to the cluster head, which forwards them to the BS via multi-hop routing.	Good
MOFCA [<u>169]</u>	It is more energy efficient than other protocols with fuzzy logic approach.	Its performance drops in dense networks.	Good	Good	The cluster nodes send their data to the cluster head, which forwards them to the BS.	Good
SEPFL [<u>170</u>]	It extends network lifetime and provides great stability.	It has energy overhead due to complexity of the algorithm.	Limited	None	The cluster nodes send their data to the cluster head, which forwards them to the BS.	Good
FL-TEEN [<u>171</u>]	It improves network stability and uniformity of energy and reduces energy ingestion.	-	Good	None	The best route is selected according to battery level, node density, data frequency.	Good
HHCA [<u>172</u>]	It provides good credibility and energy balancing.	There are some reliability issues.	Good	None	Data are sent from the SNs, in layer zero, to the upper layers until they reach the BS.	Low

Table 2.9. Synopsis of Hierarchical Protocols belonging to a Fuzzy Logic based subcategory.

2.3.3 Topology

Routing protocols of this category are classified into four subcategories depending on whether they use their location information, tree topology, mobile sinks, or mobile agents to route data. These subcategories namely are: Location based, Mobile Agent based, and Mobile Sink based.

2.3.3.1 Location Based

In most WSN protocols, location information of nodes is needed to calculate the distance between two nodes and to estimate energy consumption. Sensor networks are spatially deployed on a region and as there is no addressing scheme such as the IP addresses, location information can be utilized to route data efficiently. In protocols of Topology subcategory, nodes know their own neighbor positions as well as message sources that are assumed to be informed about the position of the destination information. In what follows in this section, seventeen typical examples of location based energy efficient routing protocols are described.

Geographic and Energy Aware Routing (GEAR) [<u>173</u>] uses two heuristics for packet routing, energy aware, and geographically informed neighbor selection. GEAR localizes interests of the Directed Diffusion protocol in a target region instead of sending them in the entire network. GEAR operation consists of two phases:

- Packet forwarding towards a target region: When a node receives a packet, it forwards it to the neighbor closer to target region. In case there is no close neighbor to the target region, there is a hole in the network and sending node forwards the packet to a node with the minimum cost.
- ii) Packet forwarding within target region: If the packet reaches the targeted region, it can be diffused in it with the use of either restrictive flooding or recursive geographic flooding.

GEAR both reduces and balances energy consumption. However, periodic table exchanges cause increased overhead.

Graph EMbedding for routing (GEM) [<u>174</u>] protocol uses virtual coordinates and two processes, Virtual Polar Coordinate Space (VPCS) and Virtual Polar Coordinate Routing (VPCR) to route data. VPCS process creates a spanning tree, which has a root node and an assigned angle range to every node. Parent nodes split this angle range to children nodes in their sub-trees. The parent nodes of a sub-tree are aware of the center of mass and average position of all nodes within their sub-tree. In the VPCR process, GEM defines points out of a node's level and angle and routes data from any node to any point within VPCS. With the use of GEM, messages are efficiently routed. In addition, void and obstacle tolerance are attained. Another advantage of GEM is that it scales greatly with size and density. On the other hand, low level nodes are overloaded.

Implicit Geographic Forwarding (IGF) [175] is a state free protocol, without state tables of nearby nodes. Instead, it utilizes lazy binding and location address semantics. With the use of two metrics, Increased Distance Towards Destination (IDTD) and Energy Remaining (ER), a node can choose next hop of a packet in real time. A property useful for networks with migrating nodes or real-time shifts in topology. By using IGF, state information cost is eliminated and fault tolerance is attained. In addition, end-to-end latency is reduced. On the other hand, IGF depends on the up to date local neighbor tables.

Scalable Energy–efficient Location Aided Routing (SELAR) [176] protocol applies to static networks and considers two parameters, location, and energy consumption. At first, the BS floods its location to neighbor nodes and then those nodes flood their location to their neighbors with a reference to the BS included. Then, only energy information needs to be exchanged. To ensure energy savings, control packets travel one hop and data packets travel from within a zone to the BS. This zone is defined by the area of source node's range and an angle a in the direction of the

BS. Source node defines a min and a max value for angle *a*, starting from min and progresses to max with steps. If there is no neighboring node in the zone, it uses gossiping to forward the message. The advantage of SELAR is that energy dissipation not only is deceased, but also takes place in uniform way. The disadvantage of SELAR is that it does not work well with mobile nodes.

Greedy Distributed Spanning Tree Routing (GDSTR) [177] algorithm uses hull trees, which is a different kind of spanning tree, where every node stores all the locations of its descendant nodes in the tree, in a convex hull. The hull trees are constructed from aggregating convex hull information, which is used to avoid non-productive paths. In addition, they are able to traverse a significantly reduced sub-tree, which consists of nodes with convex hulls containing the destination point. GDSTR handles local dead ends differently than other geographic routing algorithms by switching to routing on a spanning tree until greedy forwarding can make progress again. Each node maintains a summary of the area covered by the sub-tree below each of its tree neighbors, to choose a direction on the tree that will probably make progress towards the destination. GDSTR is simple and easy to implement and understand. In addition, compared to other geographic face routing protocols, it has lower path and hop stretch. However, in GDSTR, a local dead end problem occurs.

Minimum Energy Relay Routing (MERR) [178] is a protocol based on the concept that the energy consumption of a path from the source to the BS depends on the distance between the pairs of the nodes in this particular path. In MERR, a characteristic distance is defined. A node searches its descendant nodes within its maximum range and picks the node closer to the characteristic distance or, in case every descending node's distance is greater than the characteristic, it picks its direct descending node. When the selection has been done, the sending node reduces antenna's power level to the minimum required to reach only the selected node.

MERR achieves both reduction and uniformity of energy consumption. Its disadvantage is that energy is wasted when nodes are close.

In *On-demand Geographic Forwarding* (OGF) [<u>179</u>], when a node needs to send a packet, it searches its forwarding table. Depending on the search results, the following cases can be the outcome of this search:

- i) Case one: it finds the desired information and sends the packet.
- ii) Case two: receiving node has a special code in its entry, called passive, which allows the sender node to transmit packets only to passive nodes, resulting in the sender node going directly into void handling.
- iii) Case three: no receiving node entries in forwarding table with the result of sender node starting a contention to find its next hop node. If a sender cannot find a destination node, it turns into void handling mode.

After successful packet delivery, sender node updates the forwarding table, inserting an entry for the established connection. OGF accomplishes both high energy conservation and good scalability. In addition, void handling is provided. Yet, it depends on the up to date local neighbor tables.

Partial-partition Avoiding Geographic Routing-Mobile (PAGER-M) [<u>180</u>] protocol introduces a function of cost for every node, which depends on the distance between nodes and BS. This function tries to estimate a route close to the Euclidean length of the shortest route of a node to the BS. In PAGER-M, nodes use Greedy forward to transmit packets to the BS, which may

sometimes fail at a node with distant neighbors from a BS. In that case, the packet is transmitted according to the rule of High to Low cost. By using PAGER-M, energy consumption is kept low and low overhead of routing is managed. However, PAGER-M is a stateless location based routing protocol.

Hybrid Geographic Routing (HGR) [181] protocol uses a combination of direction and distance criteria for its routing needs. In HGR, every node defines a priority for its next hop Q_i, which can take many forms for proper use of its distance and direction routing criteria. This priority becomes greater as the projected progress of node i gets larger and as a deviation angle between the line that connects z with i and the line that connects z with j gets smaller.

By using HGR, both notable energy savings and a high delivery ratio are achieved. Additionally, reduction of the end-to-end delay is possible, but not guaranteed.

Minimum Energy Communication Network (MECN) [182] sets up and maintains a minimum energy network for wireless sensor nodes using low power GPS. Although this protocol is used for mobile networks too, it is best suited to WSNs without mobility. It assumes a master site as the information the BS has in sensor networks. MECN identifies a relay region for each node consisting of sensor nodes in a surrounding area where data transmission via those is more efficient that direct communication. Furthermore, MECN manages to find a subnet with a lower number of nodes that will need less energy to transmit between two specific nodes. With this method, it finds global minimum energy paths without the need to consider all the nodes of the entire network. This is achieved with the use of a localized search for every node considering its relay region. MECN has two phases:

- First phase: The construction of a sparse graph begins by taking positions of a twodimensional plane, consisting of the enclosures of each transmit node in the graph. This construction process needs local computations in nodes and the graph contains globally energy efficient links.
- Second phase: It finds the optimal links within the enclosure graph and uses the distributed Bellman–Ford shortest path algorithm with the cost metric of power consumption. In case of mobile nodes, the position is tracked using GPS.

MECN achieves energy saving and fault tolerance. However, link maintenance consumes energy and adds overhead.

Small MECN (SMECN) [183] is an extension of MECN, which considers obstacles between two nodes while the network is still assumed to be fully connected as in MECN. SMECN constructs a subnet like the one in MECN, which is smaller in terms of number of edges and uses the minimum energy property. According to this property for any pair of nodes in a graph associated with the sensor network, there is a path, named minimum energy-efficient path, which has the smallest cost regarding energy consumption over all possible paths between this pair of nodes.

SMECN saves more energy than MECN. Moreover, both link maintenance cost and number of hops are reduced. Nevertheless, additional overhead is caused.

Geographic Adaptive Fidelity (GAF) [<u>184</u>] is a routing protocol primarily designed for mobile Ad Hoc networks but it can be applied to WSNs too. It constructs a virtual grid with points that are associated with the GPS location of nodes. Nodes that are associated with the same point have equivalent energy cost for routing packets. Using this grid, unnecessary nodes can be turned off

keeping the level of routing fidelity intact and increasing the network lifetime. GAF defines the following three states:

- i) Discovery state: to determine neighbor nodes in the grid.
- ii) Active state: reflecting participation in routing.
- iii) Sleep state: when radio circuits are turned off.

Node states and the related parameters are application dependent. GAF can handle mobility nodes with every sensor node estimating the time it leaves the grid, sending this information to its neighbors. Sleeping nodes, to keep routing fidelity, can adjust sleeping times and wake up before the leaving time of active nodes expire.

In this way, considerable energy efficiency is attained. Additionally, GAF supports both mobility and non-mobility nodes and keeps the network connected by keeping a representative node, in a region of virtual grid, active. On the other hand, nodes neither aggregate nor merge data.

Power Aware Scheduling and clustering algorithm (PASC) [185] is a clustering protocol for homogeneous large scale WSNs with multi-hop routing that combines neighbor nodes in clusters or zones to reduce similar data sensed from neighboring regions and keeps only the cluster heads active by turning some sensor nodes off. During initialization, all nodes are homogeneous with a unique ID and Global Positioning System (GPS) location information, while the network is divided into zones. Then, during cluster head election, which is repeated in every round, nodes wait for a random time to receive messages and, in case they do not, they send a message to their neighbors requesting to be elected as cluster heads. This message contains their zone number and location information. After that, nodes that receive the request compare them with their own information and discard it, if it contains a number of a different zone or else they send a response with their residual energy. Candidate cluster heads. To prevent increased energy consumption during data transmission, the multi-hop routes are optimized, while cluster heads choose the node with the highest residual energy for their next hop. In this way, reduction of energy consumption is achieved. The disadvantage of PASC is that nodes closer to the BS die earlier.

PASC-Ant Colony Optimization (PASC-ACO) [186] is an improved version of PASC protocol that forms clusters with the same mechanism as in PASC and utilizes the Ant Colony Optimization (ACO) algorithm, to route data to the BS, through the optimal path, during packet transmission.

The use of PASC-ACO prolongs network lifetime and achieves higher efficiency in dense network areas. On the other hand, the use of GPS increases energy consumption.

PASC-Adaptive Redeployment (PASC-AR) [187] is another improved version of PASC protocol, which supports the same clustering mechanism that is used in PASC combined with Adaptive Redeployment (AR). During data transmission, the redundant mobile nodes are moved via AR in a sequential pattern from dense to sparse zones, in order to prevent nodes to sense similar areas.

By using PASC-AR, network lifetime is prolonged and energy holes are covered. However, the use of mobility increases energy consumption.

Dynamic Energy Efficient Latency Improving Protocol (DEELIP) [<u>188</u>] is a protocol that utilizes Distance Metric (DM) to measure nodes distance and divides the network in parent-child

hops in order to reduce transmission delay. DEELIP does not form conventional clusters. Instead, every node has parent and children nodes, in the next and the previous hops, respectively. During data transmission, the DM is used to measure the actual air distance for the next hop nodes instead of counting hops, while parent nodes are selected based on their least distance to the sending nodes. The BS broadcasts only once a packet containing its location information, so that receiving nodes can compare their distance from it. The use of DEELIP extends network lifetime, and achieves both low latency and improved stability. Yet, nodes are considered static during data gathering.

Distance Routing Effect Algorithm for Mobility (DREAM) [189] is protocol with mobile nodes that maintain a location table for every node in the network. Every node transmits its location frequently to nearby nodes and less frequently to faraway nodes in order to save resources. When a node needs to transmit a data packet to a faraway node, it first sends the packet to a nearby node, which is located at the direction of destination node. Next, the receiving node forwards the message to a nearby node, which is located at the direction of the destination. This procedure is repeated until destination node receives the packet.

By using DREAM, efficient transmission of data packets is achieved and the end-to-end delay is kept small. The disadvantage of DREAM is that there is waste of network bandwidth due to node location transmission.

A synopsis of the main characteristics of the aforementioned topology protocols belonging to the location based subcategory is presented in Table 2.10.

Protocol	Advantages	Disadvantages	Scalability	Mobility	Routing	Robustness
GEAR [<u>173</u>]	It balances energy ingestion and extends network lifetime.	The periodic table exchanges increase overhead.	Limited	Limited	The best route is used.	Good
GEM [<u>174</u>]	It efficiently routes messages and offers void and obstacle tolerance.	It overloads low level nodes.	Good	Limited	The shortest route is selected.	Good
IGF [<u>175</u>]	It has fault tolerance and reduced end-to-end latency.	It depends on the up to date local neighbor tables.	Limited	Good	The best route is selected.	Good
SELAR [<u>176</u>]	It has uniform energy dissipation	It does not work well with mobile nodes.	Limited	Limited	The highest residual power route.	Good
GDSTR [<u>177</u>]	It is easy to apply and offers lower path and hop stretch.	It suffers from the local dead end problem.	Limited	None	The shortest route is used.	Good
MERR [<u>178</u>]	It has uniformity of energy consumption.	It wastes energy when nodes are close.	Limited	Low	The minimum energy ingestion route is used.	Good
OGF [<u>179</u>]	It offers superior energy saving and handles void.	It depends on the up to date local neighbor tables.	Good	Limited	The best route is used.	Good
PAGER-M [<u>180]</u>	It manages low overhead of routing.	It is stateless location based.	Good	Good	The shortest route by using the greedy algorithm is used.	Good
HGR [<u>181]</u>	It has reduced end-to-end delay.	The reduced delay is not guaranteed.	Good	Good	The minimum energy ingestion route is used.	Good
MECN [<u>182</u>]	Fault tolerance is attained.	The link maintenance consumes energy.	Limited	Low	The optimal route in a sparse graph is used.	Low
SMECN [<u>183</u>]	Both link maintenance cost and number of hops are reduced.	High amount of edges increases overhead.	Low	Limited	The optimal route in a sparse graph is used.	Low

Table 2.10. Synopsis of Topology Protocols belonging to Location based subcategory.

GAF [<u>184]</u>	Network lifetime is extended.	Nodes neither aggregate nor merge data.	Good	Good	The least cost route within the virtual grid is used.	Low
PASC [<u>185</u>]	Redundant data are reduced.	It suffers from the energy hole problem.	Good	None	The highest residual energy route is used.	Low
PASC ACO [<u>186]</u>	It avoids the energy hole problem and extends network lifetime.	The GPS increases energy consumption.	Good	None	The optimal path by using ACO is used.	Good
PASC-AR [<u>187]</u>	It avoids the energy hole problem and provides an extended network lifetime.	GPS increases energy consumption.	Good	Low	The shortest route within the routing tree is used.	Good
DEELIP [<u>188</u>]	It has low latency operation.	The nodes are static in data gathering.	Good	Limited	The shortest route is used.	Low
DREAM [<u>189</u>]	It provides small end-to-end delay.	It wastes network bandwidth.	Limited	Good	The minimum power ingestion route is used.	Limited

2.3.3.2 Mobile Agent Based

The main characteristic of this subcategory is the Mobile Agent, which is a program that migrates from node to node and performs tasks autonomously, based on environmental conditions. In what follows in this section, two typical examples of mobile agent based energy efficient routing protocols are described.

Multi-agent based Itinerary Planning (MIP) [190] protocol is used when a single agent based itinerary planning protocol is not sufficient as in a network of large scale. MIP defines an impact factor for every node and distributes it to every sensor node in the network. Then, the source with the greatest impact factor is selected. The main advantage of MIP is that lower energy consumption is achieved with small number of sources. Its disadvantage is that high delay is caused.

Itinerary Energy Minimum for First source selection (IEMF) [191] initially picks an arbitrary source sensor node v as a tentative S(1) leaving the rest of sources in a set $V - \{v\}$. The v is set as the starting point, while the rest of the sources in the set will have their itineraries evaluated by visiting in sequence, everyone in the set, until all routes, starting from the BS to sources, are obtained. Finally, the route with the minimum cost of energy is selected. The Itinerary Energy Minimum Algorithm or IEMA adds a route optimization apart from S(1) selection. IEMF achieves not only great energy efficiency, but also high delivery ratio. However, the scalability of IEMF is limited.

A synopsis of the characteristics of the aforementioned topology routing protocols belonging to mobile agent subcategory is presented in Table 2.11.

Protocol	Advantages	Disadvantages	Scalability	Mobility	Routing	Robustness
MIP [<u>190</u>]	It has better energy consumption with small number of sources.	It faces high delay.	Limited	Good	The minimum total power consumption route is used.	Good
IEMF & IEMA [<u>191]</u>	It provides great energy efficiency and high delivery ratio.	It has limited scalability.	Limited	Good	The minimum total power consumption	Good

Table 2.11. Synopsis of Topology Protocols belonging to Mobile Agent subcategory.

route is used.

2.3.3.3 Mobile Sink Based

In this subcategory, the sink or the BS can move with the assistance of a robotic platform within the network, through the deployed nodes, in specific paths or patterns to collect data from them. In what follows in this section, two typical examples of this kind of protocols are described.

Mobile Sink based adaptive Immune Energy-Efficient clustering Protocol (MSIEEP) [192] utilizes a mobile the BS to solve the energy hole problem, to improve security, and to reduce the packet drop rate. MSIEEP uses the Adaptive Immune Algorithm (AIA) to determine the position of the mobile the BS based on three predefined paths and to calculate the optimal number of cluster heads. The first moving pattern is a rectangular amid four regions, while the second is a rectangular among eight regions and the third pattern is a straight line crossing four regions. In addition, MSIEEP allocates uniformly the cluster heads in the network and each one informs its cluster nodes of their TDMA schedule for intra-cluster communications. During inter-cluster communication, they use a unique CDMA schedule to avoid neighbor cluster interference. MSIEEP not only extends network lifetime, but also achieves low drop packet ratio and increased stability period. However, predefined routing paths limit its applications.

Multi-hop HEER-Sink Mobility (MHEER-SM) [193] is an upgraded version of HEER algorithm, which combines three operations, a fixed number of clusters, multi-hop routing for distant cluster heads, and a mechanically driven sink. MHEER-SM separates the network area in sub-areas with equal numbers of nodes that operate as clusters. It performs the HEER's process of cluster head election, and efficiently it monitors all the areas. Furthermore, to reduce energy consumption of the distant cluster heads, MHEER-SM utilizes multi-hop routing, by forwarding data packets to nearby cluster heads until the BS gets the data. Although packets may get lost, MHEER-SM uses a Uniform Random Distribution Model to calculate dropped packets. Finally, the BS can move in a fixed start-stop pattern to collect data from static nodes directly. MHEER-SM reduces communication cost and extends network lifetime. On the other hand, nodes are deployed in a fixed way.

A synopsis of the characteristics of the aforementioned topology routing protocols belonging to mobile sink subcategory is presented in Table 2.12.

Protocol	Advantages	Disadvantages	Scalability	Mobility	Routing	Robustness
MSIEEP [<u>192]</u>	It has low packet drop ratio and increased stability period.	routing paths limit	Good	Good	The mobile sink stops within the regions and collects data from the region's cluster head.	Good
MHEER-SM [<u>193]</u>	Communication cost is reduced. Network lifetime is extended.		Good	Good	The mobile sink stops in predefined positions in a region and collects data from the region's cluster head.	Good

Table 2.12. S	ynopsis of	Topology	Protocols	belonging to	Mobile Sink	subcategory.

2.3.4 Reliable Routing

According to the Reliable Routing scheme, protocols are classified in two subcategories, depending on whether they support QoS or whether they avoid redundant data and tolerate path failures with the use of multiple paths. These subcategories are QoS based and Multipath based.

2.3.4.1 QoS Based

In most WSN protocols, location information of nodes is needed to calculate the distance between two nodes and to estimate energy consumption. Sensor networks are spatially deployed on a region and, as there is no addressing scheme such as the IP addresses, location information can be utilized to route data efficiently. In protocols of Topology subcategory, nodes that know their own neighbor positions as well as message sources are assumed to be informed about the position of the destination information. In what follows in this section, seventeen typical examples of location based energy efficient routing protocols are described. In the QoS based subcategory, protocols try to balance network's energy consumption, data quality, and end to end delay using certain QoS metrics as delay, energy, response time, etc. In what follows in this section, eighteen typical examples of QoS based energy efficient routing protocols are described.

Stateless Protocol for rEal-timE communication (SPEED) [194] is a QoS routing protocol for WSNs that provide low end-to-end delays, demands from every node to maintain neighbor node information, and using a geographic forwarding to find paths. It achieves a certain speed for every packet in the network so each application is able to estimate a packet's end to end delay by dividing the distance to the BS to the speed of the packet and provides congestion avoidance for congested networks. The routing module of SPEED is called Stateless Geographic Non deterministic Forwarding (SNFG) and works along with another four modules in the network layer. In addition, it maintains a desired delivery speed across the network while it includes a two-tier adaption for diverting traffic at network layer and locally regulating packets sent to the MAC layer. SPEED uses the following components:

i)An Applications Programming Interface (API)

- ii) A delay estimation scheme
- iii) A scheme for neighbor beacon exchange
- iv) A Nondeterministic Geographic Forwarding (NGF) algorithm
- v) Last mile processing
- vi) Backpressure Rerouting
- vii) A Neighborhood Feedback Loop (NFL)
- viii) Load balancing is achieved with the SNGF mechanism scattering the packets in a large transmission region

In SPEED, total transmission power is less due to the simplicity of routing and the uniformity of traffic distribution. In addition, SPEED performs better in terms of end-to-end delay and miss ratio. Its weakness is that there is no energy consumption metric.

Multi path and Multi SPEED (MMSPEED) [195] can achieve high QoS in both Timeliness and Reliability domains. In the timeliness domain it guarantees multiple speed options for packet delivery while, in the reliability domain, it performs probabilistic multipath forwarding for various requirements. MMSPEED does not need to be aware of network geographic information,

but, with the use of localized geographic packet forwarding and dynamic compensation, it can counterbalance any local decision inaccuracies in the routing path. MMSPEED offers both energy efficiency and scalability. However, when the load is high, MMSPEDD is unable to meet end-toend delay requirements.

Multimedia Geographic Routing (MGR) [196] is a protocol for multimedia applications introduced to exploit Mobile Multimedia Nodes (MMN) in an architecture called Mobile Multimedia Sensor Network (MMSN). MGR tries to guarantee the delay with high priority and, after that, it continuously minimizes energy consumption to magnify network lifetime. In order to select the best next hop location of a selected node, it divides the distance of the selected node to the BS, with ideal hop counts from selected node to the BS and calculates the desired hop distance to pick the next hop. MGR saves up to 30% more energy compared to classical geographic routing. In addition, in most cases, there is guaranteed small delay. However, the delay guaranteeing is the top priority goal.

Energy Aware QoS routing [197] is a protocol where real-time traffic is generated from imaging nodes. A minimum cost energy efficient path meeting a certain end-to-end delay during the connection is found. The function of link cost captures various communication parameters as energy reservoir, transmission energy, and error rate. To support simultaneously best effort and real-time traffic, the protocol employs a class based queuing model, which allows for sharing services for real-time and non-real-time traffic. Initially defined by the gateway, a starting value of bandwidth ratio r represents the limit of dedicated bandwidth to the real-time and non-real-time traffic on a specific link in case of congestion. Adjusting r value does not reduce normal data throughput. This protocol finds a list of minimum cost paths using an extended version of Dijkstra's algorithm and picks the path from the list that meets the required end-to-end delay. Energy Aware QoS routing is good in terms of both QoS and energy. Its disadvantage is that r value is initially defined to be the same for all nodes, thus not providing flexibility for different links.

The *Maximum lifetime energy routing* [198] algorithm suggests a solution which is based on a network flow approach, which maximizes network operation time by defining the link cost as a function of remaining energy and required transmission energy of a node using that link. The routing problem of sensor networks can be also solved by calculating traffic distribution that maximizes feasible operating network time. To find the best link metric for the maximization problem, two algorithms have been proposed that are different in the definition of link cost and the nodes' residual energy. Using the shortest path Belmann–Ford algorithm for the link costs, the minimum cost routes to the destination are found. The residual energy in a minimum cost link path is largest among all the other paths. These algorithms are compared to Minimum Transmitted Energy (MTE) algorithm, which uses e_{ij} for the link cost. Specifically, on average, greater operation time than in MTE is achieved. However, computational overhead is considerable.

In [199], the so-called Maximum Lifetime Data Gathering problem is studied. It is the problem of finding how to both collect the data from all of the network nodes and transmit them to the BS, in order to have the network lifetime maximized. In order to solve this problem, the authors in [199] propose the *Maximum Lifetime Data Aggregation* (MLDA) algorithm, which takes into account data aggregation while it sets up maximum lifetime routes. A variation of the algorithm has been proposed for the applications for which data aggregation cannot be applied as video node

broadcasts. This algorithm is called *Maximum Lifetime Data Routing* (MLDR) and is modeled as a network flow problem that takes into account constraints of node energy. Both MLDA and MLDR are compared with hierarchical-PEGASIS in terms of system operating time. They are both significantly better than Hierarchical-PEGASIS in terms of performance. In terms of network lifetime, the performance of MLDA is better than that of other protocols. On the other hand, delay of data packet transmission is significantly greater in MLDA than in Hierarchical-PEGASIS. In addition, MLDA requires more computational power for large sensor networks.

Minimum Cost Bandwidth-Constrained Routing (MCBCR) [200] protocol, which is a simple, scalable, and efficient protocol, solves the minimum cost routing problem of WSNs. The most proper paths for routing data from nodes to the BS are found. In addition, the routing cost is minimized, without the load on each wireless link, exceeding its capacity. The polynomial-time minimum cost flow algorithm achieves high scalability. By using MCBCR, both long network lifetime and high scalability are achieved. However, high computational overhead is produced.

Energy Efficient Structure-free Data Aggregation and Delivery (ESDAD) [201] is an energy efficient and structure free protocol that aggregates redundant data. ESDAD divides the network area into several sub-regions each with a unique reliability requirement. Depending on the sub-region data traffic, in every sub-region, there is one or more sender nodes, that collects and sends data to the other sender nodes. Applying the reliability requirement scheme, ESDAD, can choose the number of sender nodes per sub-region, achieving energy saving and decreasing message delays. ESDAD performs better than the traditional structure-free protocols regarding energy efficiency and reliability. Additionally, the miss ratio is minimized. However, mobility is not considered.

Voronoi Neighbor Based Energy Efficient Event Detection (VNBEEED) [202] proposes an interpolation method to minimize in-network traffic, which is particularly high in dense networks due to redundant sensed data of neighboring nodes. VNBEEED models a WSN as a Markov Random Field (MRF) and applies local inference at every intermediate node to identify the shortest path with minimum energy consumption. In order to do so, VNBEEED uses messages of two types, i.e., data messages and sleep messages. In addition, it induces random delays into nodes of the same level that sensed an event, so only a subset of them will transmit the data message to the BS via the shortest path and a sleep message to their neighbors that sensed the data, so they will enter sleep mode. Thus, great energy saving is made. On the other hand, important messages may not reach the BS.

Efficient Dynamic Authentication and Key management (EDAK) [203] is an efficient dynamic authentication and key distribution protocol for heterogeneous WSNs, which has been designed in order to transfer data packets between the BS and sensor nodes safely. EDAK combines energy efficiency and data protection and a dynamic key generator for new data. In addition, to identify the valid sensor node, it applies the authentication mechanism DMK (Dynamic Matrix Key). The use of this method results in no need for exchanging keys, which achieves energy efficient operation and faster communication, with reduced complexity and overhead. However, energy holes still exist.

The Stable and Predictive Energy-aware Coverage Scheduling (SPEC) [204] is a protocol, which utilizes a one-way scheduling strategy, a wake-up strategy and reduces unnecessary repetitive eligibility executions among the nodes. This scheduling aim to remove the risk of

network isolation by allowing some sensor nodes within a cluster to be in sleep mode. According to this strategy, nodes operate in four different states:

- i) Discovery, where nodes exchange messages to discover their neighbors,
- ii) Active, where nodes sense the environment and communicate with their neighbors,
- iii) Sleep, where they are switched off waiting to be activated, and
- iv) Exhausted, where nodes have consumed their energy reservoirs.

After the discovery phase, nodes have discovered their neighborhood and SPEC knows which nodes should be switched in and out of sleep mode within the clusters. Once this is determined, clusters elect a cluster head and SPEC continues to employ it as the cluster head until it depletes its energy reserves. As soon as a cluster head is dead, another sensor node in close proximity to the exhausted one, which was previously in sleep mode, will then become the new cluster head in the next round. As long as the sensor nodes exchange data among them, no energy is wasted because of either switching between states or the process of new cluster head election. Based on its previously mentioned operation, SPEC keeps energy consumption amid state changes low. In addition, it offers reduced computation energy loss. However, network is broken up when a cluster head dies.

Sleep-awake Energy Efficient Distributed (SEED) [205] is a protocol for heterogeneous networks. SEED reduces redundant data to the BS and separates the network into three energy regions, depending on their distance from the BS to distribute energy evenly. These regions are:

- i) A region close to the BS with normal energy nodes,
- ii) A region next to the first with advanced energy nodes,
- iii) A region far away from the BS with super energy nodes.

After every region is separated, it is then divided into clusters while nodes of the same application within a cluster form a sub-cluster. SEED limits the node's communication by enabling only one sensor node to transmit data to the BS, while the others remain in sleep mode for energy conservation. In addition, cluster heads do not send data to the cluster heads of other regions, but rather send them directly to the BS avoiding both hotspot problems and energy holes near the BS. In addition, the cluster head election's main criterion is the remaining energy of a node. SEED attains high energy efficiency and great data redundancy. However, the nodes in sleep mode may disrupt the network. In addition, the scalability provided is not high.

Energy Efficient Routing Protocol (EERP) [206] is a protocol that combines an optimal aggregated cost function with the A* algorithm to maximize the lifetime of a WSN. In order to find the optimal path with minimum hop count, EERP takes into account the following three network attributes:

- i) Node's residual energy, in order to have a metric of WSN's lifetime,
- ii) Packet Reception Rate (PRR), which is the ratio of the BS received data packets to total sent packets,
- iii) Free buffer, which helps the network calculate the traffic load nodes can handle.

These parameters are vital at the start of each round, where the BS receives and analyzes all these parameters in order to find the optimal path. When a node has new data to send, the BS chooses which sensor nodes will receive and forward the packet. EERP provides both optimal path

calculation in each round and increased network lifetime. On the other hand, energy consumption when data collection rises is increased. Moreover, it has a lack of scalability.

Energy Efficient Cross-layer Protocol (EECP) [207] is a routing protocol that interacts with the Physical, MAC, and network layer. To ensure data efficient transmission, packets are routed through nodes with high energy closer to the BS, and it utilizes sleep time for energy efficient performance.

EECP saves energy by utilizing five parameters:

- i) Sleep time maximization of nodes out of the routing path
- ii) Tries to use different routing path each time
- iii) Avoids to wake up the nodes before the time that they are needed to
- iv) Reduces collisions
- v) Prevents energy consumption from idle listening and overhearing

Using EECP offers reduced collisions, prolonged network life, and good load balancing. However, the nodes being in sleep mode may deteriorate the network performance. In addition, during next hop selection, EECP may pick the same node from a previous hop.

Cluster Chain Weight Metrics (CCWM) [208] is a QoS based routing protocol, which achieves energy efficiency by avoiding long distances between cluster heads and cluster nodes as well as dense areas. To elect a cluster head, CCWM takes into account parameters such as path loss factor, residual energy, and node degree. Another novelty is the cluster heads supporting only a specific number of nodes, resulting in efficient operation of MAC layer. Furthermore, the protocol tries to position the cluster head in the middle of the cluster, to avoid long distances between cluster nodes and, by utilizing a cost propagation model, it achieves a good link between cluster heads and cluster nodes that saves more energy. However, the procedure of cluster head election creates overhead.

Balanced Energy Efficient Network Integrated Super Heterogeneous (BEENISH) [209] is a clustering protocol for heterogeneous WSNs that reduces energy consumption, increases stability, and prolongs network lifetime. To perform efficiently, BEENISH selects a cluster head based on residual energy by taking into account the energy reservoirs of the nodes in the network. In addition, BEENISH introduces a four-level energy scheme to classify the residual energy of nodes. These four energy levels are: normal, advance, super, and ultra-super. Nodes in the ultra-super energy level are elected as cluster heads more often than those in the super energy level. Super energy level nodes are elected as cluster head more often than advanced, etc. By employing this strategy, the network's energy balance is achieved and energy consumption is reduced due to less communication, taking place between the nodes during cluster head election. On the other hand, the distance between nodes is not considered.

Energy Consumption Rate based Stable Election Protocol (ECRSEP) [210] is another clustering protocol, which utilizes the so-called Energy Consumption Rate (ECR) for cluster head election. During every round, ECRSEP elects nodes as cluster heads in case they have low ECR. After the end of a round, in the next one, ECRSEP uses the previous ECRs to select a cluster head and elects again those with the lowest ones. Therefore, sensor nodes, which have been elected as cluster heads in the previous round, will not be chosen, due to their high ECR, compared to non-cluster head nodes. The formula for calculating ECR is:

$$ECR = \frac{F_{int} - F_r}{r - 1},\tag{5}$$

where F_{int} symbolizes the initial energy, F_r stands for the residual energy of each node and r denotes the current round. ECRSEP reduces communication energy consumption and provides energy balanced networks. However, it suffers from a problem with energy holes.

Sequential Assignment Routing (SAR) [211] uses a concept of QoS in its routing decisions for the first time in routing protocols. It decides the routing depending on three factors:

- i) Energy resources
- ii) QoS on each path
- iii) Priority level of each packet

It is a table driven multi path-approach. With the use of trees, multiple paths from the BS to nodes are formed. These trees are rooted to single-hop neighbor nodes of the BS by considering the above three factors. Each path is selected by considering energy resources and QoS on the route. In case of failure, recovery can occur on each route, with enforced consistency of routing table between upstream and downstream nodes. Failures occurring locally trigger a procedure of path restoration.

SAR provides more energy efficiency from Minimum-Energy Metric algorithm. In addition, multiple routes from nodes to the BS are maintained and every packet's priority is considered. However, there is a large maintenance cost of tables and states in every node when the number of nodes is large.

Reliable Routing Distributed Learning Automaton (RRDLA) [212] is a QoS routing protocol that introduces Distributed Learning Automaton (DLA) model to find the smallest number of nodes needed to preserve desired QoS requirements. RRDLA algorithm consists of four phases:

i) Initial, where the network initializes its operation and forms DLA,

ii) Learning, where a small number of nodes with high Packet Delivery Ratio (PDR) are selected,

iii) Transmitting, where the selected nodes transmit their data via the reliable links established,

iv) Retransmitting, where the undelivered data packets are sent towards the BS.

RRDLA achieves data routing via the paths that minimize the end-to-end delay and energy consumption while maximizing the PDR. However, overhead is caused.

A synopsis of the characteristics of the aforementioned QoS based energy efficient routing protocols is presented in Table 2.13.

Table 2.13. Synopsis of Reliable Routing protocols belonging to QoS based subcategory.

Protocol	Advantages	Disadvantages	Scalability	Mobility	Routing	Robustness
SPEED [<u>194</u>]	It performs better in terms of end-to-end delay and miss ratio.	It has no energy consumption metric	Limited	None	Stateless Geographic Non Deterministic route is used.	Low
MMSPEED [<u>195</u>]	It has adaptability to dynamic large-scale		LOW	None	Stateless Geographic	Low

	0	1	,			
		requirements			Non Deterministic route is used.	
MGR [<u>196</u>]	It extends network lifetime and assures small delay.	The delay assuring is the top priority goal	Good	Good	The minimum delay route is used.	Low
	It performs well in terms of QoS and energy metrics.	The same <i>r</i> value used for all nodes reduces bandwidth for different links.	Low	None	The route with minimum end- to-end delay is used.	Low
Maximum Lifetime Energy Routing [<u>198</u>]	time than MTE, on	For large number of nodes the cost of link calculation is high.	Low	None	The minimum link cost route is used.	Low
MLDA/ MLDR [<u>199</u>]	Network lifetime, significantly better than Hierarchical- Pegasis.	More computational power is required in large networks.	Low	None	The flow within the spanning tree for maximum network lifetime is used.	Low
MCBCR [<u>200</u>]	It has good performance and provides long network lifetime.	It has high overhead when it searches for the apt paths.	Good	None	The routes that improve network lifetime are used.	Low
ESDAD [<u>201</u>]	-	It provides no direct communication.	Low	None	The nodes pick their next hop neighbor node to send data via a cost function.	Good
MRF [<u>202</u>]	Lifetime is extended. Energy depletion due to redundant data is reduced. Low false alarm rate is attained.	messages may not	Supported	None	The shortest route is used.	Low
EDAK [<u>203</u>]		It has the problem with the energy holes.	Good	Good	Nodes send data to the BS via the cluster subheads and cluster heads.	Low
SPEC [<u>204</u>]	It offers low energy consumption between state changes and reduced computation energy loss.	interrupted if a node	None	None	The active nodes transmit data directly to the BS.	Low
SEED [<u>205</u>]		The nodes in sleep mode may disrupt the network.	Low	None	Nodes send data to the BS via the cluster heads.	Good
EERP [<u>206</u>]		Energy cost is high when data rise.	None	None	The optimal route with	Limited

	increased network Scalability is limited. lifetime are attained.			minimum hop count is used.	
EECP [<u>207]</u>	It offers load balancing, reduced The nodes which are collisions and in sleep mode, may improved network affect the network life.	Limited	None	Route with high energy nodes close to BS are used.	Low
CCWM [<u>208</u>]	Theenergyrequirementsfor Cluster head electioncluster headelection creates overhead.limits the cluster size.	Limited	None	Nodes send data to the BS via the cluster heads.	Low
BEENISH [<u>209</u>]	It improves network It does not take into lifetime and has stable account the distance performance. between nodes.	Good	Limited	Nodes send data to the BS via the cluster heads.	Low
ECRSEP [<u>210]</u>	It reducesenergyconsumptionand It suffers from theprovidesenergy energy holes problem.balance.	Good	None	Nodes send data to the BS via the cluster heads.	Good
SAR [<u>211</u>]	Energy efficiency is good. Each packet's priority is considered. Multiple routes from nodes are set. When the number of nodes increases, maintenance cost of tables is high.	Limited	None	The route with minimum average weighed QoS is used.	Low
RRDLA [<u>212</u>]	It offers low both end-to-end delay and energy consumption.	Limited	None	Maximum PDR and minimum end- to-end delay and energy consumption routes are used.	Good

2.3.4.2 Multipath Based

Multipath based protocols are able to use many different paths, being able that way to tolerate route failures, perform load balancing, and lower end-to-end delay. In what follows in this section, twelve typical examples of multipath based energy efficient routing protocols are described.

In *Routing On-demand Acyclic Multipath* (ROAM) [213], every router node maintains three tables: a distance table, a routing table, and a link-cost table. Distance table is a matrix that contains the distance between two neighbors at a router, while routing table at a router is a column vector that contains for every destination, the feasible distance, the distance to the destination node, the reported distance, the query origin flag, the timestamp, and the successor. The link-cost table contains the costs of the links to each known neighbor. To maintain routes and loop freedom, it uses a concept called feasible distance. In addition, it detects network partitions by requiring nodes to send update messages to neighbors whenever there is a change in distance to a certain destination. When a router needs to send a data packet to a destination with no entry in its routing table, it starts a diffused search that propagates from the source on a hop-by-hop basis, until it finds a router with an entry for the requested destination. Then, the router with the entry sends a reply message with the distance of the destination node. In the case, that there is no route

to destination all nodes in the same connected component determine that the destination is unreachable and prevent the routers from unnecessary search packets. By using ROAM, network lifetime is extended. In addition, unreachable destination informing is produced. Moreover, unnecessary packets are prevented. However, periodic updates need to be sent.

Label based Multipath Routing (LMR) [214] protocol broadcasts a control message across the entire network to find an alternative path. During this procedure, whenever a message passes through a path, the path is assigned a label with information used for segmented backup path search in case a disjoint path is not achievable. This protocol uses only localized information, with one flooding, to find disjoint paths or segments, protecting this way, the working path. When a node, reinforces one of their link to form the working path, it transmits a label message to its neighbors. Reinforcement and label messages have an integer value that is increased by 1 when a working node broadcasts a new label message. Nodes on the working path remember the value of their own labels. Label messages are forwarded to the source along all the paths from which the data messages pass through, in order to make the label messages from nodes close to the BS go as far as possible, so that the disjoint paths can be found. When they receive two or more label messages, nodes transmit the one with smaller label value or in case the values are equal, it transmits the first one recorded. In addition, the working nodes do not forward label messages from other nodes. Each node keeps track of all the labels it has seen along with the associated neighbors the labels coming from. By using LMR, energy consumption is decreased. Overhead of routing and delay to setup a backup route with label information are reduced too. However, overhead is caused due to various types of messages.

GRAdient Broadcast (GRAB) [215] protocol uses advertisement packets (ADV) that include link cost, in order to send reliable packets to the destination. ADV packets contain broadcast costs of sender node, saved in a cost field for later use. The receiving node adds a link cost between sender node and itself, to the cost in the received ADV message and compares calculated and sender costs. The receiving node's cost will be set as the smaller of these two costs and then it will send an ADV packet containing it. In addition, a sender node can adjust data delivery's robustness by controlling bandwidth depending of the credits contained in the data message. GRAB is both energy efficient and robust. In addition, it does not depend on individual nodes to deliver data. However, overhead due to redundant data may be caused.

Hierarchy based Multipath Routing Protocol (HMRP) [216] uses two characteristics within the operation of each node, a layer construction packet that is broadcasted initially one time and a table with Candidates Information called CIT. During packet transmission, sender nodes need to know only the parent sensor node that will receive the packet. HMRP supports mechanisms as data aggregation in every node except leaf nodes, multiple candidate paths to forward data through them and it can be used with multiple BSs. By using HMRP, extension of network lifetime using data aggregation and energy balanced routing is achieved. In addition, great scalability with low overhead among nodes is attained. Moreover, simplicity is attained with a small number of operations and maintained states in every node. However, only one broadcast of the layer construction packet is used.

Cluster based Multi-Path Routing (CBMPR) [217] protocol combines cluster based and multipath routing to route data efficiently. To reduce routing control overhead and improve network scalability, it uses a cluster network to find multiple paths that provides independent paths.

CBMPR sets cluster heads and cluster nodes that send regular HELLO messages. Then, cluster nodes add their IP address while cluster heads add these IP addresses in their HELLO messages and keep track of all IP addresses of their cluster nodes and neighbor cluster heads in their routing tables. As a multipath protocol, it sets up multiple paths that can be classified as optimal path, shortest path and so on according to three parameters, i.e., hop number (h), accumulated delay (d), and bandwidth (b), which are included in the path messages received by the source. Its operation consists of the following steps:

i) At first, it calculates the path weight value based on (6):

$$w = \frac{b}{\ln(d \cdot h)} \tag{6}$$

- ii) The second step is the utilization of a coding technique called M for N diversity (provided that at minimum N blocks will get to the destination it reconstructs the original X bit information packet) to solve the network's inherent unreliability by adding extra information overhead to every packet, which is fragmented into smaller blocks.
- iii) Finally, the blocks are distributed over available paths according to path's weight value. A large path weight value means more blocks distributed via this path. To minimize packet drop rate, achieve load balancing, and improve end-to-end delay, the data load is sent via multiple paths.

In CBMPR, the simple cluster level hop by hop routing is used. In addition, less interference exists compared to multipath routing. Yet, errors and increased overhead in packet reassembly exist.

Directional Geographical Routing (DGR) [218] protocol offers a solution to the real-time video streaming problem in a constrained network, in terms of bandwidth and energy, with scattered Video Nodes (VN) as well as the combination of Forward Error Correction (FEC) coding. In DGR, a VN sends an aggregated packet of data and FEC packets of the video frame to direct neighbor nodes. Receiving nodes read aggregated packet's identifiers and sequence numbers and they set their payload in the aggregated packet. Finally, receiving nodes unicast the packets via relative individual routes to the BS. In DGR, low delays, extended network lifetime and high video quality are attained. However, DGR mainly focuses at video data transmission.

Directional Control Fusion (DCF) [219] is a protocol that combines load balancing and data fusion mechanisms. With the use of multipath fusion factor, DCF can compromise multipath converging and multipath expanding to meet various criteria of each application. In every round, a source is elected as a reference based on energy and distance criteria. Then, all source nodes start a timer called Reference Source Selection timer (RSS timer) and set a random value on one of the criteria. A source with a small value of RSS Timer is more eligible as the reference source of this round. After that, DCF monitors the timers and sets the next reference source the one with the first expired timer, which in turn sends an announcement message, with its location, to its neighbors. Then, receiving sources cancel their timer and the reference source constructs the reference route while the rest of the sources send the control packets. In DCF, multipath-converging and multipath-expanding trade-offs are combined. However, one source node per round is selected as the reference source.

Routing Protocol for Low power and Lossy networks (RPL) [220] is an IPv6 protocol, which

contains an ingredient called Destination Oriented DAG (DODAG) that is rooted on a single root node with no outgoing edge. This DODAG formation starts at the root and spreads gradually to cover the whole network. Each router in the converged state of the wireless network has identified a set of parents, on the path towards the DODAG root node as its preferred parent. Every router emits DODAG Information Object (DIO) messages with the use of link local multicasting that indicates its respective Rank in the DODAG. After it has received a number of DIO messages, the router will calculate its rank. In case the rank is greater than the rank of its parents, it starts to emit DIO messages. The root can increase a sequence number in the DIO messages to trigger "global recalculation" of DODAG. RPL also provides an information dissemination mechanism over a dynamically formed network topology. This dissemination enables a minimal configuration in the nodes, enabling them to operate mostly autonomous. The minimum in router state requirements to run RPL is the following:

- i) The DODAG root identifier
- ii) The address and rank of the parents
- iii) The shared DODAG root configuration parameters
- iv) The maximum rank, which has been advertised by the Wireless Sensor Network router

In RPL, low energy consumption is achieved. However, only unicast traffic is supported.

Scalable Solution to Minimum Cost-Forwarding (SSMCF) [221] is a solution to the problem of delivery to the BS of minimum cost messages, from any given source, along the minimum-cost path in a simple, large, and scalable network. A cost function is used to capture delay, throughput, and energy consumption from every node to the BS. There are two phases during SSMCF:

- i) First phase: Is the setup phase in which the cost value has been set for every node. The cost is diffused from the BS through the network. After that, each node sets the value cost by adding the cost from the node it received and the link cost. This cost setup is not done through the flooding mechanism, but, instead, a back-off algorithm is used to limit the number of messages exchanged. Packet forwarding has a preset delay time to allow messages with minimum cost to arrive. After that, it uses only one cost message to find the optimal cost from node to BS. The next hop states are unnecessary after the cost fields are set, ensuring scalability.
- ii) During the second phase, source transmits data to its neighbor nodes. Each node in turn adds the transmission cost of them, from the BS to the cost of the packet and checks the remaining cost in it. If the remaining cost is not sufficient to send it to the BS, the packet is dropped; otherwise, it forwards the packet to its neighbors.

The design adopted has three goals:

- i) Optimality: Achieve least cost forwarding
- ii) Simplicity: Reduce performed operations to the minimum number and the states that participate in data forwarding.
- iii) Scalability: Scale to large size network, as unconstrained scale is an inherent feature of sensor network.

In SSMCF, with the use of back-off based algorithm and proper set up back-off timer, the medium number of advertisement messages can be reduced. In addition, SSMCF scales up to large

network sizes. However, it requires constant time and space complexities at each node. In addition, to achieve optimal forwarding, it needs to have the minimum number of advertisement messages.

Fast Adaptive, and Energy efficient Multi path-multi channel (FAEM) [222] utilizes the basketball NET topology that pre-assigns every node with a multi-parent-multi-child connection table along with a different receiving channel per node from their respective neighbor nodes removing transmission interference. FAEM protocol divides operational time in duty cycles with every cycle containing two phases, a scheduling and a forwarding one:

- i) The first phase is called Distributed iterative scheduling phase. It schedules the three activities that a node can perform in the network, which are sleep, download to children nodes, and upload to parent nodes.
- The second one goes by the name of Slot based packet-forwarding phase. It divides transmission time in a fixed number of frames that each contains a fixed number of slots. Every slot lasts enough for only one data packet transmission between two nodes.

In FAEM, low energy consumption is achieved with sleep mode. In addition, collision-free data transmission is attained. In addition, interference prevention is attained. However, network is unable to connect mobile parent-children nodes.

Energy Aware Routing (ERA) [223] is an improved version of the BDCP protocol that combines the clustering operation of BDCP and a multi-hop routing called Directed Virtual Backbone (DVB) to transmit data to the BS. When the protocol starts the clustering process, the BS constructs DVB and divides the cluster heads in levels, according to their distance from the BS. The BS is set in the level 0 as the parent node of level 1 cluster heads, the cluster heads of level 1 are the parent nodes of cluster heads in level 2 and so on. In addition, it is possible for a cluster head to have multiple parent nodes resulting in multiple paths to the BS. The use of ERA extends network lifetime. Yet, DVB does not provide optimum routing performance.

A synopsis of the characteristics of the aforementioned multipath based energy efficient routing protocols is presented in Table 2.14.

Protocol	Advantages	Disadvantages	Scalability	Mobility	Routing	Robustness
ROAM [<u>213]</u>	and provides unnecessary packet prevention.		Limited	Limited	Any route can be used.	Limited
LMR [<u>214]</u>	backup route with label	It has overhead due to various types of messages.	Good	Good	Any route can be used.	Good
GRAB [<u>215]</u>	It does not depend on lindividual nodes to deliver of data.	•	Good	Good	The routes that satisfies the QoS requirement.	Good
HMRP [<u>216]</u>	It is simple with small number of operations, great 1 network lifetime, data 1 aggregation and energy 1 balanced routing.	proadcast of the	Good	Low	Any route can be used.	Limited

Table 2.14. Synopsis of Reliable Routing protocols belonging to Multipath based subcategory.

CBMPR [<u>217]</u>	It has simple cluster level hop by hop routing and less interference over conventional multipath routing.	increased control	Limited	Low	The best route is used.	Limited
DGR [<u>218]</u>	It provides low delay and extended network lifetime.	It mainly focuses on video data transmission.	High	None	The route that starts with different single hop neighbor is used.	High
DCF [<u>219]</u>	The multipath converging and multipath expanding tradeoffs are combined.	-	High	High	The best route is used.	Good
RPL [<u>220]</u>	It has low energy consumption.	Only unicast traffic is supported.	Good	Good	The shortest route is used.	Good
SSMCF [<u>221]</u>	The medium number of advertisement messages can be reduced.	It has time and space complexities while optimal forwarding obliges least advertisement messages.	Good	None	The minimum cost route within the cost field is used.	Good
FAEM [<u>222</u>]	It has low energy consumption with sleep mode, collision free data transmission and interference prevention.	mobile parent-	Low	None	Data are routed from children nodes to their parent nodes and next to the BS.	Good
ERA [<u>223]</u>	It extends network lifetime.	The DVB is not the optimum routing mechanism.	Supported	None	The data are forwarded from lower level cluster heads to upper level cluster heads and the BS within the DVB.	Low

CHAPTER 3: COMPARATIVE STUDY OF ROUTING PROTOCOLS

3.1 Introduction

As explained in the first chapter of this thesis, the main disadvantage of WSNs is the limitations of energy of their sensor nodes, which necessitates the use of energy efficient routing protocols. Yet, as it was exhaustively described in the second chapter of this thesis, there are various categories of protocols of this kind.

In this chapter, a comparison between two well known and previously described routing protocols, which namely are LEACH [104] and TEEN [119] is performed. Specifically, the performance of the abovementioned routing protocols is investigated in terms of:

i) Scalability: it is the ability of a WSN to keep its operation not affected by the addition of sensor nodes.

ii) Insensitivity to BS's location: it is the ability of a WSN to keep its operation unaffected no matter what the location of its BS is.

So, in what follows, in order to examine the performance of LEACH and TEEN routing protocols the simulation tests performed are presented. Specifically, these tests different number of nodes and different locations of the BS are considered in order to evaluate these protocols in terms of scalability and insensitivity to BS's location.

3.2 Simulation Tools

Due to advances in Information Technology and Electronics, the network simulation of more complex systems, became feasible, as the modern computers are able to perform a vast number of calculations in short period of time. In order to perform a network simulation, a computer should employ representative models that are based in real life communication Network concepts and standards, producing realistic outcome and probabilities. The results present the expected outcome without the construction of the whole hardware setup or the consumption of significant amount of energy to produce it.

A network simulation tool is able to produce reliable data according to the sufficiency of the implementations of the models used during its development, while, in most cases these models are designed based on the prerequisites of specific network protocols, communication channels or basic electronic devices. Therefore, the simulation results can be realistic, as long as simplifications to the aforementioned models, which each tool was based on, are averted. Furthermore, one can consider the enormous amount of time needed in order to design, build and validate such real life hardware constructions, restricting the toolset used, instead of the rapid development in the computer-simulated models.

Considering the aforementioned benefits, various simulating software have been developed during the past years, by researchers and companies interested in network simulation, as a testbed to further improve current network protocols, network infrastructure or various network technologies, without the need to build the required hardware or consume extreme amount of research funds. A few of these Network Simulators are the following ones:

i) NS-3, which is open source and has good implementation of the most part of network protocols as well, allowing users to have access to its software core and modify the source files when necessary. Also it allows to create big scale simulations [224].

ii) NS-2, a popular simulation tool, which is the predecessor of NS-3, originally designed for wired networks but later it received extensions in order to work as well with wireless networks. [225].

iii) OPNET modeler is a commercial product for network modeling and simulation. It allows users to design and study communication networks, devices, protocols, and applications [226].

iv) CNet is a free software, which allows creating different simulations, with sizes that may range from two to a few hundred nodes and implements a Graphic User Interface. [227].

From the above simulation software, all the simulation tests were accomplished by utilizing the NS-3 [228], an open source software used for network simulation for academic and research purposes.

3.3 NS-3

The developers of NS-3 began the initial development of this network simulator in 2006, Yet, NS-3 was first released in June 2008. Its core design employs a discrete-event scheduler in order to enable researchers and educators to create network topologies, generate the traffic to traverse the simulated network, and gather statistics about the effects of different setups and characteristics to the network. NS-3, core operation is at the packet level, by processing each individual packet as distinct entity during its transmission through a network. This design is different from some flow-based network simulation tools that monitor the flows of packets that have similar header attributes. This design variation compensates the trade-offs of specificity and speed. Furthermore, it should not be interpreted as an extension or update to the network simulator NS-2 as each project is distinct and not directly compatible with the other. Although NS-3 indeed borrows some design concepts from NS-2, it has also been heavily influenced by other networks simulators such as the Georgia Tech Network Simulator (GTNetS). Additionally, it should be mentioned that NS-3 is not related to GNS-3, which is a graphics-based network emulator that enables the integration of network kernel images on nodes.

As an open source software, NS-3 has limited support, especially for specialized algorithms as WSNs. Therefore, NS-3 does not contain, yet, any library for WSNs routing protocols and the algorithms of this chapter, examined below, had to be developed and implemented into the software by utilizing the native network libraries of NS-3's software core package combined with new developed modules. In order to build the source code and execute the simulations, NS-3 utilizes the Waf build automation tool that provide a framework based in Python programming language for the configuration, installation and compilation of the software applications. Waf's main purpose is to simplify the aforementioned procedures under its singular framework by allowing, when necessary, processes that execute in parallel any compilation and scripting distinctly. Waf includes also a basic compiler and support for tool configuration. Furthermore, it enables the modularization of the NS-3 source code through files called wscripts located in the NS-3 directory hierarchy and they can be recalled for building, when NS-3 is executed by the main Waf script. This design enables only the indispensable components to be built for a specific simulation scenario [224].

3.4 Parameter Initialization

The normal operation of a WSN has many parameters that may affect its operation, therefore in order for the two protocols to be comparable, the initial parameters of the network should be common and predefined. In this section, these parameters are presented. At first, the network's size has been set to be equal to 100x100 units, as depicted in Fig. 3.1, with the sensor nodes randomly distributed within it and the BS placed in one of the three candidate positions.

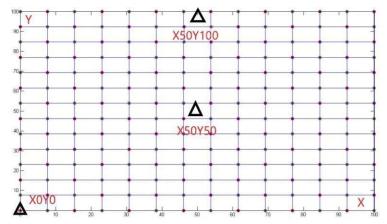


Fig.3.1: The network field and the three candidate positions of BS used.

Furthermore, the rest of the parameters are initialized during the execution of the routing protocol's algorithms as shown in Fig.3.2. These parameters are virtual simulation time (simTime), the cluster head percentage (P), the size of the packet (packet), etc.

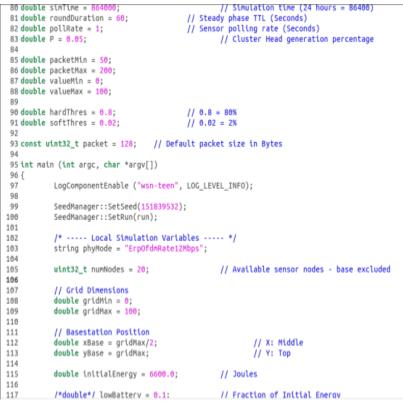


Fig.3.2. Snippet of code from the TEEN routing protocol.

It is possible to initialize a simulation scenario, as for an example, the increment of the number of the sensor nodes, by executing commands in a terminal of the Ubuntu OS. In Fig. 3.3 the execution of the commands to set a new number of sensor nodes (numNodes) and new Hard Threshold value (hardThres), is depicted.

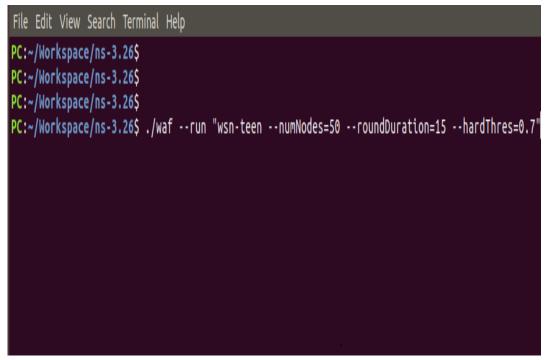


Fig. 3.3. Parameter's new configuration from Ubuntu terminal.

3.4.1 Setup phase

In both protocols, the network is configured, during the Setup Phase, according to the algorithms of the routing protocol and functions or sub processes, as the CH election or TDMA scheduling are initialized. These functions are initialized in the NS-3, every time that each routing protocol is launched.

In LEACH protocol, the BS executes two broadcasts, one for the random CH election and another for the notification of the election results, to the rest of the nodes. Then, the cluster members choose their cluster and their CH depending on the power of the received signal and they execute a unicast to notify the network. After that, each CH executes a last unicast in order to inform its cluster members about the TDMA scheduling and then the Steady State Phase is initialized.

In TEEN protocol, the BS executes two broadcasts, one for the selection of the cluster heads and another for the transmission of the Hard and Soft thresholds to the CHs. Then, the CHs execute one unicast for the transmission of the thresholds to their cluster members and after that, the initialization of the Steady State Phase takes place.

3.4.2 Steady State Phase

The Steady State Phase is the next phase after the initialization of the network, where data are measured from the environment by the sensor nodes and are routed to the end user. This data

routing is executed with the help of an iteration algorithm that defines the communication path between two sensor nodes.

In the course of Steady State Phase of LEACH protocol, the nodes of the cluster send data to their CHs, depending on the TDMA scheduling, to each cluster and after the expiration of it, the round is considered to be ended.

In TEEN, the cluster members transmit data only in case the measured values are greater than the thresholds, which are defined by the user of the network, to their CHs, within the duration of the Steady State Phase and when it expires, the round is ended.

3.5 LEACH Protocol

3.5.1 Functionality Description

LEACH [104] protocol, i) creates clusters, which are formed from the signal strength that a sensor node receives from its neighbors, ii) elects a cluster head within every cluster from the sensor nodes and iii) utilizes it as a router for the data sent to the BS. The most efficient amount of CHs is determined to be about 5% of the total amount of sensor nodes, while the global knowledge of the network is not a requirement by the protocol. Furthermore, the sensor nodes that are elected to be the CHs in the clusters of a network, take this role for a number of rounds in turn, as the election process initiates again, avoiding such way the depletion of the elected sensor nodes.

For the election of next cluster head from the sensor nodes, a random selection algorithm is executed, which considers how recently a specific sensor node has been elected as a CH. Following this algorithm, every sensor node that has not been elected to be a CH, chooses its closest CH by comparing the signal strength received from the neighboring CHs and joins the one with the strongest signal. Every CH executes aggregation of the data that receives from the sensor nodes and then forwards them to its BS.

3.5.2 Parameters under examination

During the simulation tests, two performance metrics are evaluated, which namely are: i) the amount of the sensor nodes, ii) BS's location. It is worth to mention that in literature the definition of network's lifetime is usually the number of rounds that have passed, before the first sensor node depletes its energy reservoir. In the simulation tests executed, the operation of the protocols was examined, until the communication was no longer possible, analyzing such way network's functionality, while the amount of sensor nodes is decreased. However, in the results, the number of the round, where the first node depletes its energy reserves, is mentioned.

At first, the protocol's scalability, which determines if it can perform with the same efficiency while the amount of sensor nodes is increasing, is examined. The simulations are executed for 20, 50 and 100 sensor nodes.

Next, the influence of the BS's position to the network's lifetime is examined. The positions of the BS within the 100x100 units field are set equal to: i) X50Y50, ii) X50Y100, and iii) X0Y0, as shown in Fig.3.1.

With the results from these tests, the Energy Hole Problem is examined. This represents the fact that the BS's neighboring sensor nodes consume sooner their energy reserves, because they are the only ones that communicate directly with the BS, and so they transmit both their own data

and the data coming from all the other network nodes. As soon as all the direct neighbors of the BS have their batteries be exhausted, the next, closer to the BS, CHs will take over the routing to the BS, consuming more energy due to the increased distance, depleting the network's lifetime faster.

3.5.3 Simulation Results

The next section is a commentary on the results, as shown in **Table 3.5.3.1**, extracted during the simulation tests of LEACH [<u>104</u>] protocol, in terms of two performance metrics considered: i) Number of network sensor nodes, ii) Position of BS.

LEACH	BS: X50:Y50			BS: X50:Y100			BS: X0:Y0		
Settings	20	50	100	20	50	100	20	50	100
Rounds	1237	1106	898	857	993	795	834	769	630
Dead nodes	18	41	81	17	41	81	17	41	81
Time (min)	5:11:11	4:39:15	1:25:02	3:53:43	4:10:41	3:21:40	3:29:58	3:14:14	2:39:52
First Dead @ Round	664	533	334	418	289	261	283	234	206
80% Energy @ Round	313	243	177	219	210	162	174	175	150
50% Energy @ Round	657	506	350	447	447	327	344	356	304
Avg Bat @ Final Round	10,19%	10,76%	12,03%	14,60%	12,05%	12,27%	11,30%	12,59%	14,54%

 Table 3.5.3.1: LEACH's performance results.

i) Number of network sensor nodes

The increment of the amount of the existing sensor nodes in the network was observed that it decreases the number of rounds, therefore the network's lifetime. This happens because LEACH has improved scalability as a Hierarchical routing protocol but it is limited as the sensor nodes are stressed by routing the data packets of their neighbors along with their own ones.

ii) Position of BS

It was observed that during the repositioning of the BS, the network's lifetime changes depending on its position. This is a result of the Energy Hole Problem, which takes place on the direct neighbors of the BS. The network's lifetime is better when the occurrence of this problem is reduced, according to the current simulation tests, this happens by positioning the BS at X50Y50. From the results it is obvious that the positioning of the BS at the middle X50Y50, is the best choice in respect to network's lifetime, while the bottom left and the top – center positions of the grid, result in a decreased network's lifetime for both positions as well as more unstable for the top – center BS's position.

Usually, the decreased network's lifetime is a result of the Energy Hole Problem, as the sensor nodes close to the BS are its only direct neighbors, resulting into faster energy depletion of their reservoirs. After the sensor nodes, nearby the BS are exhausted, the distance to the next neighboring sensor nodes increases, depleting that way the network's lifetime by consuming greater amounts of energy during the transmission.

3.6 TEEN Protocol

3.6.1 Functionality Description

TEEN protocol [<u>119</u>] is a Hierarchical routing algorithm, designed for applications that need to be informed immediately for drastic changes of measured values that a sensor node senses, as for an example a sudden change in temperature.

According to its architecture, the most distanced sensor nodes create first level clusters with their corresponding CHs. Then, the first level CHs create second level clusters with their respective CHs and this process continues before the communication route to the BS is established. Following the cluster formation process, CHs transmit to their cluster members the Soft and Hard Thresholds (ST and HT respectively). The minimum of a sensed value that is required to be sensed by a sensor node, in order to activate its communication module and send these data to its CHs, is called Hard Threshold. Soft Threshold is the minimum difference between the previous sensed and the current value, before the sensor node's transceiver is enabled again and the transmission of the new value is executed.

According to the aforementioned architecture, a sensor node senses continuously the environment, but in order to transmit data for the first time, the Sensed Value (SV) must be above the Hard Threshold. This Sensed Value, is saved in an internal variable. Then, in order for the sensor node to transmit again, after the first transmission of the Sensed Value, the difference between the previous transmitted and the new Sensed Value should be above the value of the Soft Threshold. With this functionality, by setting carefully the values of the two Thresholds, the traffic of the network is significantly decreased while the energy efficiency increased and the important information arrive to the BS. Furthermore, during TEEN's operation, CHs execute data aggregation of the received information packets before they transmit them to the next hop within the route to the BS.

3.6.2 Parameters under examination

As described in LEACH, during the simulation tests, two parameters that affect the routing protocol's performance and network lifetime are examined. These parameters are i) the amount of sensor nodes, ii) BS's position. The influence of both Soft and Hard Thresholds in TEEN, is examined indirectly by comparing the overall performance between TEEN and LEACH since the major difference between those two, is TEEN's implementation of the Thresholds.

First, TEEN's scalability, is investigated, by studying the network performance when consisting of 20, 50 and 100 sensor nodes.

Then, the influence of BS's location in the network's lifetime is examined. The positions of the BS are the same as in the previous routing protocol, in order for the results to be comparable. Therefore, the BS, within the 100x100 units grid is positioned in i) X50Y50, ii) X50Y100 and iii) X0Y0.

Finally, the impact of TEEN's thresholds, in respect to the protocol's network lifetime, is examined, by setting the threshold values as following; H.T: 80% / S.T: 2%.

3.6.3 Simulation Results

In this section, the simulation test results from the TEEN protocol, as shown in **Table 3.6.3.1**, in terms of 2 performance metrics: i) Number of network sensor nodes ii) Position of BS.

TEEN	BS: X50:Y50			BS: X50:Y100			BS: X0:Y0		
Results	20	50	100	20	50	100	20	50	100
Rounds	5146	5637	4848	5086	5518	4821	4892	5318	4723
Dead nodes	17	41	81	17	41	81	17	41	81
Time (min)	21:33:10	23:41:55	20:29:39	21:18:05	23:11:53	20:22:29	20:29:23	22:21:28	19:57:35
First Dead @ Round	3961	2293	1931	4005	1983	1806	3618	2435	1654
80% Energy @ Round	1076	983	863	1075	1023	840	1010	1013	817
50% Energy @ Round	2695	2463	2089	2694	2610	2102	2529	2525	2070
Avg Bat @ Round	9,79%	8,55%	7,5341%	10,05%	9,5484%	7,75%	9,82%	9,07%	7,56%

Table 3.6.3.1: TEEN's performance results.

i) Number of network sensor nodes

It was perceived that during the elevation of the amount of sensor nodes, the lifetime of the network decreases slightly, which is reasonable, but remains close to the average performance as TEEN has improved scalability.

ii) Position of BS

It was observed that when the position of the BS is changed, the network's lifetime fluctuates, which occurs due to the previously mentioned energy hole problem. The network's lifetime is greater when the effect of this problem is lessened. Although in TEEN's simulation tests on average the network's lifetime is the same, the most stable position is X50Y100. Furthermore, the results include many fluctuations, depending on the initial settings, therefore the network's lifetime is affected more by various other parameters, as for an example the thresholds, than the position of the BS.

3.7 Protocol Comparison

3.7.1 Comparison Parameters

In this section the simulation results of both protocols, are compared on their common categories, in order detect their operating differences, as well as to evaluate their performance and characteristics. The performance metrics in common for the protocols under examination are: i) scalability, ii) the insensitivity to the position of the BS, and iii) the implementation of the Hard and Soft Thresholds of TEEN, which are compared indirectly, at the end of the section due to the fact that it is used to examine a unique property of TEEN protocol.

The common initial parameters for the simulation of both protocols are the following ones:

- Data Packet Size: 128 bytes.
- Intial sensor node's Energy: 33 joule.
- Initial Sampling Rate: 1 sec.
- CH to total sensor nodes Percentage: 5%.

3.7.2 Scalability

To assess the Scalability of each routing protocol, the results of the simulation tests of each should be examined with different number of sensor nodes for TEEN and LEACH, as shown in **Fig.3.4** and **Fig.3.5**.

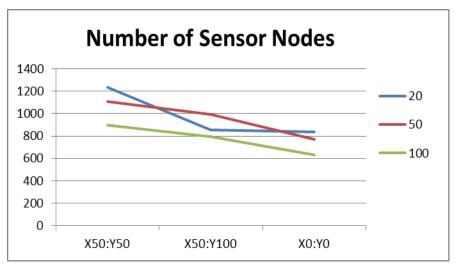


Fig.3.4. Results of LEACH's performance.

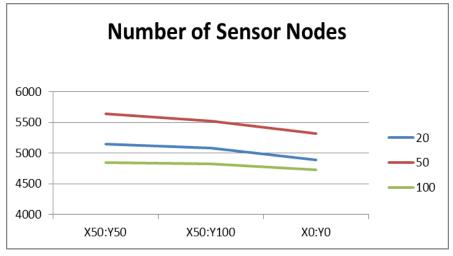


Fig.3.5. Results of TEEN's performance.

i) With 20 sensor nodes

It was observed that TEEN protocol, with the same initial conditions, performs about five times better in network lifetime, for all the different positions of the BS, compared to LEACH.

ii) With 50 sensor nodes

It was observed that TEEN continues to perform better, in respect to network lifetime, with about five times greater Network Lifetime of LEACH, but again, other parameters affect more TEEN's performance.

iii) With 100 sensor nodes

It was observed that TEEN continues to perform with better scalability in the simulation tests, for the number of 100 Sensors Nodes, as well, as the network lifetime is about five times longer than in LEACH.

From the total performance evaluation of both protocols, it is observed that by escalating the amount of the sensor nodes the lifetime of the network is decreased, but not as significantly as in other protocols with simpler data routing schemes. Therefore, these protocols seem to perform very well in terms of scalability due to their Hierarchical network architecture.

3.7.3 Base Station Position

In this section the comparison of the simulation results of the BS's location in a 100x100 units grid, is presented. The position of a BS is very important for a WSN operation. Additionally, obstacles probably affect the communication with the network sensor nodes; yet, these obstacles are not taken into account during the simulation tests.



Fig.3.6. LEACH BS's position results.



Fig.3.7. TEEN BS's position results.

i) X0Y0

The BS is positioned on the bottom left of the network. This position seems to be more stable for LEACH but with greater instability and less efficiency in case of TEEN due to the Energy Hole Problem.

ii) X50Y50

The location of the BS in the center of the grid, results in low distance between the sensor nodes and the BS. In general, this position presents a small increase in the performance of TEEN and instability in network's lifetime due to Energy Hole Problem. On the other hand, LEACH starts with the most efficient performance but when the network is stressed, the Energy Hole Problem kicks in and the network's lifetime is significantly decreased.

iii) X50Y100

The location of the BS at the Middle – Right edge of the grid, is the position that TEEN's routing protocol performance kept between the performance of the previous two BS positions. In LEACH, its performance kept between the performance of the previous two BS positions as well, while it had greater fluctuations but kept on an average stable performance, as the Energy Hole Problem were more significant, as well as, a greater percentage of the sensor nodes were located in a greater distance from the BS, thus consumed more energy.

Concluding, BS's position plays a significant role for the operation of a WSN, but as previously mentioned the simulation of obstacles within a network, is not yet able to be examined. Therefore, from the current results, the BS's location in a grid without obstacles is not very important, in respect to the network's lifetime by excluding some cases with significant fluctuations.

3.7.4 Hard & Soft Thresholds

The main difference between the aforementioned routing protocols is TEEN's implementation of the Thresholds, while the core architecture of LEACH and TEEN is similar. Therefore, since LEACH has no Hard or Soft Thresholds, the examination of TEEN's Threshold values, were evaluated by comparing TEEN's performance in respect to its Network Lifetime, to the performance of LEACH, in respect to its Network Lifetime, with the same setup in both protocols in respect to Number of Sensor Nodes, as well as BS position.

The great impact of the implementation of the Thresholds, in Network's Lifetime, during Data Routing, in TEEN protocol, is obvious, when compared to LEACH, which does not include a mechanism to filter the data packets during network's data communications. TEEN, with the addition of the Thresholds is able to reduce the number of the data packets, the communication overhead as well as the data redundancy to the sensor network, when compared to LEACH which suffers from the aforementioned problems.

Finally, from the results depicted in figures 3.4, 3.5, 3.6, 3.7, it is safe to assume that TEEN has on average, 4 to 5 times more Network Lifetime than LEACH, either if the simulation tests were examining their Scalability or their Flexibility, highlighting that way the energy efficiency of TEEN's core operations.

CHAPTER 4: EPILOGUE

4.1 Synopsis

WSNs are considered to be one of the most innovative technologies of the 21st century, having a continuously growing range of applications. Yet, the existence of certain weaknesses that avoid them from deploying their full potential necessitates the development of corresponding research work.

For instance, the lifetime of WSNs is extremely limited due to the restricted energy capacity of their sensor nodes. This is why energy conservation is considered to be the most significant research concern for WSNs. Radio communication is the greatest cause of energy consumption in a WSN. Consequently, energy efficient routing is required to save energy and hence extend the lifetime of WSNs. Consequently, plentiful protocols for energy efficient routing in WSNs have been suggested. This thesis focuses on this specific topic.

That is why, in Chapter 1, a collection of background information in WSNs was included in order to introduce the reader into WSNs. Specifically, the architecture of WSNs was analyzed. Next, the evolution of WSNs was described. Finally, the utilization of WSNs in specific domains of human activity, namely: military, environmental, flora and fauna, health, industrial, and urban, was examined and corresponding typical examples, both well-known and novel ones, was investigated. Special application dependent characteristics were recognized. Additionally, application dependent both problems and solutions developed, were identified and discussed. The outcome of this research was published in a relevant scientific article [<u>64</u>].

Next, in Chapter 2, the author performed a thorough review on energy efficient routing protocols. In this analytical survey, both the classic and modern energy efficient routing protocols presented are categorized, depending on i) how the network is structured, ii) how data are exchanged, iii) whether location information is or not used, and iv) whether Quality of Service (QoS) or multiple paths are or not supported. In each distinct category, protocols are both described and compared in terms of specific performance metrics, while their advantages and disadvantages are analyzed. The outcome of this research was published in a relevant scientific article [274].

Finally, in Chapter 3, the author focused on two protocols of this kind, which namely are LEACH and TEEN. Specifically, models that represent these two protocols were developed from scratch and their operation was simulated by using NS-3, which is an open source enhanced environment that is used especially as a network simulator. Through the evaluation of simulation tests performed, useful concluding remarks were drawn, regarding the performance of these two protocols both each one alone and in comparison in terms of specific performance metrics.

The first manuscript aims to present the enormous fields of application that WSNs can be utilized in and further improve, proving that way their full potential.

4.2 Conclusions

The research work performed in the context of this thesis led to interesting concluding remarks.

First, it became obvious that not only the usage of WSNs provides already remarkable advantages to various domains of human activity but also the range of WSN's applications is expected to carry on growing, because both the capabilities of sensor nodes will keep expanding and their manufacturing cost will get lower. Additionally, specific conclusions were drawn regarding the particular domains of WSNs' applications.

Specifically, it became evident that in military applications the required physical dimensions and weight of nodes are application dependent. For instance, in some surveillance applications the sensor nodes have to be extremely small in order to be undercover, while in many other military applications, physical dimensions and weight of the nodes are not considered important restrictions. On the other hand, nodes in such applications should definitely have an adequately extensive communication range (maybe ≥ 1 km), while the area to be covered is several square kilometers. In addition, communication should attain optimal throughput, reliability, security, and resistance to jamming and intervention. Moreover, nodes should be robust enough to resist severe ambient conditions. Similarly, the WSN should be tolerant to the loss of a certain quantity of nodes.

Also, in health applications, the physical dimensions and weight of the nodes have to be as small as possible particularly in the cases where they are wearable. Conversely, there is not any need for an extended communication range of nodes or area covered. Communication should be fault tolerant, fast, and reliable while jamming should be definitely avoided because the transmission of data is absolutely vital when time critical alert information is sent.

Likewise, in flora and fauna applications, the limitations, if any, for physical dimensions and weight of the nodes are application dependent. For instance, in WSNs used in livestock farming, nodes, which are implanted under the skin of animals, must be as small as possible while in agricultural applications such limitations are usually absent. In addition, in flora and fauna applications, nodes should be robust enough to stand ambient conditions. Similarly, the WSNs should be tolerant to the loss of a certain quantity of nodes. In addition, in some cases there is need for an extended communication range of nodes and area covered. The volume of data transferred is usually high, but the communication standards that should be met are not very high.

Similarly, in environmental monitoring the physical dimensions and weight of the nodes are not considered to be the first requisite. Instead, the construction of the nodes has to be extremely robust in order to tolerate severe ambient conditions. Also, both the communication range and the area covered should be adequately wide. Additionally, communication should be resistant to jamming because emergency alerts should be transmitted without delay. Moreover, the WSN should be tolerant to the loss of a certain quantity of nodes.

In the same way, in industrial applications most tasks are time critical while in the industrial environment the presence of electromechanical interference is remarkable. Therefore, communication should achieve optimal throughput, reliability, and resistance to jamming and interference. It may also be necessary to operate under strict security standards. The communication range and the area covered depends on the nature of the specific application and as do the physical dimensions and weight of the nodes.

Alike, in the urban domain, there are different conditions that must be fulfilled in indoor and outdoor applications. Precisely, in WSNs for indoor use nodes have to be of relatively small physical dimensions and weight. Usually there is no need for the communication range and the area covered to be wide. Conversely, communication should attain high security to protect privacy and should resist interference caused by other home appliances. On the other hand, in outdoor urban applications, the physical dimensions and weight of nodes are of minor importance. Yet, both the communication range and the area covered need to be wide. Also, the communication should achieve high levels of throughput, security, reliability, and resistance to jamming and intervention due to the huge volume of data transmitted. Moreover, nodes should be robust enough to ambient conditions. Also, the WSN should be tolerant to the loss of a certain quantity of nodes.

On the other hand, certain problems that obstruct the usage of WSNs, such as energy limitations, congestion, connectivity loss, inadequate coverage, low QoS, and susceptible security, will remain in the center of scientific research. Focusing on the efforts to support energy saving in WSNs, this research work provided a survey on both classic and modern protocols, which have been proposed for the achievement of energy efficient routing in WSNs.

Generally, the protocols presented were classified, according to their main structural or operational features, into four main categories, which namely are: Communication Model, Network Structure, Topology, and Reliable Routing. Various representative examples of the aforementioned categories of protocols were studied and their pros and cons were highlighted. Their common characteristic is that they all pursue energy conservation by performing energy efficient routing. On the other hand, this study made evident the differences in the structure and operation of these protocols that were stated.

Specifically, protocols belonging to Communication Model category were further classified as Query based, Coherent or Non Coherent, and Negotiation based.

Query based protocols use queries in order to enable the transmission of data from nodes that possess data to nodes that request these data. Their operation supports dynamic network topologies and multiple route replies. On the other hand, query based protocols are not appropriate for applications that require continuous data delivery such as environmental monitoring, since they route data after receiving queries and not in a constant rate. Coherent based protocols support minimum processing only of the data a node captures, while, in non-Coherent based routing protocols, nodes preprocess data they capture and send them to other nodes, called aggregators, which further process them. Coherent based protocols are not suitable for applications that require low end-to-end delay and high scalability, while non-Coherent based can be utilized for applications that require a large number of nodes. Negotiation based protocols use negotiation schemes in order to support communication among network nodes, in which data exchanged are named in order to reduce redundant information at destination nodes. In this way, both point-to-point and broadcast communication is indeed energy efficient. However, the successful delivery of data is not guaranteed. Therefore, negotiation based protocols should not be utilized for applications that require reliable data packet delivery such as intruder detection, due to the fact that some nodes might not be interested to obtain new data, thus obstructing critical information of reaching its destination.

Energy efficient routing protocols of Network Structure category were classified as either Flat or Hierarchical. Flat protocols treat all network nodes as equal entities. They perform well in networks with few sensor nodes, but they have extremely low scalability. Thus, they can be effective only in small-scale applications as machine fault diagnosis. On the other hand, in Hierarchical protocols, network nodes are treated according to the place they hold within the hierarchical structure of the network. In this way, data aggregation is enabled, the communication overhead is reduced, and great scalability is achieved. Thus, hierarchical protocols are suitable for applications that require large scale WSNs with heavy load and wide coverage area.

Energy efficient routing protocols belonging to Topology category use position related information in order to route data. They were further classified into three subcategories, which namely are: Location based, Mobile Sink based, and Mobile Agent based. In Location based protocols, every network node is aware of the positions of both its neighbors and the destination node during data routing. Thus, the most energy efficient routing paths are identified, and no flood is caused. They can be used in applications that utilize location information of a specific node, as a free parking space in a parking lot facility. Mobile agent based routing protocols use a mobile agent, which is a processing function that travels among the network nodes in order to collect their data. In mobile sink protocols, the data sinks or base stations themselves are able to travel within the network field, by using movable platforms, in specific paths or patterns to gather data from the nodes deployed. Military operations, such as battlefield surveillance, are typical applications where protocols of this kind are suitable to be used in.

Energy efficient routing protocols of Reliable Routing category protocols were classified into two corresponding subcategories depending on whether they pursue QoS metrics or perform routing via multiple paths. Specifically, QoS based protocols take into consideration not only the energy consumption, but also other metrics such as end-to-end delay and data quality. Operations, which involve the transmission of multimedia data, are typical examples of applications of such protocols. Multipath based protocols route data from nodes to sinks via various paths, in order to perform load balancing, overcome route failures, and decrease end-to-end delay. Their main weakness is that they involve high processing and communication overhead

Finally, the development of models of two well – known and established hierarchical routing protocols named LEACH and TEEN and their performance evaluation by using NS-3 software enabled the creation of interesting observations.

First, it is observed that these two hierarchical protocols have great scalability that can be further extended by implementing more data propagation techniques. It was also observed that they both preserve their functionality no matter what the position of the BS within the network field is. Also, the superiority of TEEN against LEACH, observed by the results, is due to the implementation of TEEN's thresholds during data routing versus LEACH's during data routing without additional criteria.

4.3 Open research issues

WSNs are considered to be among the most emerging scientific domains and have an ever growing range of applications. However, the operation of WSNs is harshly obstructed due to both

the specific limitations of their sensor nodes and the inherent problems of wireless communications in overall. It is generally adopted that, among all the factors that have detrimental effect, the energy constraints of sensor nodes constitute the real Weakness of WSNs.

Given that depletion of energy in sensor nodes is mainly performed during their communication, numerous research efforts focus on the attainment of energy efficiency in data routing. The comparative investigation of such works was the aim of the Chapter 2 presented in this thesis. Of course, energy conservation in communication can be even greater by applying additional measures. For instance, it is true that a great part of the data sensed by the sensor nodes in WSNs, are redundant. Hence, passing out redundant data decreases the volume of data transmitted along with the energy expenditure and the latency associated. This is why there is need for novel schemes for data aggregation [229-231]. Likewise, the use of compression and restoration schemes provides substantial reduction of communication load [232-234]. Likewise, whenever a sensor node becomes inactive, because of either the depletion of its energy residues or a malfunction, the communication cost for the remaining active sensor nodes is increased. Thus, the preservation of network connectivity is very important for the execution of the routing process and the extension of network lifetime. This is the aim of relative protocols proposed [235, 236]. At the same time, the attainment of the maximum possible coverage of the network field for a given number of sensor nodes is anticipated. For these reasons, methodologies that pursue coverage maximization in two dimensions [237, 238], three dimensions [239], or in conjunction with connectivity preservation are proposed $\left[\frac{240-242}{240-242}\right]$. In addition, the presence of congestion not only obstructs the transmission of data, but it also causes packet losses and consequently necessitates packet retransmissions that deplete the energy reserves of sensor nodes. Congestion avoidance [243-246] and congestion control [247-249] methods are used to prevent the occurrence of upcoming congestion and eradicate existent congestion, respectively. In addition, QoS provision in terms of general performance metrics such as reliable and timely delivery of data or other application specified features obliges the development of such schemes [250-253]. Moreover, wireless communications are susceptible to numerous types of potential attacks. Specifically, confidentiality, authentication, integrity, availability, and freshness are the main security considerations for WSNs. In order to cope with such issues, the development of security schemes that are specialized for WSNs is necessitated [254-257]. Of course, the achievement of energy efficiency along with other performance metrics is not an easy task because of the contradictory conditions that must be met. For this reason, multi-objective optimization algorithms have been proposed which aim to optimize the operation of WSNs by pursuing the simultaneous accomplishment of multiple criteria [258–260]. In addition, WSNs, by their nature, are dynamic environments in terms of both their structure and their operation. Therefore, the use of Machine Learning (ML) is an excellent alternative for the development of energy efficient routing protocols in WSNs, because it provides the ability of self-learning from the experience gathered and thus self-adapt to the modifications occurring [49, 261-262]. Likewise, Artificial Intelligence methods, such as Fuzzy Logic, Genetic Algorithm, Ant Colony Optimization, Particle Swarm Optimization, and Artificial Immune Algorithm are a very promising in supporting the development of energy efficient routing protocols in WSNs [263, 264].

Another issue that is worth mentioning is that the vast majority of research works focuses on homogeneous WSNs, meaning that all the sensor nodes are presumed to incorporate identical

operational and structural characteristics. This assumption simplifies considerably the research needed for the study of the relevant research issues, but it may lead to unrealistic results because in real-life scenarios heterogeneous WSNs are too common to ignore [85]. In order to cope with this issue, novel research works are proposed [265-267]. Likewise, in most of the routing protocols that are proposed for WSNs, there is lack of mobility considerations. However, Mobile Wireless Sensor Networks (MWSNs), thanks to their enhanced capabilities, outperform traditional WSNs in terms of various performance metrics and thus are involved in more and more applications. On the other hand, routing in a mobile network by default is particularly complex and thus initiates notable research issues. For these reasons, a lot of research interest in energy efficient routing is expected to be attracted by MWSNs [268–270]. Almost 75% of the surface of planet Earth is covered by water. This fact, by itself, is adequate to highlight the importance of Underwater Wireless Sensor Networks (UWSNs). However, conventional mediums used in terrestrial communications are not able to support underwater communications because they are associated with high attenuation, long propagation delay, and limited transmission capacity. For these reasons, novel research works are required to handle with issues of such type [271–273].

Last but not least, the author of this research work, hopes that WSNs will keep on being in the center of scientific interest and hope that this thesis will be helpful for everyone that devote their research efforts to this very challenging domain.

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